

# CIS 700 Lecture 25: Lattice-based cryptography

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## The first Lattice-based cryptosystems

Ajtai-Dwork: security of unique sup  
probably broken (Nguyen-Stern)

NTRU (Hoffstein, Pipher, Silverman)  
: Work over rings  $\mathbb{Z}[x]/x^N-1$   
heuristically based on hardness of ideal lattices

GGH (Goldreich, Goldwasser, Halevi)  
: CVP in trapdoor lattices  
Broken by Nguyen-Stern

Thm Decisional CVP is NP-complete

Pf CVP in NP:  $x \in L(B)$  satisfying  $\|x-t\| \leq r$  is a witness.

Reduction from subsetsum to CVP:

subsetsum instance  $a_1, \dots, a_n, s$        $\sum_{i \in A} a_i = S$

CVP instance  $n+1$

$$B = \begin{bmatrix} 2 & & & a_1 \\ & 2 & & a_2 \\ & & \dots & \vdots \\ & & & 2 & a_n \end{bmatrix} \quad t = \{ \overbrace{1 \ 1 \ 1 \ \dots \ 1}^{n+1} \ S \} \quad r = \sqrt{n}$$

If subsetsum yes instance:  $\exists$  lattice vector  $\{0 \ 2 \ 0 \ 2 \ \dots \ S\} = v$   
 $\|v-t\| = \sqrt{n}$

If  $\exists x \in L(B)$   $\|t-x\| \leq \sqrt{n}$ : 1st  $n$  coords even  $\Rightarrow \|t-x\| \geq \sqrt{n}$   
If  $\|t-x\| = \sqrt{n}$  then last coord = 0 and other coords  
0 or 2  
 $\Rightarrow x$  is subsetsum soln.

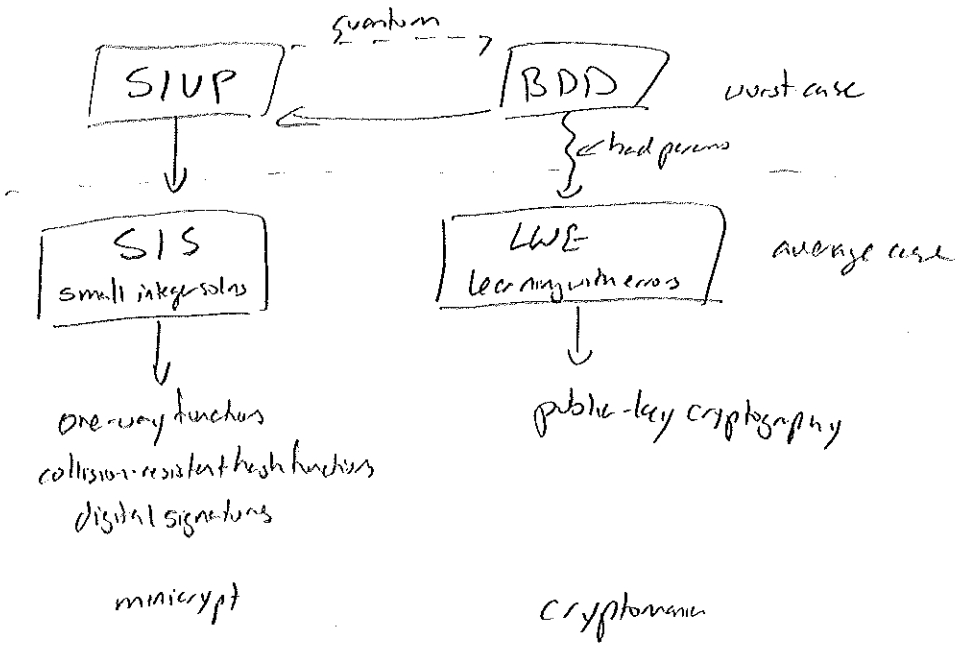
## Bounded Distance Decoding

Given  $B, t$  s.t.  $d(t, B) < r, \lambda_1(B)$  find  $v$  closest to  $t$ .

# "Modern" Lattice-Based Cryptography

Lyubashevsky

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## SIS Small Integer Solution

Input:  $m$  vectors  $a_i \in \mathbb{F}_q^n$

Goal: Find nontrivial  $s \in \{0, \pm 1\}^m$  s.t.  $sA = 0 \pmod q$

$$\{s\} \begin{bmatrix} a_1 \\ a_2 \\ \vdots \\ a_m \end{bmatrix} = \{0\}$$

$s$  unconstrained  $\rightarrow$  trivial if  $m > n$

each column is a subset problem

Let  $L_q^\perp(A) = \{y \in \mathbb{Z}^m : yA = 0 \pmod q\}$

- is a lattice
- SIS: find short vector in this lattice
- set  $m > \log n$

Collision-resistant hash function from SIS

Fix  $A \in \mathbb{Z}_q^{m \times n}$

Input  $x \in \{0, 1\}^n$ .  $H(x) = xA$

$m > n \log q$  for compression.

Thm  $H$  is collision-resistant if SIS hard.

Pt Assume not. Can find  $x_1, x_2$  s.t.  $H(x_1) = H(x_2)$

$$\begin{bmatrix} x_1 - x_2 \\ A \end{bmatrix} = \begin{bmatrix} 0 \\ \vdots \end{bmatrix}$$

$x_1 - x_2 \in \{0, \pm 1\}^n$

Reduction SIVP  $\rightarrow$  SIS

Tool: Gaussian Sampling.

Def Gaussian Function  
 $p_{\sigma, c}(x) = e^{-\frac{1}{2\sigma^2}(x-c)^2}$

Thm 1 (Micciancio-Regev)

$\Rightarrow \forall \epsilon > 0, \exists \delta > 0$  s.t.  $\sum_{v \in L} p_{\sigma, c}(v) = (1 \pm \epsilon) \cdot \text{uniform}$

Thm 2 (Micciancio-Regev)

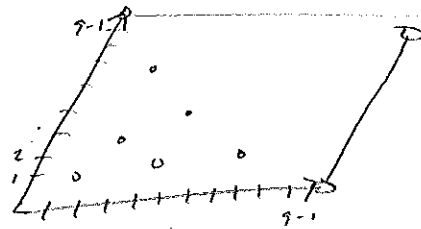
A sample from  $p_{\sigma, c}$  has distance  $\leq \sigma \sqrt{n}$  from  $c$  w.p.  $1 - 2^{-n}$

Reduction

Input: Basis for  $L$

SIS oracle that works on random instances

Goal: Find a short vector  $v \in L$ .



Algorithm:

1. Sample  $m$  points from discrete Gaussian centered on lattice points.  
 $\Rightarrow$  Random SIS instance  $\{a_i\}$  uniform by Thm 2 above
2. Input  $\{a_i\}$  to SIS oracle.
3. Oracle outputs  $\{b_i \in \{-1, 0, 1\}\}$  s.t.  $\sum_i a_i \cdot b_i = 0 \pmod q$
4.  $a_i = \underset{\substack{\uparrow \\ \text{lattice vector}}}{v_i} + e_i$  error

$$0 = \sum_i a_i \cdot b_i = \sum_i (v_i + e_i) \cdot b_i \Rightarrow \sum_i v_i \cdot b_i \in L$$

$\uparrow$   
not too large by Thm 1.

Params:  $|s| \leq \beta$   $\beta \geq \sigma \sqrt{n}$   
 $m \geq 2n \log q$   
 w.h.p. every SIS instance has soln. for every point

Thm Reduction works  
 $q \geq 2\beta \sqrt{n}$   
 Solving SIS for  $|s| \leq \beta \Rightarrow$   
 solve  $2\beta \sqrt{n}$ -SIVP  
 for any  $n$ -dimensional lattice.

# Learning With Errors (LWE)

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Fixs.

Input:  $m$  samples  $(a_i, b_i = \langle a_i, s \rangle + e_i \text{ mod } q)$

$a_i, e_i$  randomly chosen

Goal: Find  $s$ .

$$\begin{bmatrix} a_1 \\ \vdots \\ a_m \end{bmatrix} \begin{bmatrix} s \\ \vdots \\ s \end{bmatrix} + \begin{bmatrix} e_1 \\ \vdots \\ e_m \end{bmatrix} = \begin{bmatrix} b_1 \\ \vdots \\ b_m \end{bmatrix}$$

Generalization of Learning Parity with noise from machine learning.

LWE like subset sum (Lyubchevsky)

$C_m, \dots, C_1 \leftarrow$  carry bits

Subset sum instance  $\{a_i\}$   
Target  $b$   
 $s \in \{0, 1\}^n$

$$\begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} \begin{bmatrix} s \\ \vdots \\ s \end{bmatrix} = \begin{bmatrix} b_1 \\ \vdots \\ b_n \end{bmatrix}$$

Transpose:

$$\begin{bmatrix} a_{11} \\ \vdots \\ a_{1n} \end{bmatrix} + \begin{bmatrix} a_1 \\ \vdots \\ a_n \end{bmatrix} \begin{bmatrix} s \\ \vdots \\ s \end{bmatrix} = \begin{bmatrix} b_1 \\ \vdots \\ b_n \end{bmatrix}$$

replace w/ random matrix  $\rightarrow$  LWE

LWE as lattice problem

$$L(A) = \{y \in \mathbb{Z}^m : y = As \text{ mod } q \text{ for } s \in \mathbb{Z}^n\}$$

LWE: If  $\|e_i\|$  small, solve CVP on  $L(A)$

Decisional LWE:

Distinguish LWE samples from uniform

Random self-reduction:

Given  $(a, b = \langle a, s \rangle + e)$ ,  $(a, b + \langle t, a \rangle) = (a, \langle s+t, a \rangle + e)$  is sample from LWE  
 $\langle a, s \rangle + e + \langle t, a \rangle$

Reduction from search to decision:

Assume can distinguish LWE dist. from uniform.

For  $k$  in  $0..q$  do:

1. Sample instances  $(a, b)$  from LWE.
  2. Map  $(a, b)$  to  $(a + (r, 0, 0), b + k)$
- If  $k$  correct, will look like LWE sample  
 incorrect, uniform.

Public-key encryption based on LWE.

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Private key:  $s$

Public key:  $m$  samples  $\{(a_i, b_i = \langle a_i, s \rangle + e_i)\}$  from LWE dist.

Encrypt: Choose random subset  $S$  of LWE samples.

$$A_S = \sum_S a_i \quad B_S = \sum_S b_i$$

$$\text{Enc}(b) = \begin{cases} (A_S, B_S) & \text{if } 0 \\ (A_S, B_S + q/2) & \text{if } 1 \end{cases}$$

Decrypt:

$$\text{Dec}(A_S, B_S) = \begin{cases} 0 & \text{if } B_S - \langle A_S, s \rangle \approx 0 \\ 1 & \text{if } B_S - \langle A_S, s \rangle \approx \frac{q}{2} \end{cases}$$

Security: Reduction to decision-LWE

Distinguisher for bits distinguishes LWE from random.