

# Lecture 11: Local Search

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## Logistics



- Next week last Ishaan lecture
- Final class on 4/24
  - Project presentations (7-9 minutes, hard stop at 9)
  - All details regarding project presentations and final submission are on the master doc on the website

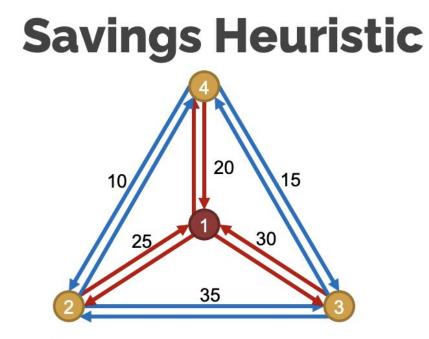


## **Savings Heuristic**

- Pick any vertex x to be the "central vertex"
- Start with n 1 subtours:  $x \to v \to x$  for all  $v \in V x$
- For each edge (i, j), where  $i, j \in V x$ , compute its **savings** s(i, j)

 $\circ \quad s(i,j) = w(i,x) + w(x,j) - w(i,j)$ 

- Sort edges in decreasing order of savings
- Repeat until only one tour remains:
- Let (*i*, *j*) be the next edge in sorted order
- If edges (i, x) and (x, j) are in our subtours, and i, j are not already in the same tour: replace (i, x) and (x, j) by (i, j)



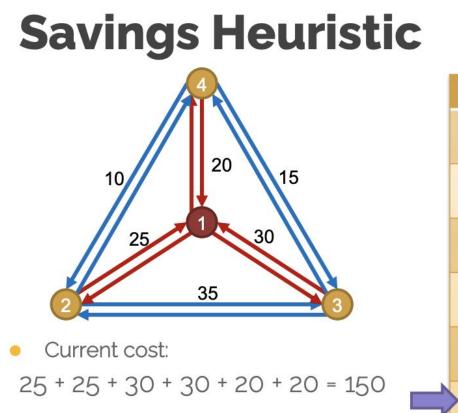
Current cost:

25 + 25 + 30 + 30 + 20 + 20 = 150

# **Savings Heuristic** 20 15 30 35 Current cost:

25 + 25 + 30 + 30 + 20 + 20 = 150

( <b>i</b> , <b>j</b> )	Savings s(i,j)
(2,3)	w(2,1) + w(1,3) - w(2,3) = 25 + 30 - 35 = 20
(3,2)	w(3,1) + w(1,2) - w(3,2) = 30 + 25 - 35 = 20
(2,4)	w(2,1) + w(1,4) - w(2,4) = 25 + 20 - 10 = 35
(4, 2)	w(4,1) + w(1,2) - w(4,2) = 20 + 25 - 10 = 35
(3,4)	w(3,1) + w(1,4) - w(3,4) = 30 + 20 - 15 = 35
(4,3)	w(4,1) + w(1,3) - w(4,3) = 20 + 30 - 15 = 35



bit.	
( <b>i</b> , <b>j</b> )	Savings s(i,j)
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(3,4)	w(3,1) + w(1,4) - w(3,4) = 30 + 20 - 15 = 35
(4,3)	w(4,1) + w(1,3) - w(4,3) = 20 + 30 - 15 = 35

#### **Savings Heuristic** Current cost: 25 + 25 + 20 + 15 + 30 = 115

	( <b>i</b> , <b>j</b> )	Savings s(i,j)
	(2,3)	w(2,1) + w(1,3) - w(2,3) = 25 + 30 - 35 = 20
	(3,2)	w(3,1) + w(1,2) - w(3,2) = 30 + 25 - 35 = 20
	(2,4)	w(2,1) + w(1,4) - w(2,4) = 25 + 20 - 10 = 35
	(4, 2)	w(4,1) + w(1,2) - w(4,2) = 20 + 25 - 10 = 35
~	(3,4)	w(3,1) + w(1,4) - w(3,4) = 30 + 20 - 15 = 35
	(4,3)	w(4,1) + w(1,3) - w(4,3) = 20 + 30 - 15 = 35

<b>Savings Heuristi</b>
<ul> <li>Current cost:</li> <li>25 + 25 + 20 + 15 + 30 = 115</li> </ul>

( <b>i</b> , <b>j</b> )	Savings s(i,j)
(2,3)	w(2,1) + w(1,3) - w(2,3) = 25 + 30 - 35 = 20
(3,2)	w(3,1) + w(1,2) - w(3,2) = 30 + 25 - 35 = 20
(2,4)	w(2,1) + w(1,4) - w(2,4) = 25 + 20 - 10 = 35
(4, 2)	w(4,1) + w(1,2) - w(4,2) = 20 + 25 - 10 = 35
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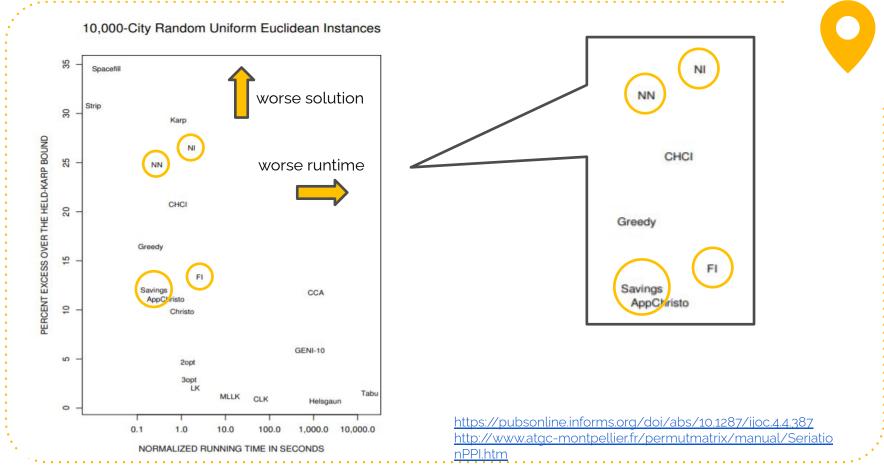
C

<b>Savings Heuristic</b>		
4	( <b>i</b> , <b>j</b> )	Savings
20	(2,3)	w(2, 1 = 2
10 15	(3,2)	w(3, 1 = 3
25 30	(2,4)	w(2, 1 = 2
2 35 3	(4,2)	w(4, 1 = 2
<ul> <li>Current cost:</li> <li>25 + 25 + 20 + 15 + 20 - 115</li> </ul>	(3,4)	w(3, 1 = 3
25 + 25 + 20 + 15 + 30 = 115	(4,3)	w(4, 1 = 2

( <b>i</b> , <b>j</b> )	Savings s(i,j)
(2,3)	w(2,1) + w(1,3) - w(2,3) = 25 + 30 - 35 = 20
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## **Savings Heuristic** Current cost: 25 + 10 + 15 + 30 = 80

( <b>i</b> , <b>j</b> )	Savings s(i,j)
(2,3)	w(2,1) + w(1,3) - w(2,3) = 25 + 30 - 35 = 20
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(4,3)	w(4,1) + w(1,3) - w(4,3) = 20 + 30 - 15 = 35



# **Vehicle Routing Problem**

- Actually, the Savings heuristic was created to solve a generalization of the TSP:
- The Vehicle Routing Problem (VRP) also takes place in a weighted, complete graph
- Instead of one salesman, we have a fleet of vehicles which are all parked at a central vertex (the **depot**)
  - May or may not be a limit on the number of vehicles
- Goal: find routes starting and ending at the depot for each vehicle with minimum total weight so that each vertex is visited once by some vehicle

#### **Constrained VRP**

- In real life: why use a fleet of vehicles when you could have one vehicle that travels all the routes?
- There may be additional constraints for vehicles, e.g.:
  - Maximum distance a vehicle can travel
  - Carrying capacity of a vehicle, where each node has some volume to be delivered

# 0

# **Savings Heuristic for VRP**

- Let x denote the depot
- Start with n 1 subtours:  $x \rightarrow v \rightarrow x$  for all  $v \in V x$
- For each edge (i, j), where  $i, j \in V x$ , compute its **savings** s(i, j)

• s(i,j) = w(i,x) + w(x,j) - w(i,j)

- Sort edges in decreasing order of savings
- Repeat until <del>only one</del> tour remains or we reach negative savings:
- Let (*i*, *j*) be the next edge in sorted order
- If edges (i, x) and (x, j) are in our subtours, and i, j are not already in the same tour: replace (i, x) and (x, j) by (i, j)...
  - …unless it would violate our constraints

# Solving TSP with OR-Tools

- OR-Tools comes with a **routing solver** that can solve the TSP and VRP with much more complex constraints!
  - Pickups and drop-offs, time windows, penalties...
- The guide is pretty good:
   <u>https://developers.google.com/optimization/routing</u>
- Comes with many heuristics including NN, Savings, etc...
  - By default, solver automatically chooses a heuristic to use based on the problem at hand
- Note: the routing solver is optimized for getting a "good enough" solution to constrained problems, not exact solving huge TSPs

### **Recap: Heuristics**



- Last week: *construction heuristics* 
  - Start with nothing and build up a partial solution
  - Nearest neighbor, nearest/farthest insertion, savings
- This week: *improvement heuristics* 
  - Start with any solution and try to find a better one
  - In particular: local search

#### Local Search



- Out of all possible solutions, consider some of them as "neighbors" in (undirected) neighborhood graph
  - Typically, two solutions are neighbors if we can transform one into the other by a simple operation
- Start with any solution node, and attempt to reach a better one by exploring its neighborhood
- Limit which moves are acceptable to make the graph directed
- In other words, start with any solution, and continuously tweak it to a better solution.



# **Terminating Local Search**

- When should we give up exploring?
- Time bound: give up if it's taking too long
- **Step bound:** give up after some number of steps
  - Problem-specific knowledge will help here
- Improvement bound: give up if we have not improved our solution (enough)
  - Can combine with time/step bounds

#### **Back to TSP**

- Local search is natural for TSP
- Start with any tour, and try to improve it into a cheaper tour
- What's a reasonable "neighbor relation" on all tours?
  - What's a simple operation to transform one tour into another tour?



# 2-Adjacency and

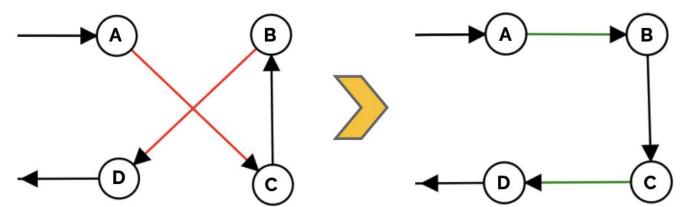


- **2-Optimality** We say TSP tours *T* and *T*' are **2-adjacent** if we can transform one into the other by deleting two edges and adding two edges
  - We say TSP tour *T* is **2-optimal** if there is no cheaper tour adjacent to *T*

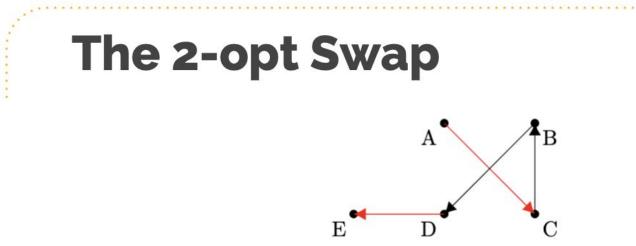
# The 2-opt Swap

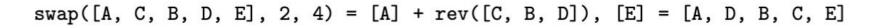


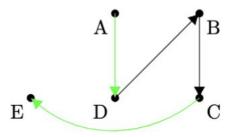
Idea: "uncross" the tour where it crosses over itself



• swap $(T, i, j) = T[1:i-1] + T[i:j]^R + T[j+1:n]$ • swap $([A, C, B, D], 2, 3) = [A] + [C, B]^R + [D] = [A, B, C, D]$ 







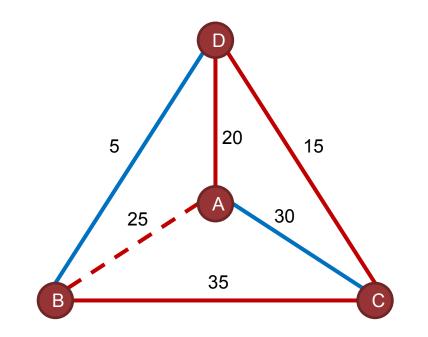
# The 2-opt Heuristic



```
# attempt to improve tour T
2-opt(T):
    until cost(T) does not decrease:
    for each pair of indices i < j:
        if cost(swap(T,i,j)) < cost(T):
            let T = swap(T,i,j)</pre>
```

This heuristic *does not guarantee* you will find the optimal solution.

#### **The 2-opt Heuristic**

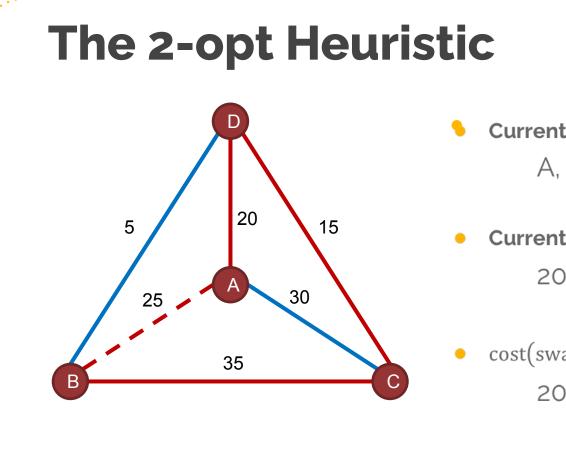


• Current tour: A, D, C, B

Current cost:

20 + 10 + 35 + 30 = 95



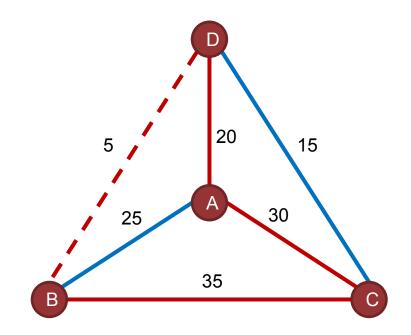




Current cost: 20 + 10 + 35 + 30 = 95

 $\operatorname{cost}(\operatorname{swap}(T, 1, 2)) = \operatorname{cost}([D, A, C, B])$ 20 + 30 + 35 + 5 = 90

### **The 2-opt Heuristic**

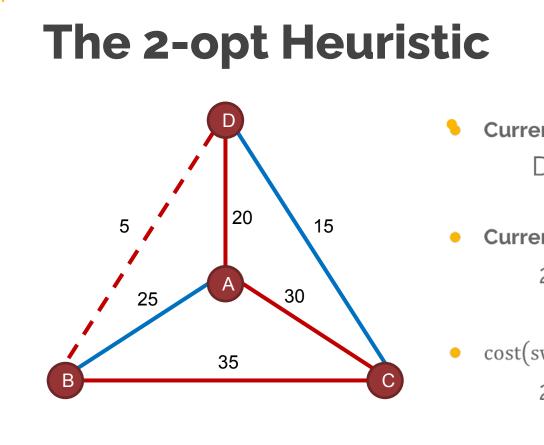


• Current tour: D, A, C, B

• Current cost:

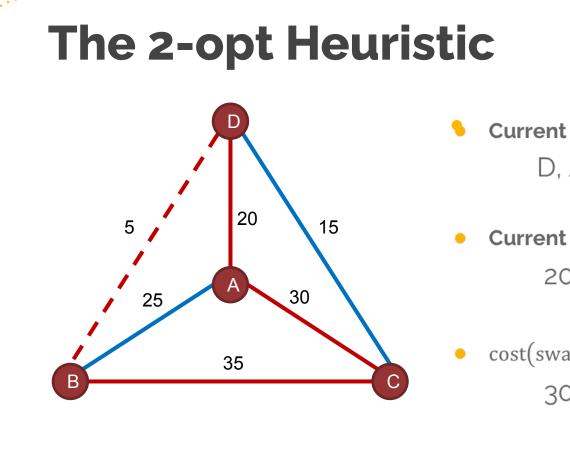
20 + 30 + 35 + 5 = 90





**Current cost**: 20 + 30 + 35 + 5 = 90

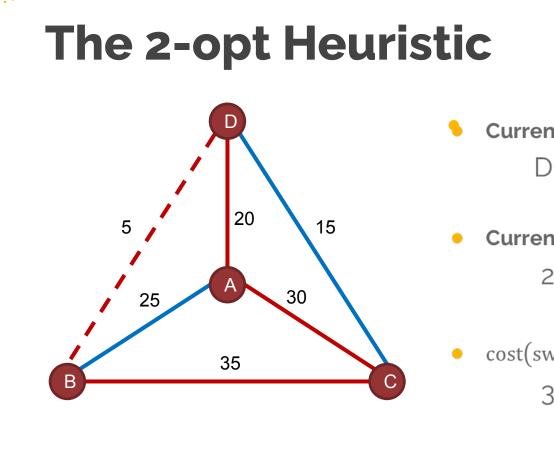
cost(swap(T, 1, 2)) = cost([A, D, C, B]):20 + 10 + 35 + 30 = 95





Current cost: 20 + 30 + 35 + 5 = 90

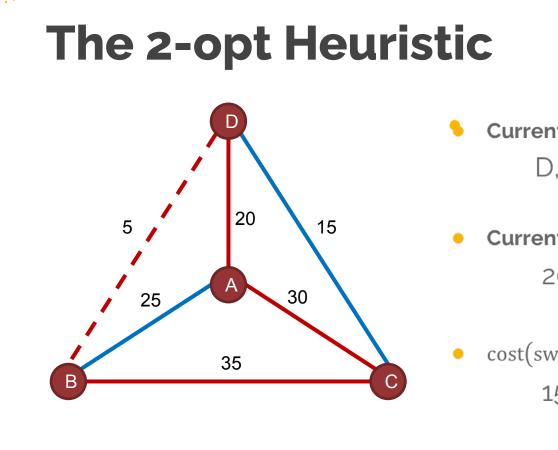
 $\operatorname{cost}(\operatorname{swap}(T, 1, 3)) = \operatorname{cost}([C, A, D, B])$ 30 + 20 + 5 + 35 = 90





Current cost: 20 + 30 + 35 + 5 = 90

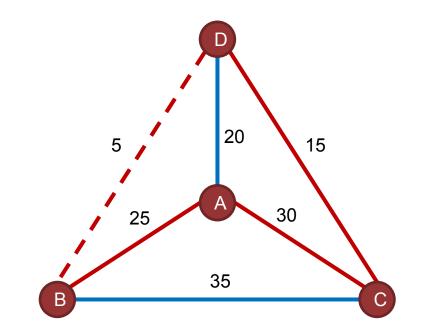
• cost(swap(T, 1, 4)) = cost([B, C, A, D]): 35 + 30 + 20 + 5 = 90



Current cost: 20 + 30 + 35 + 5 = 90

 $\operatorname{cost}(\operatorname{swap}(T, 2, 3)) = \operatorname{cost}([D, C, A, B])$ 15 + 30 + 25 + 5 = 75

### **The 2-opt Heuristic**



- Current tour:D, C, A, B
- Current cost:

Ftc...

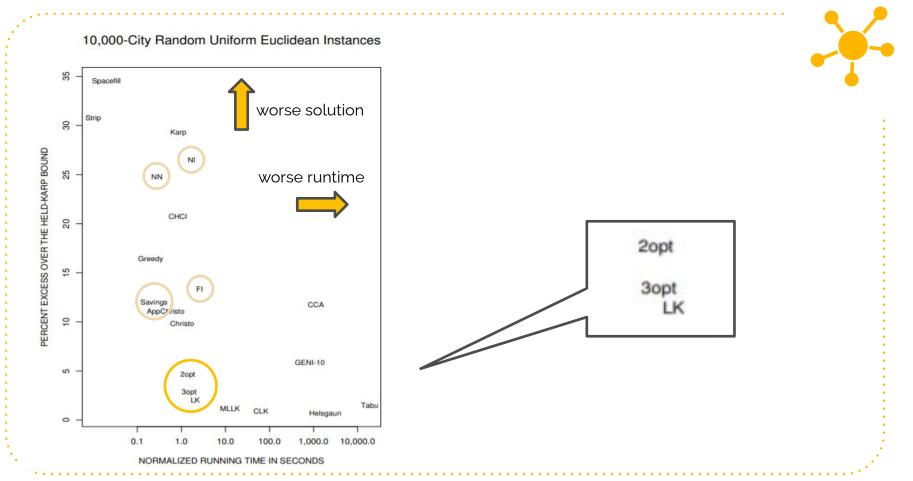
15 + 30 + 25 + 5 = 75

31

# Generalizing 2-opt



- Can easily generalize 2-opt to **3-opt, 4-opt**, *k*-opt...
- Lin-Kernighan heuristic: start with k-opt for k = 2, then dynamically increase/decrease k over time based on several criteria
  - One of the most effective TSP heuristics!



# Are you sure 2-OPT doesn't always eventually return optimal?





## Local Search for SAT

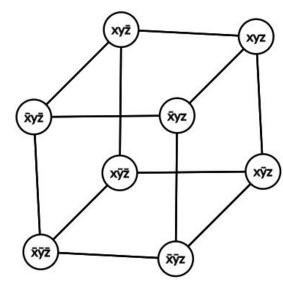
- Even though SAT isn't an optimization problem, we can still try to solve it with local search
- A "solution" will be any truth assignment, even if it isn't satisfying
- What is a reasonable "neighbor relation" on all assignments?





#### Neighborhood of Assignments assignment into another?

Flip the truth value of a single variable



• Which variable to flip?

- Which variable to flip?
- First attempt: let's just be greedy

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- Idea: flip the variable that will make the most unsatisfied clauses become satisfied.

- Which variable to flip?
- First attempt: let's just be greedy
- Idea: flip the variable that will make the most unsatisfied clauses become satisfied.
- Issue: if flipping variable x changes 100 clauses from unsat → sat, but at the same time changes 200 clauses from sat → unsat, we aren't making progress in the right direction

- Which variable to flip?
- First attempt: let's just be greedy
- Idea 2: Flip the variable that maximizes the number of clauses that become satisfied
  - The **net change** in satisfied clauses

- Which variable to flip?
- First attempt: let's just be greedy
- Idea 2: Flip the variable that maximizes the number of clauses that become satisfied
  - The **net change** in satisfied clauses
- What termination criterion makes sense?
  - Steps!



- Slight improvement to objective:
- **Makecount:** number of clauses that become satisfied if we flip a variable
- **Breakcount:** number of clauses that become unsatisfied if we flip a variable
- Instead of maximizing makecount, maximize diffscore
   = makecount breakcount
  - Corresponds to maximizing total number of satisfied clauses

#### **GSAT Data Structures**

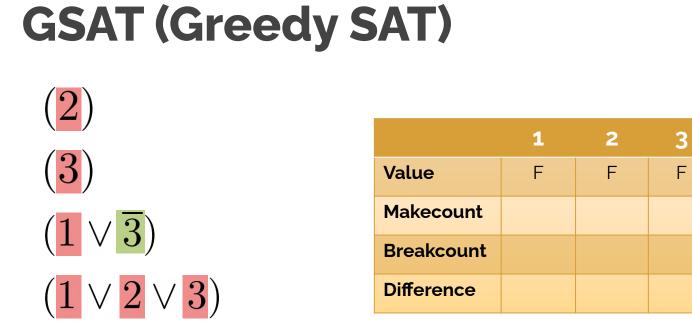


- How do we efficiently calculate which flip is best?
- Unsat list: all currently unsatisfied clauses
- Occurrence lists: clauses containing each literal
- Makecount and breakcount lists: for each variable, store the number of clauses that become satisfied/unsatisfied if we flip
  - When we flip *x*, update counts for all other variables in clauses containing *x*

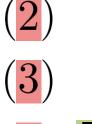


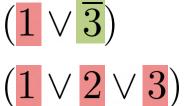
#### **GSAT Flip Pseudocode**

```
#• for simplicity assume v = T and we set v = F afterwards
pre flip(v):
  for clause C containing v:
    if n true lits [C] = 1: # case 1 -> 0
      add C to unsat list
      for literal l in C: make count[var(l)] += 1
      break count[v] -= 1
    else if n true lits[C] = 2: # case 2 -> 1
      let l = the other true literal in C
      break count[var(l)] += 1
  for clause C containing \overline{v}:
    # false -> true case is essentially symmetric
```



We started with a "random" assignment. It just happened to be (F, F, F).



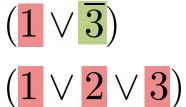


	1	2	3
Value	F	F	F
Makecount	1	2	2
Breakcount	0	0	1
Difference	1	2	1





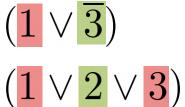




	1	2	3
Value	F	F	F
Makecount	1	2	2
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Difference	1	2	1



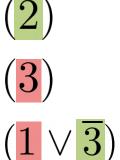




	1	2	3
Value	F	Т	F
Makecount			
Breakcount			
Difference			







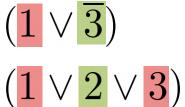
 $(1 \lor 2 \lor 3)$ 

	1	2	3
Value	F	Т	F
Makecount	0	0	1
Breakcount	0	2	1
Difference	0	-2	0



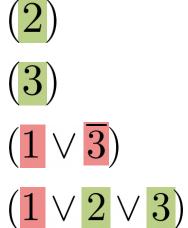






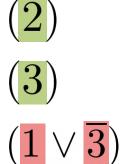
	1	2	3
Value	F	Т	F
Makecount	0	0	1
Breakcount	0	2	1
Difference	0	-2	0





	1	2	3
Value	F	Т	Т
Makecount			
Breakcount			
Difference			



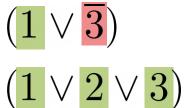


 $(1 \lor 2 \lor 3)$ 

	1	2	3
Value	F	Т	Т
Makecount	1	0	1
Breakcount	0	1	1
Difference	1	-1	0







	1	2	3
Value	т	Т	Т
Makecount	0	0	0
Breakcount	1	1	1

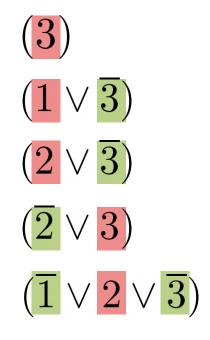


#### Incompleteness



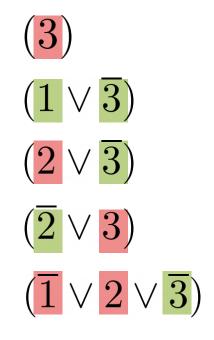
- Unlike DPLL, GSAT (and many local search algorithms in general) is **incomplete** 
  - May not necessarily find an optimal/feasible solution even given unlimited time
- May start at node that can't reach any feasible/optimal node or get stuck in a cycle/local optimum





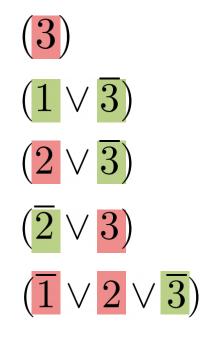
	1	2	3
Value	F	F	F
Makecount	0	0	1
Breakcount	0	1	2
Difference	0	-1	-1





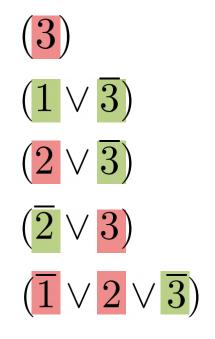
	1	2	3
Value	т	F	F
Makecount			
Breakcount			





	1	2	3
Value	т	F	F
Makecount	0	0	1
Breakcount	0	1	2





	1	2	3
Value	т	F	F
Makecount	0	0	1
Breakcount	0	1	2
Difference	0	-1	-1

# Avoiding local optima



- Can use a technique we've seen before...
- Aggressive **restarts**: whenever we can't greedily increase number of satisfied clauses, restart with a new random assignment



# Towards a better algorithm

- Might still just repeatedly get stuck in local maxima
- How can we explore the search space more loosely to escape?
- Also, our greedy heuristic is slow: requires checking all variables at each step



- For now, let's just consider 2-SAT
- Simplified WalkSAT algorithm:
  - Start with any assignment of  $\varphi$
  - Arbitrarily pick a clause C that is not satisfied
  - Randomly flip the value of one of C's literals
- "Random walk" might never finish!

1

F

2

F

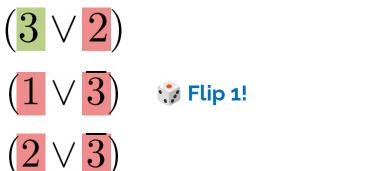
3

F

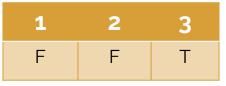








 $(\overline{2} \lor 3)$ 





2

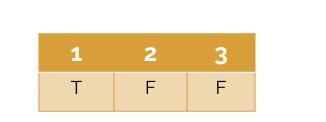
F

3

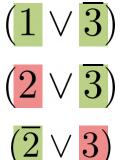
Т



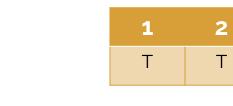
🎲 Flip 2!



67

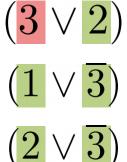


 $(3 \lor 2)$ 



3

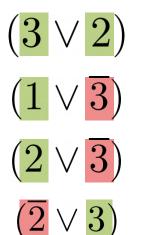
Т

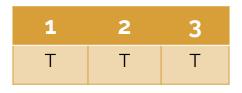








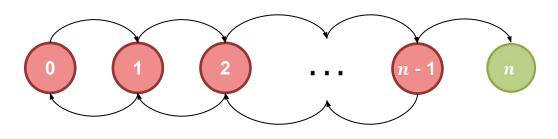






# Analyzing Simplified

- For now, let's just consider 2-SAT
- Simplified WalkSAT is mathematically "nice"
- Suppose  $\varphi$  has a satisfying assignment  $\alpha$
- *State* of WalkSAT: how many variables in the current assignment agree with *α*?





#### Let our "current" assignment be $\mathbf{S_t}$ and let the true assignment be $\mathbf{S^*}$

<b>U</b> t		
x <sub>1</sub>	F	
x <sub>2</sub>	F	
x <sub>3</sub>	F	
x <sub>4</sub>	F	
x <sub>5</sub>	Т	

S

5		
x <sub>1</sub>	Т	
x <sub>2</sub>	F	
x <sub>3</sub>	F	
X <sub>4</sub>	Т	
x <sub>5</sub>	Т	

**C**\*

State = 3

State = 5





Both variables in the unsatisfied clause we chose happen to differ from S\*

	t	
x <sub>1</sub>	F	
x <sub>2</sub>	F	
x <sub>3</sub>	F	
x <sub>4</sub>	F	
x <sub>5</sub>	Т	

Г
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**S**\*

State = 3

C

Case 1

State = 5





Both variables in the unsatisfied clause we chose happen to differ from S\*

S <sub>t</sub>					
x <sub>1</sub>	F				
x <sub>2</sub>	F				
x <sub>3</sub>	F				
x <sub>4</sub>	F				
x <sub>5</sub>	Т				

C

Case 1

3					
x <sub>1</sub>	Т				
x <sub>2</sub>	F				
x <sub>3</sub>	F				
X <sub>4</sub>	Т				
× <sub>5</sub>	Т				

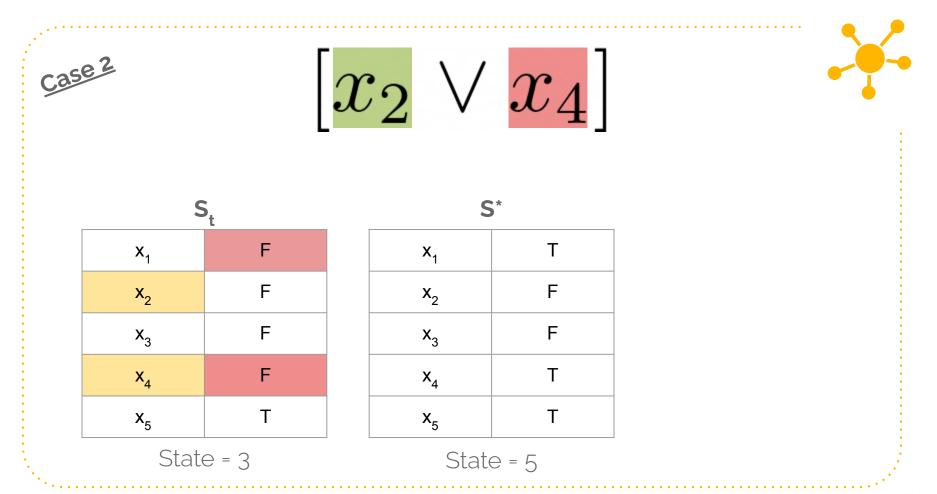
C\*

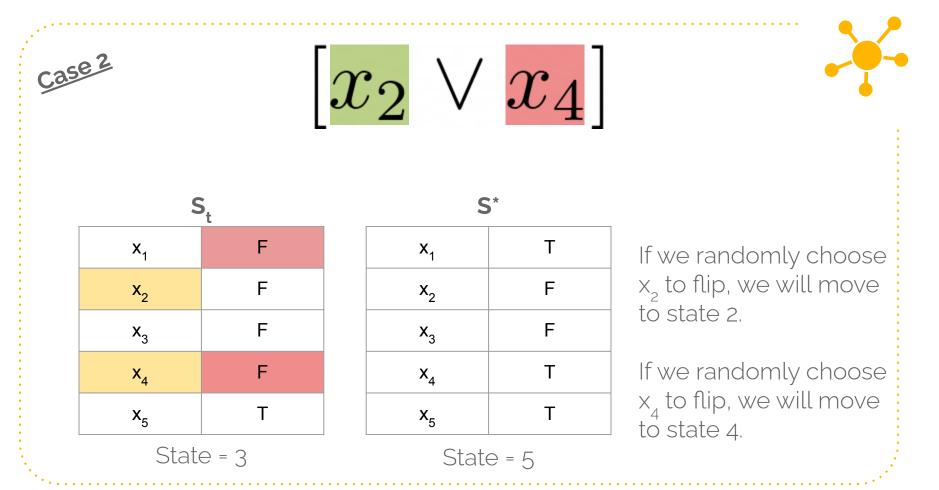
If we randomly choose x<sub>1</sub> to flip, we will move to state 4.

If we randomly choose x<sub>4</sub> to flip, we will move to state 4.

State = 3

State = 5







#### Probability of "making progress"

# $\Pr[\mathtt{state}_{t+1} = i+1 \mid \mathtt{state}_t = i] \ge 1/2$

Probability of "going backwards"

 $\Pr[\mathtt{state}_{t+1} = i - 1 \mid \mathtt{state}_t = i] \le 1/2$ 



# Analyzing Simplified WalkSAT



unsatisfied clause

- At least ½ probability of advancing to next state
  - If we reach state n, done
- In expectation, satisfying assignment will be found in  $\mathcal{O}(n^2)$  steps

# From 2-SAT to 3-SAT



- Intuition behind simplified WalkSAT running time: we're at least as likely to move forward as backwards, so given enough time we'll get lucky
- Who cares about 2-SAT? Not NP-complete.
- OK, so let's just do the same procedure for 3-SAT

# The Problem with 3-SAT



- Probability of moving to next state is at least 1/3
- Probability of moving backwards to previous state can be as bad as 2/3!
- Intuition: we're "pulled" backwards, and the more steps we take the farther we are from our goal
- Expected runtime:  $O(2^n)$

# 4

# A Smarter 3-CNF WalkSAT

- Idea: since we move farther "backwards" the longer we run, we should not run for long
- Can utilize aggressive **restarts** 
  - If we don't find a satisfying assignment in 3n steps, restart
- Expected runtime:  $O\left(\left(\frac{4}{3}\right)^n\right)$ 
  - Assuming we start from a random assignment

# WalkSAT in Practice



 In practice, rather than just rely on randomness, we'll mix random walks and greediness

#### • WalkSAT algorithm:

- Start with any assignment of  $\varphi$
- Arbitrarily pick a clause C that is not satisfied
- With fixed probability p:
  - Randomly flip the value of one of *C*'s literals
- Else with probability 1 p:
  - Flip literal in C to maximize number of clauses that become satisfied



# **Choosing a Mixing Probability**What to choose for the mixing probability *p*?

• Prof. Charles Elkan (UCSD):

For random hard 3SAT problems (those with the ratio of clauses to variables around 4.25) p = 0.5 works well. For 3SAT formulas with more structure, as generated in many applications, slightly more greediness, i.e. p < 0.5, is often better.

- Best to determine experimentally for your problem
  - For industrial (non-random) and unsatisfiable SAT instances, WalkSAT is probably much worse than CDCL

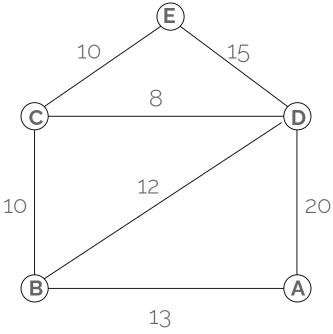
# 4

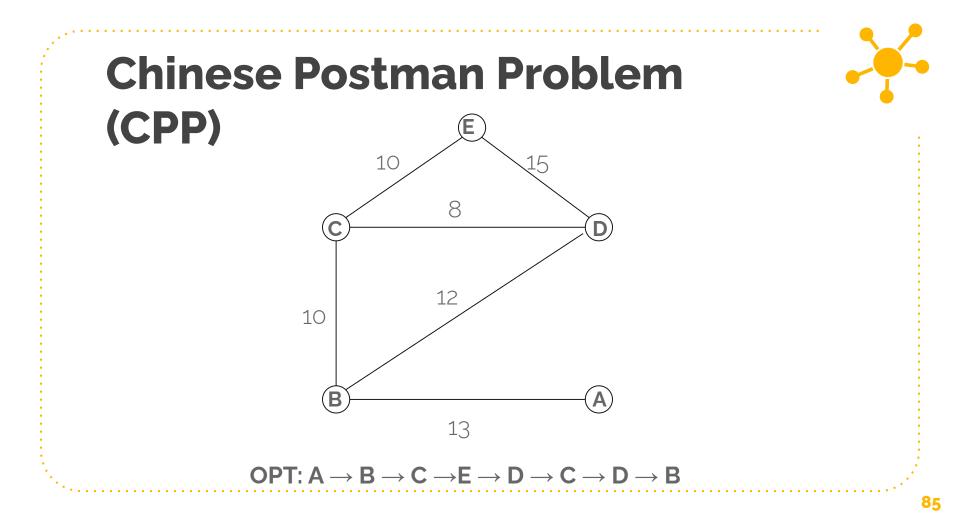
#### Chinese Postman Problem (CPP) • Studied by Chinese mathematician Kwan Mei-Ko in 1960

- Given an undirected weighted graph G, what is the **least** weight traversal of the graph that visits every edge at least one time?
- Example: A postman delivering letters wants to know the optimal route that traverses every street in a given area.



## Chinese Postman Problem (CPP)

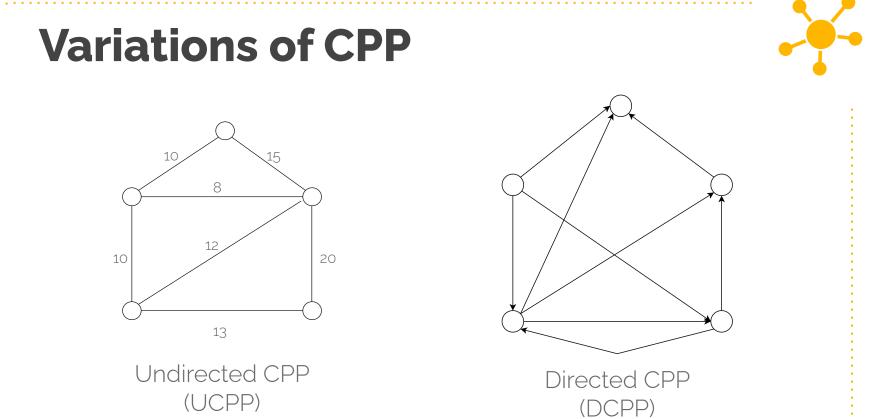






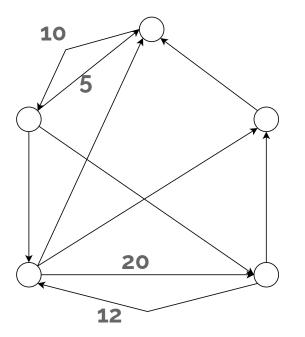
#### Chinese Postman Problem (CPP) • CPP can be solved in Polynomial Time.

- O(n<sup>3</sup>) solution using T-joins
- Directed CPP is also Poly-time solvable O(V<sup>2</sup>E)
- CPP can be solved in Polynomial Time.



NY Street Sweeper

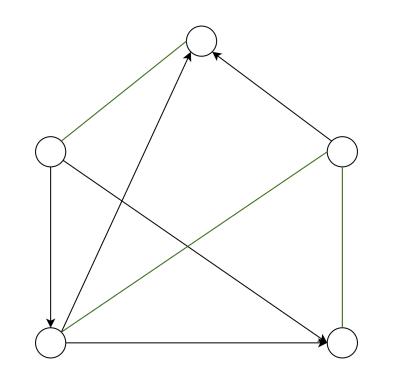
### Variations of CPP



Windy CPP

- For some pairs of vertices, edges exist in both directions, but they have different weight.
- WCPP is NP-Hard

## Variations of CPP



Mixed CPP

- Graph has a mixture of directed edges and undirected edges
- Mix of one-way and two-way streets
- Undirected edges only need to be traversed in one direction
- MCPP is NP-Hard



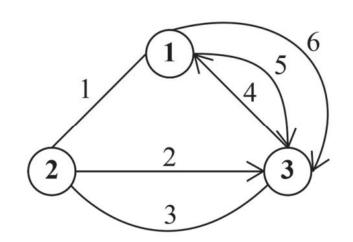


Fig. 1. The original MCPP problem in multigraph

Step 1:

• Replace undirected edges with parallel edges



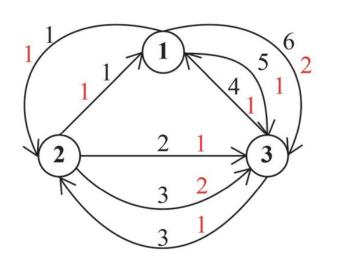


Fig. 2. The results of numbering each parallel arc

Step 1:

- Replace undirected edges with parallel edges
- Red numbers are indices for edges pointing between the same pair of vertices.

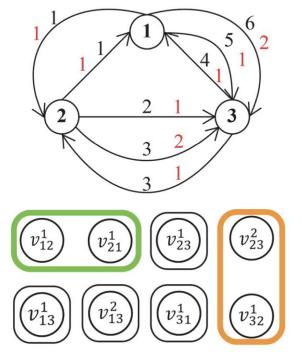


Fig. 3. The vertices and clusters of transformed problems

Step 2:

- Create a vertex for each edge.
- Vertices in the same colored box represent the edges that create an undirected edge.



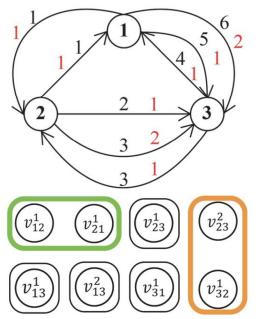


Fig. 3. The vertices and clusters of transformed problems

Step 3:

• Determine the weight on the edge between all pairs of vertices

 $c(v_{ab}^{k_1}, v_{cd}^{k_2}) = d_{bc} + c_{cd}^{k_2}$ 

d<sub>bc</sub> is the shortest distance between vertices b and c in the original graph.

 $c(v_{21}^1, v_{31}^1) = d_{13} + c_{31}^1$ = (1+2) + 4



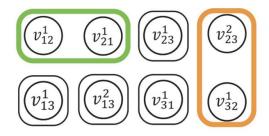


Fig. 3. The vertices and clusters of transformed problems

		1	2	3	4	5	6	7	8
		$v_{12}^1$	$v_{13}^1$	$v_{13}^2$	$v_{21}^{1}$	$v_{23}^{1}$	$v_{23}^2$	$v_{31}^1$	$v_{32}^{1}$
1	$v_{12}^1$	-	6	7	1	2	3	6	5
2	$v_{13}^1$	5	-	10	4	5	6	4	3
3	$v_{13}^2$	5	9	-	4	5	6	4	3
4	$v_{21}^1$	1	5	6	- 1	3	4	7	6
5	$v_{23}^{1}$	5	9	10	4	-	6	4	3
6	$v_{23}^2$	5	9	10	4	5	-	4	3
7	$v_{31}^1$	1	5	6	2	3	4	-	6
8	$v_{32}^1$	2	6	7	1	2	3	6	-

Step 4:

• Choose your favorite TSP algorithm!