



CIS 189



Lecture 4: Modern Techniques in SAT Solving

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Recap: Iterative DPLL



```
dpll( $\varphi$ ):  
    if unit_propagate() = CONFLICT: return UNSAT  
    while not all variables have been set:  
        let  $x$  = pick_variable()  
        create new decision level  
        set  $x$  = T  
        while unit_propagate() = CONFLICT:  
            if decision_level = 0: return UNSAT  
            backtrack()  
            set  $x$  = F  
    return SAT
```

Chronological Backtracking



- DPLL uses **chronological backtracking**: when we find a conflict, backtrack to the previous decision level
- **Issue**: might reach conflicts (contradictions) caused by the same underlying reason over and over again

Chronological Backtracking



$(1 \vee \bar{2})$

$(\bar{1} \vee 3 \vee 4)$

$(\bar{1} \vee \bar{3} \vee 4)$

$(\bar{1} \vee 3 \vee \bar{4})$

$(\bar{1} \vee \bar{3} \vee \bar{4})$

Chronological Backtracking



(**1** ∨ $\bar{2}$)

($\bar{1}$ ∨ 3 ∨ 4)

($\bar{1}$ ∨ $\bar{3}$ ∨ 4)

($\bar{1}$ ∨ 3 ∨ $\bar{4}$)

($\bar{1}$ ∨ $\bar{3}$ ∨ $\bar{4}$)

$\bar{1}$



Chronological Backtracking



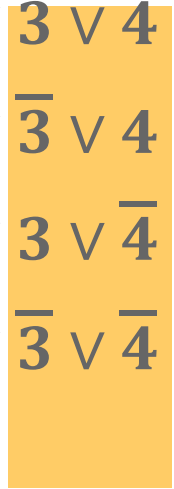
$$(1 \vee \bar{2})$$

$$(\bar{1} \vee 3 \vee 4) \text{ UNSAT subformula}$$

$$(\bar{1} \vee \bar{3} \vee 4)$$

$$(\bar{1} \vee 3 \vee \bar{4})$$

$$(\bar{1} \vee \bar{3} \vee \bar{4})$$



Chronological Backtracking



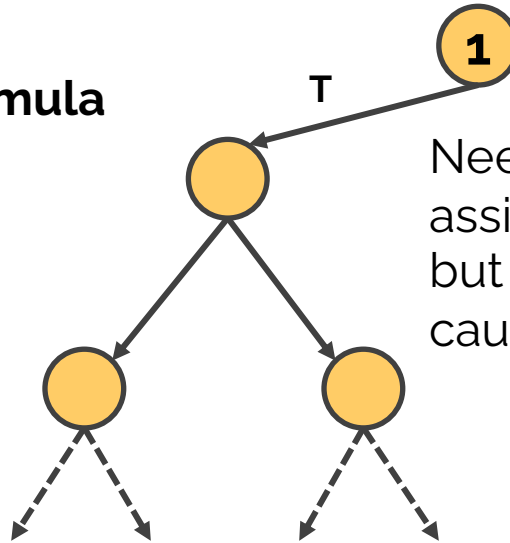
($1 \vee \bar{2}$)

($\bar{1} \vee 3 \vee 4$) UNSAT subformula

($\bar{1} \vee \bar{3} \vee 4$)

($\bar{1} \vee 3 \vee \bar{4}$)

($\bar{1} \vee \bar{3} \vee \bar{4}$)



Need to rule out all assignments of 2, 3, 4, but issue was really caused by $1 = T$!

Backjumping



- Not every decision actually contributes to a conflict
- **Idea:** upon conflict, instead of backtracking one level to the last decision, **backjump** to an *important* decision
 - i.e., a decision that contributed to the conflict
- But how do we know what is an important decision?



Implication Graphs

- An **implication graph** G is a DAG whose vertices are literal assignments at a particular decision level
 - Ex: $\bar{x}@3$ represents setting x to False at level 3
 - Assignments can be decisions or due to unit propagation/backtracking
- Can also contain special vertex \perp representing a conflict
- There is an edge $x@i \rightarrow y@j$ if the assignment $x@i$ directly implied the assignment $y@j$
 - i.e., $y@j$ was set by unit propagation from a clause containing \bar{x}

Implication Graphs



$$c_1: \bar{2} \vee \bar{3} \vee \bar{4}$$

$$c_2: \bar{3} \vee \bar{5} \vee \bar{6}$$

$$c_3: 4 \vee 6 \vee 7$$

$$c_4: \bar{7} \vee \bar{8}$$

$$c_5: \bar{1} \vee \bar{7} \vee \bar{9}$$

$$c_6: \bar{1} \vee 8 \vee 9$$

Implication Graphs



$$c_1: \bar{2} \vee \bar{3} \vee \bar{4}$$

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$$c_6: \bar{1} \vee 8 \vee 9$$

1@1

Implication Graphs



$$c_1: \bar{2} \vee \bar{3} \vee \bar{4}$$

$$c_2: \bar{3} \vee \bar{5} \vee \bar{6}$$

$$c_3: 4 \vee 6 \vee 7$$

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$$c_5: \bar{1} \vee \bar{7} \vee \bar{9}$$

$$c_6: \bar{1} \vee 8 \vee 9$$

1@1

2@2



Implication Graphs

$$c_1: \bar{2} \vee \bar{3} \vee \bar{4}$$

$$c_2: \bar{3} \vee \bar{5} \vee \bar{6}$$

$$c_3: 4 \vee 6 \vee 7$$

$$c_4: \bar{7} \vee \bar{8}$$

$$c_5: \bar{1} \vee \bar{7} \vee \bar{9}$$

$$c_6: \bar{1} \vee 8 \vee 9$$

1@1

3@3

2@2



Implication Graphs

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$

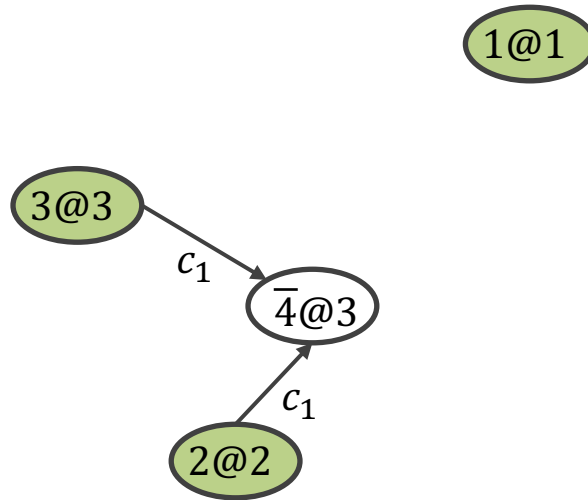
c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$

c_3 : $4 \vee 6 \vee 7$

c_4 : $\bar{7} \vee \bar{8}$

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c_6 : $\bar{1} \vee 8 \vee 9$





Implication Graphs

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$

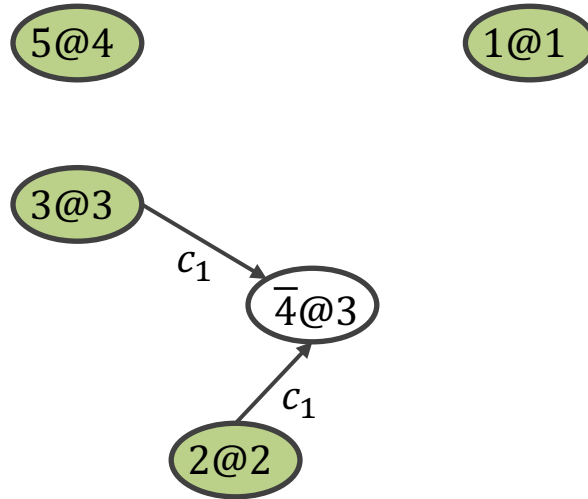
c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$

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c_4 : $\bar{7} \vee \bar{8}$

c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$

c_6 : $\bar{1} \vee 8 \vee 9$





Implication Graphs

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$

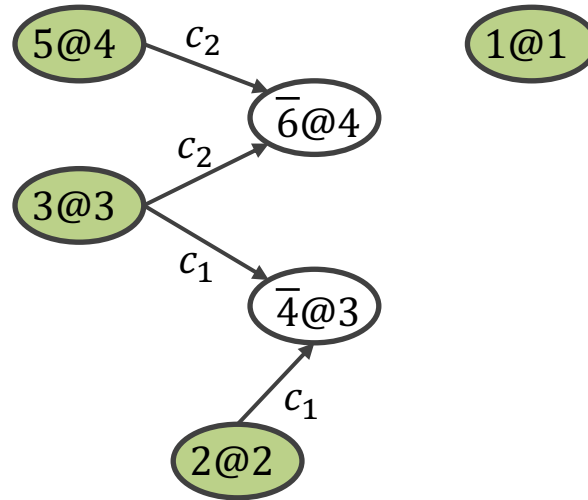
c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$

c_3 : $4 \vee 6 \vee 7$

c_4 : $\bar{7} \vee \bar{8}$

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c_6 : $\bar{1} \vee 8 \vee 9$





Implication Graphs

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$

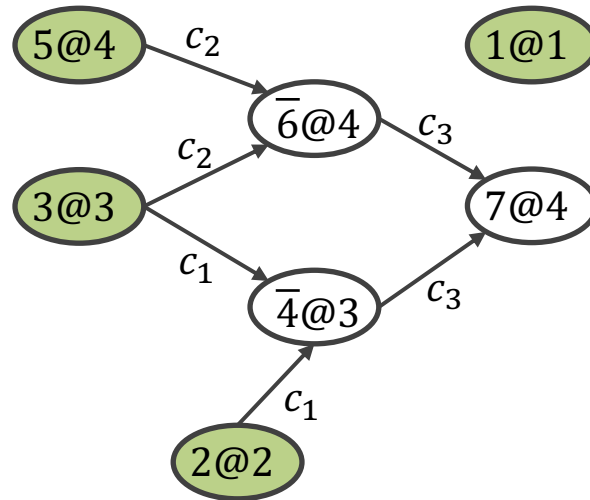
c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$

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c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$

c_6 : $\bar{1} \vee 8 \vee 9$





Implication Graphs

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$

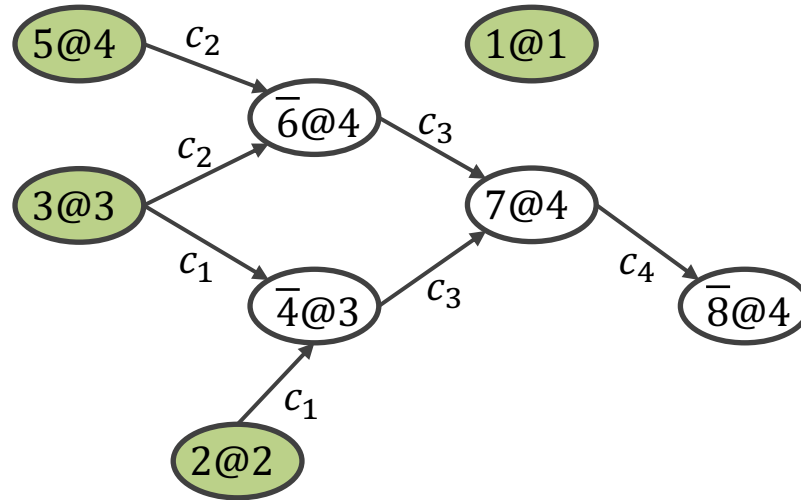
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c_4 : $\bar{7} \vee \bar{8}$

c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$

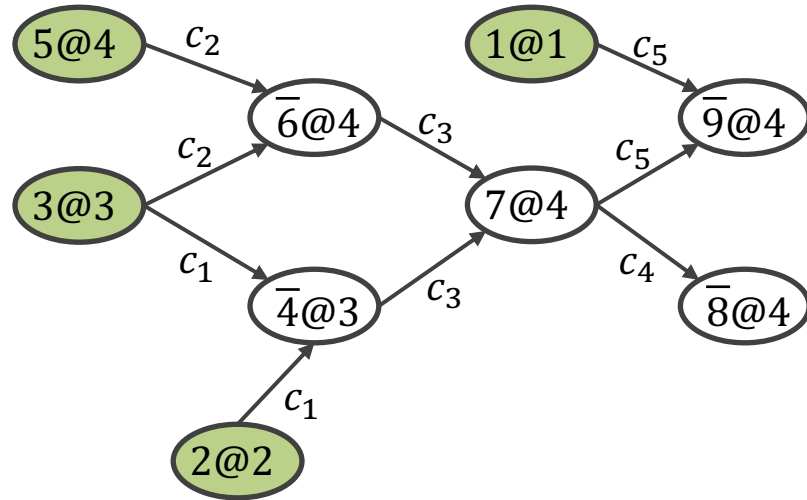
c_6 : $\bar{1} \vee \bar{8} \vee 9$





Implication Graphs

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$
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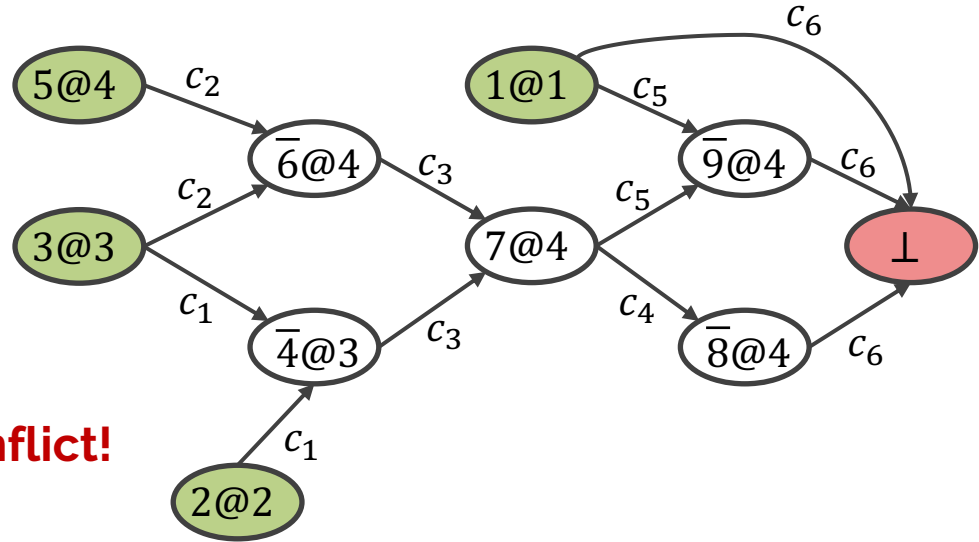




Implication Graphs

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$
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 c_4 : $\bar{7} \vee \bar{8}$
 c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$
 c_6 : $\bar{1} \vee 8 \vee 9$

Conflict!



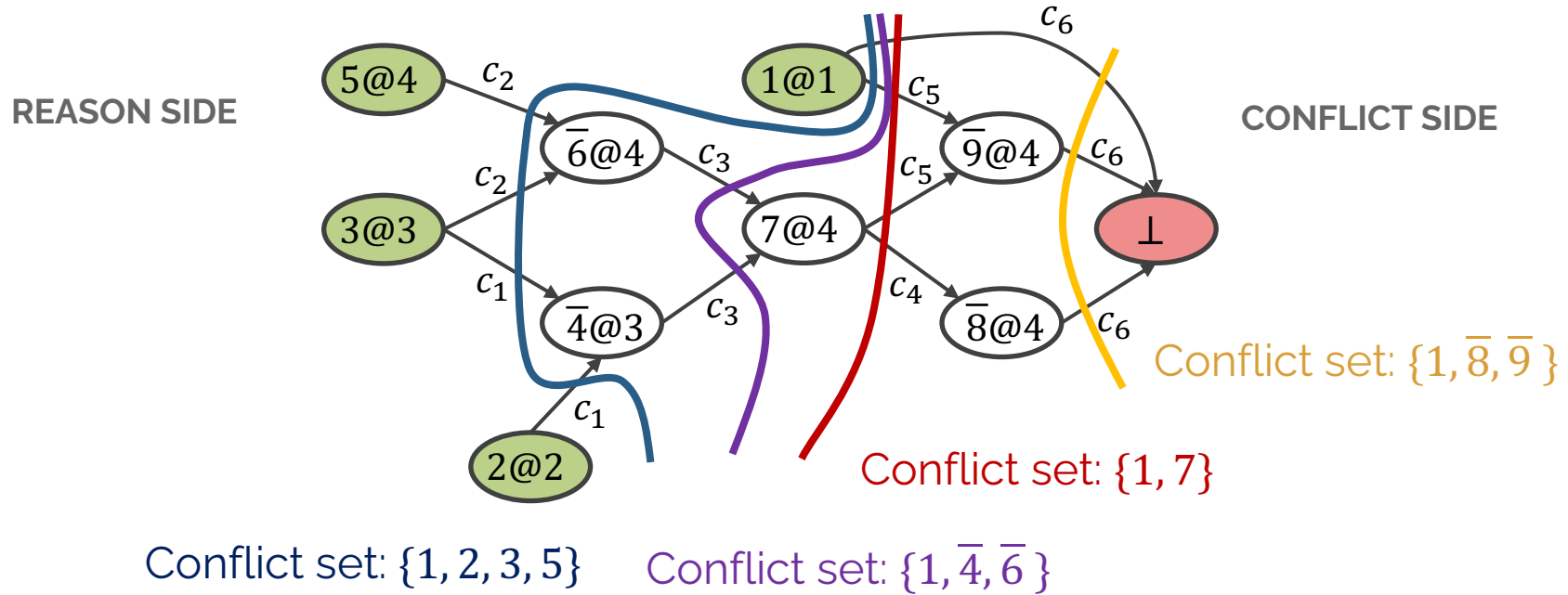


Conflicts

- A **conflict set** of assignments (collectively) imply a conflict
- A **conflict cut** in an implication graph is a bipartition of the vertices $V = R \cup C$ such that:
 - *Reason side* R contains all decisions (source nodes)
 - *Conflict side* C contains the conflict node (a sink)
 - No edges cross $C \rightarrow R$, only $R \rightarrow C$
- The set of vertices with an outgoing edge crossing a given conflict cut forms a conflict set



Ex: Conflict Cuts



And more...



Clause Learning

- **Observation:** Given a conflict set $\{x_1, x_2, \dots, x_k\}$, we know at least one literal in the set must be False
- Can derive the **conflict clause** $(\overline{x_1} \vee \overline{x_2} \vee \dots \vee \overline{x_k})$
- **Conflict-driven clause learning (CDCL):** add conflict clauses to the original CNF we're solving
 - Introduced by GRASP (1996); revolutionized SAT solving
 - Many solvers have **aggressive deletion policy** for long, "inactive," "unhelpful" learned clauses – avoid explosion in CNF size

Asserting Clauses



- Many conflict cuts – how do we decide which to choose to build a conflict clause?
- **Goal:** after backjumping, be able to apply new knowledge from learned clause right away
 - Want learned clause to become a unit clause right after backjumping



Asserting Clauses

- A learned clause is **asserting** if it contains only one variable set on the same decision level as conflict
 - Is it possible for any conflict clause to contain zero?
- **Observation:** iff a clause is asserting, it will become a unit clause after backtracking
- How far can we backjump and still have asserting clauses become unit clauses?
 - Backjump to *second-largest* (i.e., deepest) decision level in asserting clause (or zeroth level if asserting clause has size 1)
 - i.e., return to that decision level (don't undo the decision)
 - Called the **asserting level**

CDCL (Pseudocode)



```
cdcl( $\varphi$ ):  
  if unit_propagate() = CONFLICT: return UNSAT  
  while not all variables have been set:  
    let  $x$  = pick_variable()  
    create new decision level; set  $x$  = T  
    while unit_propagate() = CONFLICT:  
      if level = 0: return UNSAT  
      let (conflict_cls, assrt_lvl) = analyze_conflict()  
      let  $\varphi$  =  $\varphi \cup \{ \text{conflict\_cls} \}$   
      # discard all assignments after asserting level  
      backjump(assrt_lvl)  
  return SAT
```



Asserting Level Backjump

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$

c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$

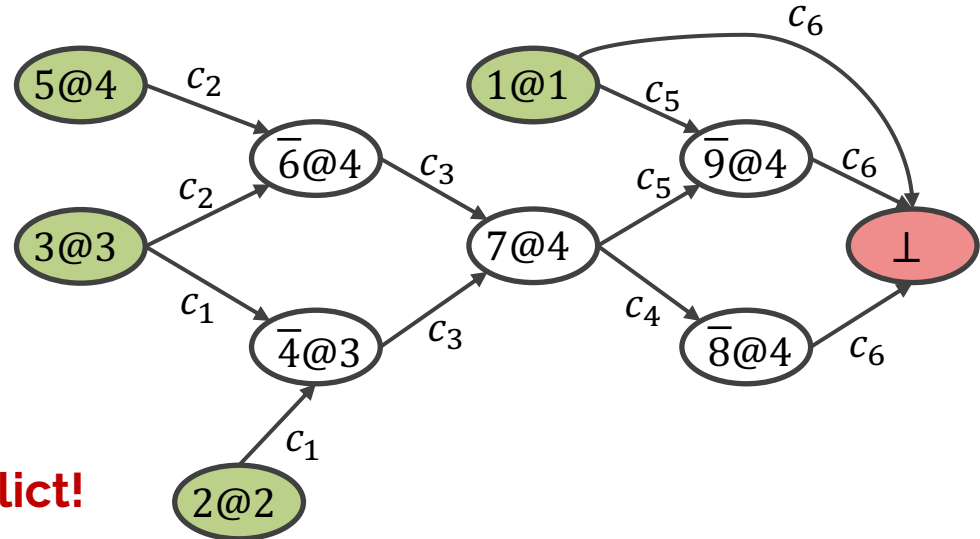
c_3 : $4 \vee 6 \vee 7$

c_4 : $\bar{7} \vee \bar{8}$

c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$

c_6 : $\bar{1} \vee \bar{8} \vee \bar{9}$

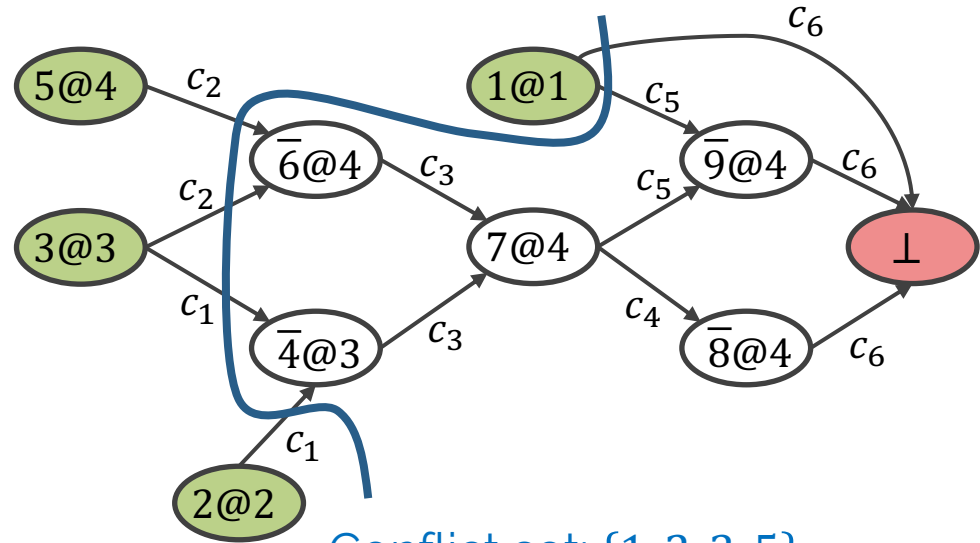
Conflict!





Asserting Level Backjump

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$
 c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$
 c_3 : $4 \vee 6 \vee 7$
 c_4 : $\bar{7} \vee \bar{8}$
 c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$
 c_6 : $\bar{1} \vee 8 \vee 9$
 c : $\bar{1} \vee \bar{2} \vee \bar{3} \vee \bar{5}$



Conflict set: {1, 2, 3, 5}

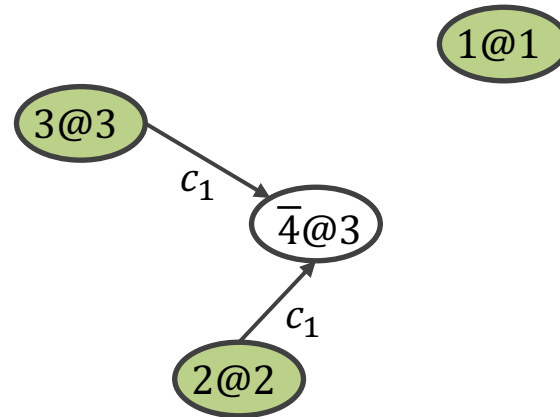
Asserting level = 3 (from 3@3)



Asserting Level Backjump

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$
 c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$
 c_3 : $4 \vee 6 \vee 7$
 c_4 : $\bar{7} \vee \bar{8}$
 c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$
 c_6 : $\bar{1} \vee 8 \vee 9$
 c : $\bar{1} \vee \bar{2} \vee \bar{3} \vee \bar{5}$

Backjump!





Asserting Level Backjump

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$

c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$

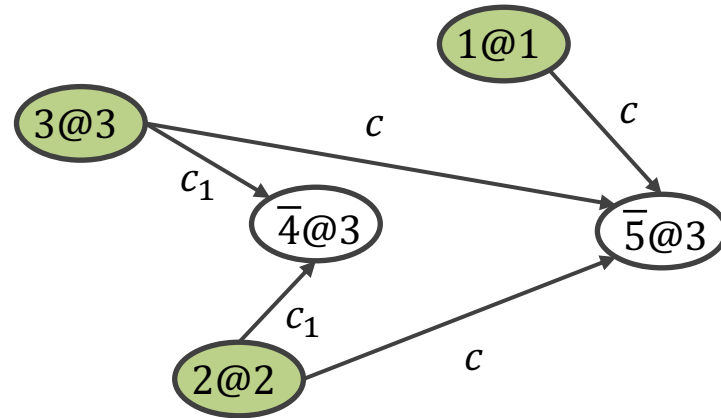
c_3 : $4 \vee 6 \vee 7$

c_4 : $\bar{7} \vee \bar{8}$

c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$

c_6 : $\bar{1} \vee 8 \vee 9$

c : $\bar{1} \vee \bar{2} \vee \bar{3} \vee \bar{5}$ Unit!





Asserting Level Backjump

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$

c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$

c_3 : $4 \vee 6 \vee 7$

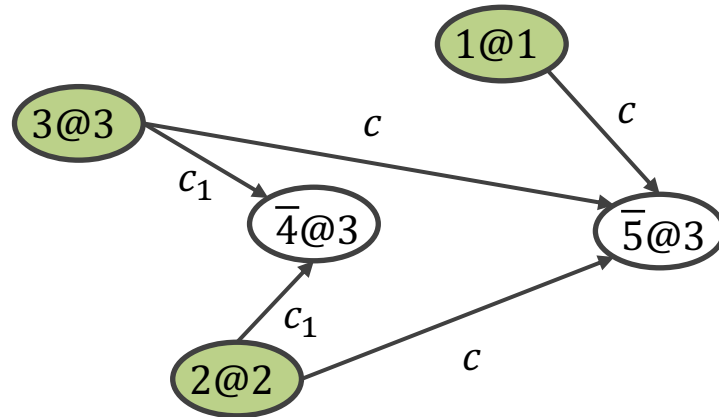
c_4 : $\bar{7} \vee \bar{8}$

c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$

c_6 : $\bar{1} \vee 8 \vee 9$

c : $\bar{1} \vee \bar{2} \vee \bar{3} \vee \bar{5}$ Unit!

Wait a second... same outcome as backtracking with DPLL.

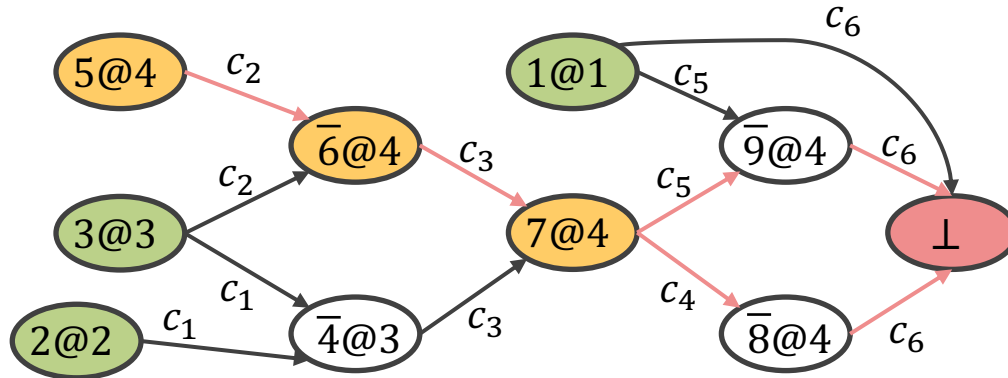




Unique Implication Points

- **Unique implication point (UIP):** a node in the implication graph that all paths from the most recent decision variable to the conflict must pass through
- **Intuition:** at the decision level of the conflict, the UIP is a literal that, by itself, implies a contradiction

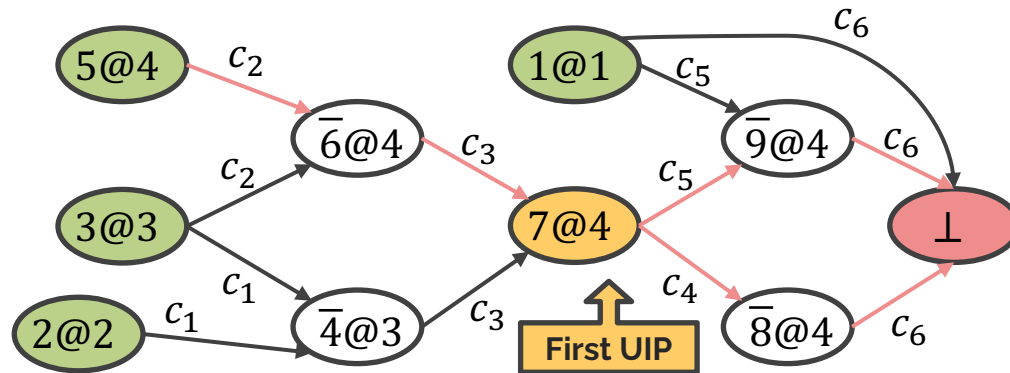
UIPs →





The 1-UIP Scheme

- The “**first**” **UIP** is the closest UIP to the conflict node
 - i.e., the “rightmost”
- When we reach a conflict, cut after the first UIP
 - Generally produces shortest learned clauses





1-UIP Backjump

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$

c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$

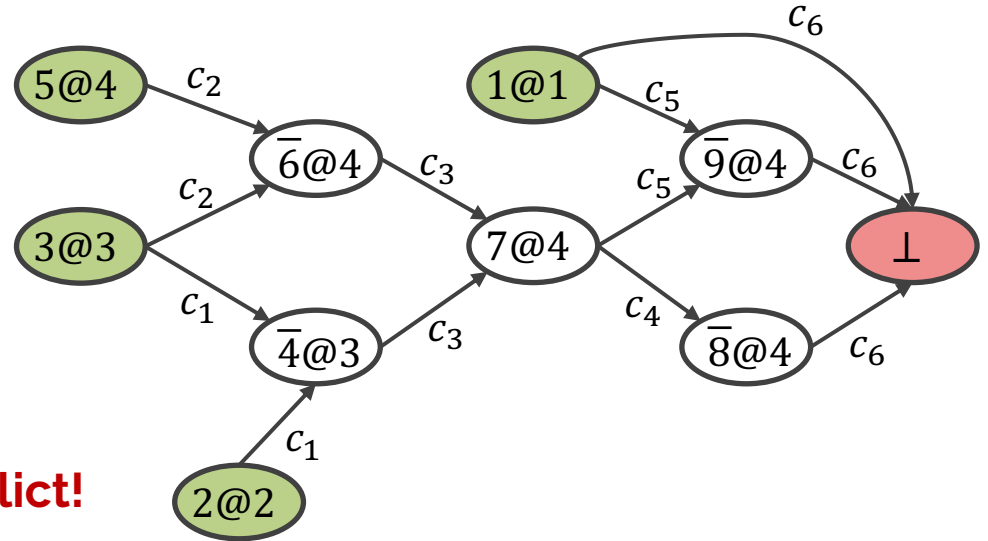
c_3 : $4 \vee 6 \vee 7$

c_4 : $\bar{7} \vee \bar{8}$

c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$

c_6 : $\bar{1} \vee 8 \vee 9$

Conflict!





1-UIP Backjump

c_1 : $\bar{2} \vee \bar{3} \vee \bar{4}$

c_2 : $\bar{3} \vee \bar{5} \vee \bar{6}$

c_3 : $4 \vee 6 \vee 7$

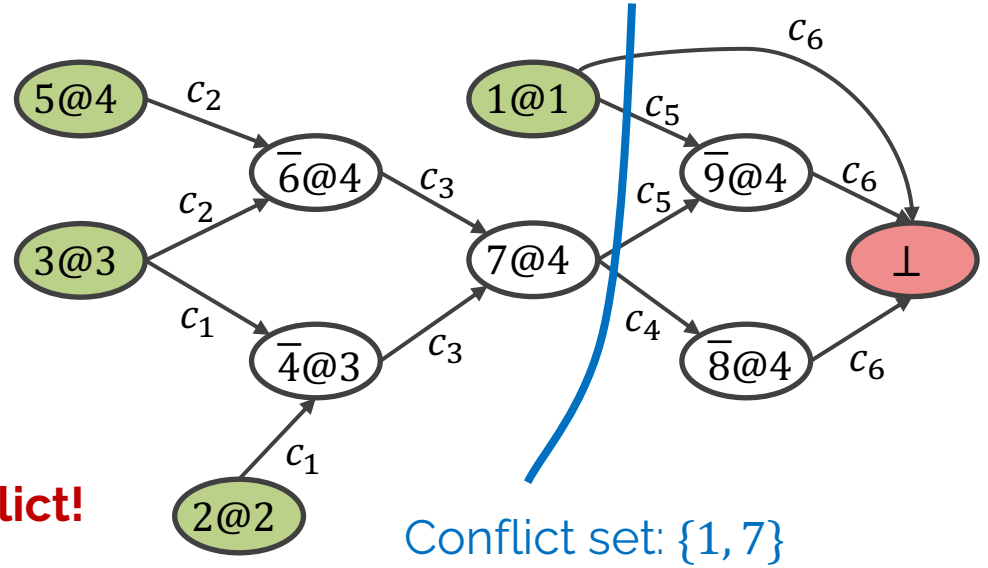
c_4 : $\bar{7} \vee \bar{8}$

c_5 : $\bar{1} \vee \bar{7} \vee \bar{9}$

c_6 : $\bar{1} \vee 8 \vee 9$

c : $\bar{1} \vee \bar{7}$

Conflict!





1-UIP Backjump

$$c_1: \bar{2} \vee \bar{3} \vee \bar{4}$$

$$c_2: \bar{3} \vee \bar{5} \vee \bar{6}$$

$$c_3: 4 \vee 6 \vee 7$$

$$c_4: \bar{7} \vee \bar{8}$$

$$c_5: \bar{1} \vee \bar{7} \vee \bar{9}$$

$$c_6: \bar{1} \vee 8 \vee 9$$

$$c: \bar{1} \vee \bar{7}$$

Backjump!

1@1



1-UIP Backjump

$c_1: \bar{2} \vee \bar{3} \vee \bar{4}$

$c_2: \bar{3} \vee \bar{5} \vee \bar{6}$

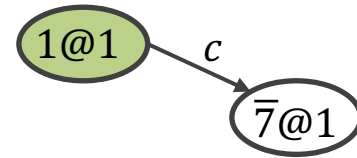
$c_3: 4 \vee 6 \vee 7$

$c_4: \bar{7} \vee \bar{8}$

$c_5: \bar{1} \vee \bar{7} \vee \bar{9}$

$c_6: \bar{1} \vee 8 \vee 9$

$c: \bar{1} \vee \bar{7}$ Unit!





Restarts

- Problem: if we make bad early guesses, can get stuck in fruitless areas of search tree
- Solution: periodically **restart** the search – throw away the current partial assignment
 - Modern solvers favor aggressive restart policy
 - MiniSAT, PicoSAT: every ~100 conflicts
- **Key idea:** CDCL is deterministic, so why won't we end up back where we were?
 - Learned clauses remain in formula after restart



Incremental SAT Solving

- CDCL solvers give us a new method in our toolkit!

add_clause(C): add clause C to the formula

- New clauses can only rule out previously satisfying assignments
- Can re-solve CNF with new clauses added
- **Key:** keep learned clauses generated during last call to solve()
- Simple use case: generating all satisfying assignments

Introducing: PennSAT



- **HW2: PennSAT (due on Tue 2/21 by 4pm)**
- Features:
 - DPLL-based
 - Iterative
 - Maintains propagation queue
 - No Two-Watched Literals
 - Static most-frequent decision heuristic
- This assignment is tricky – **start early!**
 - Requires solid understanding



Testing a SAT Solver

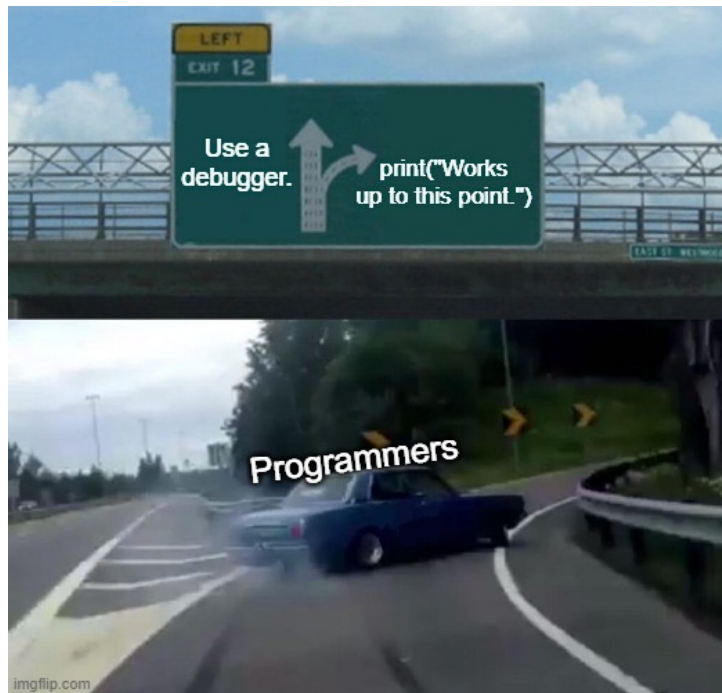
- SAT solvers have tons of complicated logic... how to check for soundness bugs?
 - Hard and tedious to figure out all cases to unit test
- **Random testing:** generate random CNF formulas to test against reference solver
- If reference solver is not available, can at least check that satisfying assignments are valid



Debugging a SAT Solver

- Once we've found a bug, how do we find the mistake in the code?
- **Print debugging:** stick a bunch of print statements in relevant places and look at the console
- Easy, but not as effective for complex systems
 - Easy to forget to print something, or print in wrong place

Debugging a SAT Solver





Debugging in VS Code

- **Debugger:** allows us to stop program mid-execution, run code line-by-line, inspect values of local variables

```
45  ✓   def __init__(self, n: int, cnf: CNF, activity_he
46      # The number of variables
47      self.n = n
48      # The CNF as a list of clauses
Breakpoint self.cnf = preprocess(cnf)
50      # A stack of partial truth assignments: list
51      self.assignment_stack = [[None] * (n+1)]
```

- **Breakpoint:** STOP at this line of code



Debugging in VS Code

- After breakpoints set: Run > Start Debugging (F5)

View or *modify* current variables & values

```
▼ VARIABLES
  ▼ Locals
    activity_heuristic: True
    > cnf: [[26, -99, 7], [-90, 84, ...
    n: 100
    ▼ self: <__main__.PennSAT objec...
      n: 100
```

Hover over variables to inspect values

```
45
46
47
48
49
50
51

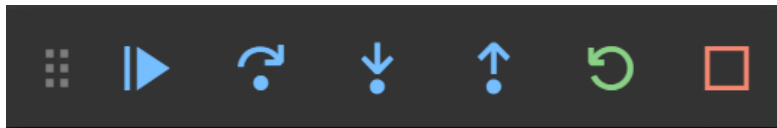
def __init__(self, n: int, cnf: CNF,
# The num 100 of variables
self.n = n
# The CNF as a list of clauses
self.cnf = preprocess(cnf)
# A stack of partial truth assign
self.assignment_stack = [[None]
```





Stopped right before line 49!

Debugging in VS Code





- Control flow:



-  Continue (F5): run until next breakpoint hit
-  Step Over (F10): run just one more line of code
-  Restart (Ctrl+Shift+F5): start over from beginning
-  Stop (Shift+F5): quit the debugger



Debugging in VS Code

-  Step Into (F11): enter code of first function called on the current line and resume debugging there
-  Step Out (Shift+F11): run until the current function returns; resume debugging from parent function
- Can click to view different levels of the call stack
 - Useful for inspecting values of local vars in different scopes

| CALL STACK | PAUSED ON STEP |
|-----------------|------------------------|
| preprocess | PennSAT.py 19:1 |
| __init__ | PennSAT.py 49:1 |
| <module> | PennSAT.py 196:1 |

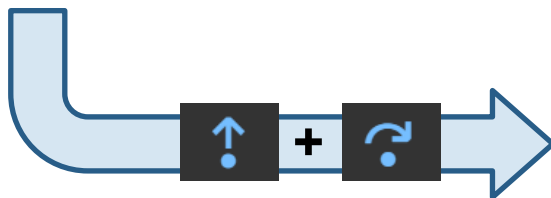
```
45     def __init__(self, n: int, cnf:
46         # The number of variables
47         self.n = n
48         # The CNF as a list of clau
49         self.cnf = preprocess(cnf)
```

Debugging in VS Code



```
17 def preprocess(cnf: CNF) -> CNF:
18     """Remove duplicate literals
19     cnf = [list(set(clause)) for
20             cnf.sort()
21             return list(clause for clause
22
```

```
45 def __init__(self, n: int, cnf
46     # The number of variables
47     self.n = n
48     # The CNF as a list of cla
49     self.cnf = preprocess(cnf)
```



```
45 def __init__(self, n: int, cnf
46     # The number of variables
47     self.n = n
48     # The CNF as a list of cla
49     self.cnf = preprocess(cnf)
50     # A stack of partial truth
51     self.assignment_stack = [[
```


References



A. Biere, *Handbook of satisfiability*. Amsterdam: IOS Press, 2009.

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