

Strongly-typed term representations in Coq

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Context

- Denotational semantics in Coq (with Carsten Varming, TPHOLs'09)
 - Constructive version of domain theory based on Paulin-Mohring's Coq library
 - Extended to support predomains, lifting and solution of recursive domain equations
 - Operational & denotational semantics for call-by-value PCF
 - Proofs of soundness and adequacy
 - Operational & denotational semantics for cbv untyped λ -calculus
 - Proofs of soundness and adequacy
- Compositional compiler correctness for simply-typed language (ICFP'09)
 - Logical relations between domains and operational semantics of low-level code
 - Compositional, extensional
- Extension to polymorphic source
 - System F source language
 - Operational on both sides

This talk

- Doing syntax in Coq
- We want crisp theorems and definitions. As on paper:

Soundness. If $\vdash e:\tau$ and $e \downarrow v$ then $\llbracket e \rrbracket = \eta \circ \llbracket v \rrbracket$.

Adequacy. If $\vdash e:\tau$ and $\llbracket e \rrbracket \emptyset = [x]$ then $\exists v, e \downarrow v$.

Logical Relation.

$$R_{\tau_1 \rightarrow \tau_2} = \{(d, \text{fix } f(x).e) \mid \forall d_1, v_1, (d_1, v_1) \in R_{\tau_1} \Rightarrow (d d_1, e[v_1/x, v/f]) \in (R_{\tau_2})_{\perp}\}$$

- In Coq:

Theorem Soundness: forall ty (e : CExp ty) v, e ==> v -> SemExp e == eta << SemVal v.

Corollary Adequacy: forall ty (e : CExp ty) d, SemExp e tt == val d -> exists v, e ==> v.

```
Fixpoint relval ty : SemTy ty -> CValue ty -> Prop :=
  match ty with ...
  | ty1 --> ty2 =>
    fun d v => exists e, v = TFIX e /\
      forall d1 v1, relval ty1 d1 v1 -> liftRel (relval ty2) (d d1) (substExp [ v1, v ] e)
  end.
```

Oh no! binders!

- As usual, we must decide how to represent variables and binders
 - Concrete: de Bruijn indices
 - Concrete: names
 - Concrete: locally nameless
 - (Parametric) Higher-Order Abstract Syntax
 - Whatever
- Claim:
 - “strongly-typed de Bruijn” works quite nicely
 - At least for simple types, can be combined with typed terms to get representations of terms that are **well-typed by construction**
 - just Haskell-style GADTs, but we also prove theorems

First attempt

- “Pre-terms” are just abstract syntax, with nats for variables (de Bruijn index)

```
Inductive value :=  
| VAR: nat -> value  
| LAMBDA: Ty -> Exp -> value  
...  
with Exp :=  
| APP : Val -> Val -> Exp  
...
```

- Separate inductive type for typing judgments, with proofs of well-scoped-ness in instances

```
Inductive Vtype (env:Env) (t:Ty) :=  
| TVAR: forall m , nth_error env m = Some t -> VType env (VAR m) t  
| TLAMBDA: forall a b e, t = a --> b -> Etype (a :: env) e b -> Vtype env (LAMBDA a e) t  
...  
with Etype (env:Env) (t:Ty) :=  
| TAPP: forall t' v1 v2, Vtype env v1 (t'-->t) -> Vtype env v2 t' -> Etype env (APP v1 v2) t
```

First attempt, cont.

- This works OK, but statements and proofs become bogged down with de Bruijn index management e.g.

Theorem FundamentalTheorem:

```
(forall E t' v (tv:E |v- v :::: t') t (teq: LV t = t') (d:SemEnv E) s1, length s1 = length E ->
(forall i s (h:nth_error s1 i = value s) ti, nth_error E i = Some ti -> nil |v- s :::: ti) ->
(forall i ti (h:nth_error E) (SemVal tv d)) (ssubstV s1 v)) /\ 
(forall E t' e (te:E |e- e :::: t') t (teq : LVe t = t') (d:SemEnv E) s1, length s1 = length E ->
(forall i s (h:nth_error s1 i = value s) ti, nti = Some (LV ti)) si (hs:nth_error s1 i = Some si),
@grel ti (projenv h d) si) ->
@vrel t (typecoercion (sym_equal teqh_error E i = Some ti -> nil |v- s :::: ti) ->
(forall i ti (h:nth_error E i = Some (LV ti)) si (hs:nth_error s1 i = Some si),
@grel ti (projenv h d) si) ->
@erel t (liftedTypeCoercion (sym_equal teq) (SemExp te d)) (ssubstE s1 e)).
```

- And intensional type theory starts to bite – proof objects inside terms mean you have to start worrying about proof irrelevance, etc.

Second attempt: typed syntax

- Terms are **well-scoped** by definition
 - (no proofs of well-scoped-ness buried inside)
- Terms are **well-typed** by definition (no separate typing judgment)
 - Haskell programmers call this a “GADT”
 - Dependent type theorists call it an “internal” representation
- Statements become much smaller:

Theorem FundamentalTheorem:

```
(forall env ty v senv s, relEnv env senv s -> relval ty (SemVal v senv) (substval s v))  
^  
(forall env ty e senv s, relEnv env senv s -> liftRel (relval ty) (SemExp e senv) (substExp s e)).
```

- Getting the right definitions and lemmas for substitution is crucial.

Variables

- First, define syntax for types and environments:

```
Inductive Ty := Int | Bool | Arrow (τ1 τ2 : Ty) | Prod (τ1 τ2 : Ty).
```

```
Infix " → " := Arrow.
```

```
Infix " * " := Prod (at level 55).
```

```
Definition Env := list Ty.
```

- Now, define “typed” variables:

```
Inductive Var : Env → Ty → Type :=  
| ZVAR : ∀ Γ τ, Var (τ :: Γ) τ  
| SVAR : ∀ Γ τ τ', Var Γ τ → Var (τ' :: Γ) τ.
```

- Variables are indexed by their type and environment
- The structure of a variable of type $\text{Var } \Gamma \tau$ is a proof that τ is at some position i in the environment Γ .

Terms

- Likewise, terms are indexed by type and environment:

```
Inductive Value : Env → Ty → Type :=
| TINT : ∀ Γ, nat → Value Γ Int
| TBOOL : ∀ Γ, bool → Value Γ Bool
| TVAR : ∀ Γ τ, Var Γ τ → Value Γ τ
| TFIX : ∀ Γ τ1 τ2, Exp (τ1 :: τ1 → τ2 :: Γ) τ2 → Value Γ (τ1 → τ2)
| TPAIR : ∀ Γ τ1 τ2, Value Γ τ1 → Value Γ τ2 → Value Γ (τ1 * τ2)
with Exp : Env → Ty → Type :=
| TFST : ∀ Γ τ1 τ2, Value Γ (τ1 * τ2) → Exp Γ τ1
| TSND : ∀ Γ τ1 τ2, Value Γ (τ1 * τ2) → Exp Γ τ2
| TOP : ∀ Γ, (nat → nat → nat) → Value Γ Int → Value Γ Int → Exp Γ Int
| TGT : ∀ Γ, Value Γ Int → Value Γ Int → Exp Γ Bool
| TVAL : ∀ Γ τ, Value Γ τ → Exp Γ τ
| TLET : ∀ Γ τ1 τ2, Exp Γ τ1 → Exp (τ1 :: Γ) τ2 → Exp Γ τ2
| TAPP : ∀ Γ τ1 τ2, Value Γ (τ1 → τ2) → Value Γ τ1 → Exp Γ τ2
| TIF : ∀ Γ τ, Value Γ Bool → Exp Γ τ → Exp Γ τ → Exp Γ τ.
```

Beautiful definitions

```

Inductive Ev: ∀ τ, CExp τ → CValue τ → Prop :=
| e_Val: ∀ τ (v : CValue τ), TVAL v ↓ v
| e_Op: ∀ op n1 n2, TOP op (TINT n1) (TINT n2) ↓ TINT (op n1 n2)
| e_Gt : ∀ n1 n2, TGT (TINT n1) (TINT n2) ↓ TBOOL (ble_nat n2 n1)
| e_Fst : ∀ τ1 τ2 (v1 : CValue τ1) (v2 : CValue τ2), TFST (TPAIR v1 v2) ↓ v1
| e_Snd : ∀ τ1 τ2 (v1 : CValue τ1) (v2 : CValue τ2), TSND (TPAIR v1 v2) ↓ v2
| e_App : ∀ τ1 τ2 e (v1 : CValue τ1) (v2 : CValue τ2), substExp [ v1, TFIX e ]
e ↓ v2 → TAPP (TFIX e) v1 ↓ v2
| e_Let : ∀ τ1 τ2 e1 e2 (v1 : CValue τ1) (v2 : CValue τ2), e1 ↓ v1 → substExp [
v1 ] e2 ↓ v2 → TLET e1 e2 ↓ v2
| e_IfTrue : ∀ τ (e1 e2 : CExp τ) v, e1 ↓ v → TIF (TBOOL true) e1 e2 ↓ v
| e_IfFalse : ∀ τ (e1 e2 : CExp τ) v, e2 ↓ v → TIF (TBOOL false) e1 e2 ↓ v
where "e ↓ v" := (Ev e v).

```

```

Fixpoint relVal τ : SemTy τ → CValue τ → Prop :=
match τ with
| Int ⇒ fun d v ⇒ v = TINT d
| Bool ⇒ fun d v ⇒ v = TBOOL d
| τ1 → τ2 ⇒ fun d v ⇒ ∃ e, v = TFIX e ∧ ∀ d1 v1, relVal τ1 d1 v1 → liftRel
(relVal τ2) (d d1) (substExp [ v1, v ] e)
| τ1 * τ2 ⇒ fun d v ⇒ ∃ v1, ∃ v2, v = TPAIR v1 v2 ∧ relVal τ1 (FST d) v1 ∧
relVal τ2 (SND d) v2
end.

```

Substitution: how *not* to do it

- First, define a shift (weaken) operation

Definition $\text{shiftVar } \Gamma \tau' \Gamma' : \forall \tau, \text{Var} (\Gamma ++ \Gamma') \tau \rightarrow \text{Var} (\Gamma ++ \tau' :: \Gamma') \tau$.

Program Fixpoint $\text{shiftVal } \Gamma \tau' \Gamma' \tau (v : \text{Value} (\Gamma ++ \Gamma') \tau) : \text{Value} (\Gamma ++ \tau' :: \Gamma') \tau :=$

match v with

| $\text{TVAR } v \Rightarrow \text{TVAR} (\text{shiftVar } v)$
| $\text{TFIX } e \Rightarrow \text{TFIX} (\text{shiftExp} (\Gamma ::= _ :: _ :: \text{env}) e)$
| $\text{TPAIR } e1 e2 \Rightarrow \text{TPAIR} (\text{shiftVal } e1) (\text{shiftVal } e2)$

...

- Then, define substitution, shifting under binders. Problem comes when proving lemmas of form

$$\forall \Gamma \Gamma' \tau (v : \text{Value} (\Gamma ++ \Gamma') \tau \dots)$$

- This is not an instance of the general induction principle for terms.

Instead, we must prove

$$\forall \Gamma_0 (v : \text{Value } \Gamma_0) \tau, \forall \Gamma \Gamma', \Gamma_0 = \Gamma ++ \Gamma' \rightarrow \dots$$

Ugh! Intensional type theory bites you again, lots of casting, etc.

M. Sozeau (2007). A dependently-typed formalization of simply-typed lambda-calculus: substitution, denotation, normalization.

Substitution: how to do it

- Instead of defining a special shift/weaken operation, define a more general notion of *renaming*

Definition $\text{Renaming } \Gamma \Gamma' := \forall \tau, \text{Var } \Gamma \tau \rightarrow \text{Var } \Gamma' \tau.$

- “Lifting” of a renaming to a larger environment (e.g. under a binder) is just another renaming, so we can then define

Fixpoint $\text{renameVal } \Gamma \Gamma' \tau (v : \text{Value } \Gamma \tau) : \text{Renaming } \Gamma \Gamma' \rightarrow \text{Value } \Gamma' \tau :=$

- We can then define substitutions, and the “apply substitution” function:

Definition $\text{Subst } \Gamma \Gamma' := \forall \tau, \text{Var } \Gamma \tau \rightarrow \text{Value } \Gamma' \tau.$

Fixpoint $\text{substVal } \Gamma \Gamma' \tau (v : \text{Value } \Gamma \tau) : \text{Subst } \Gamma \Gamma' \rightarrow \text{Value } \Gamma' \tau :=$

- In order to define “lifting” of substitution in the above, we use `renameVal`. We have “bootstrapped” substitution using renaming.

Substitution: how to do it

- We now define 4 notions of composition (renaming with renaming, renaming with substitution, substitution with renaming, and substitution with substitution)
- Associated with these notions we have four lemmas. The trick here is: prove these *in order*, each building on the last.
Roughly speaking:

$$\text{renameVal } (r' \circ r) v = \text{renameVal } r' (\text{renameVal } r v)$$

$$\text{substVal } (s \circ r) v = \text{substVal } s (\text{renameVal } r v)$$

$$\text{substVal } (r \circ s) v = \text{renameVal } r (\text{substVal } s v)$$

$$\text{substVal } (s' \circ s) v = \text{substval } s' (\text{substVal } s v)$$

Experience

- Generally works very nicely in the simply typed case, extends smoothly to pattern matching
- Dependencies everywhere. Fortunately, Coq 8.2 helps out with new tactics (“dependent destruction”) and definitional mechanisms (“Program”)
- It’s a bit painful to have to define both renamings and substitutions, and their compositions
- Staged definitions are not completely encapsulated e.g. For the denotational semantics we proved a “renaming” lemma that was then used to prove the “substitution” lemma

Related work

- Lots of previous work on indexed families for representing terms. But even simply typed lambda calculus doesn't seem to have been done this way in Coq before
- Most relevant are:

Candidates for substitution, Goguen and McKinna. Edinburgh TR, 1997

Monadic Presentations of Lambda Terms Using Generalized Inductive Types, Altenkirch & Reus, CSL'99

Formalized Metatheory with Terms Represented by an Indexed Family of Types, Adams, TYPES 2004 (PTS, well-scoped by definition, separate typing judgement)

Type-Preserving Renaming and Substitution, McBride, 2005.

System F (types)

Inductive TyVar : nat -> Type :=

- | ZT : forall n, TyVar (S n)
- | ST : forall n, TyVar n -> TyVar (S n).

Inductive Ty (u: nat) : Type :=

- | Atom : TyVar u -> Ty u
- | Int : Ty u
- | Arrow : Ty u -> Ty u -> Ty u
- | All : Ty (S u) -> Ty u
- | Exist : Ty (S u) -> Ty u

....

Definition RenT u w := TyVar u -> TyVar w.

Definition SubT u w := TyVar u -> Ty w.

Program Definition RTyL u w (ren: RenT u w) : RenT (S u) (S w) :=
fun var => match var with

- | ZT _ => (ZT _)
 - | ST _ var' => ST (ren var')
- end.

Fixpoint RTyT u w (ren: RenT u w) (ty: Ty u) : Ty w :=
match ty with

- | Atom v => Atom (ren v)
- | Arrow ty1 ty2 => Arrow (RTyT ren ty1) (RTyT ren ty2)
- | All ty => All (RTyT (RTyL ren) ty)

...

end.

Program Definition STyL u w (sub: SubT u w) : SubT (S u) (S w) :=

Fixpoint STyT u w (sub: SubT u w) (ty: Ty u) : Ty w :=

Similar sequence of lemmas about compositions and liftings

System F (terms)

Definition Env u := list (Ty u).

Fixpoint STyE u w (sub: SubT u w) (env: Env u) : Env w := ...

Inductive Var u : Env u -> Ty u -> Type :=

- | ZV : forall env ty, Var (ty :: env) ty
- | SV : forall env ty' ty, Var env ty -> Var (ty' :: env) ty.

Inductive Value u (env: Env u) : (Ty u) -> Type :=

- | VAR : forall ty, Var env ty -> Value env ty
 - | INT : nat -> Value env (Int u)
 - | REC : forall ty1 ty2, Exp (ty1 :: ty1 --> ty2 :: env) ty2 -> Value env (ty1 --> ty2)
 - | TLAM : forall ty, @Value (S u) (STyE (shsub _) env) ty -> Value env (All ty)
 - | PACK : forall ty ty', Value env (STyT (singsub ty') ty) -> Value env (Exist ty)
- ...

with Exp u (env: Env u) : (Ty u) -> Type :=

- | VAL : forall ty, Value env ty -> Exp env ty
 - | LET : forall ty1 ty2, Exp env ty1 -> Exp (ty1 :: env) ty2 -> Exp env ty2
 - | APP : forall ty1 ty2, Value env (ty1 --> ty2) -> Value env ty1 -> Exp env ty2
 - | TAPP : forall ty (f: Value env (All ty)) (ty' : Ty u), Exp env (STyT (singsub ty') ty)
 - | UNPK : forall ty ty', Value env (Exist ty') ->
 @Exp (S u) (ty' :: STyE (shsub _) env) (STyT (shsub _) ty) -> Exp env ty
- ...

Again, no equality proofs, direct translation of paper rules

Type substitutions acting on terms

Program Fixpoint STyVal u w (sub: SubT u w) (env: Env u) ty (tv: Value env ty)

: Value (STyE sub env) (STyT sub ty) :=

match tv with

```

| VAR _ var => VAR (STyVar sub var)
| INT i => INT _ i
| REC _ _ e => REC (STyExp sub e)
| TLAM _ v => TLAM (iso (iso1 _ _ _ ) (STyVal (STyL sub) v))
| PACK t v => PACK (iso (i
                            ) (STyVal sub v))

```

•

end

Lemma iso1 : forall u w (sub: SubT u w) (env: Env u) (ty : Ty (S u)) (ty' : Ty u),

:=

with ST $\vdash (\text{Exp} (\text{STyE sub env}) (\text{STyT} (\text{singsub} (\text{STyT sub ty}')))) (\text{STyT} (\text{STyL sub} \text{ty}))$

match _

| VAL (Exp (STyE sub env) (STyT sub (STyT (singsub ty') ty))).

| APP

| TAP

LUND

| UNPK_ { v e => UNPK (StyVal sub v) (Iso (Iso2 _ _ _ _) (StyExp (StyL sub) e))}

•

end.

Heterogeneous Equality

- Work with JMeq, show (easily) pretty much everything is a congruence for it in dependently-typed positions:

Lemma STyVal_JMeq: forall (u w : nat) (sub sub': SubT u w) (env env': Env u) (ty ty': Ty u)
(tv:Value env ty) (tv':Value env' ty'),
JMeq tv tv' -> sub = sub' -> env = env' -> ty = ty' -> JMeq (STyVal sub tv) (STyVal sub' tv').

absorb isos into Jmeq:

Lemma iso_elim: forall (A B:Type) (pf: A = B) (a: A), JMeq (iso pf a) a.

Term renamings and substitutions

- As before, but abstract commonality into “variable domain maps”, mapping variables into things, P

Variable P : forall u, (Env u) -> (Ty u) -> Type.

Definition Map u (E E': Env u) := forall t, Var E t -> P E' t.

- where P is equipped with operations ops:MapOps

Record MapOps :=

{

vr : forall u (env: Env u) ty, Var env ty -> P env ty;

vl : forall u (env: Env u) ty, P env ty -> Value env ty;

wk : forall u (env: Env u) ty' ty, P env ty -> P (ty' :: env) ty;

sb : forall u w (sub: SubT u w) (env: Env u) ty, P env ty -> P (STyE sub env) (STyT sub ty)

"/>.

Generic traversal

```
Program Fixpoint mapVal u (env:Env u) env' (m: Map env env') ty (v : Value env ty) : Value env' ty :=  
match v with
```

```
| VAR _ v => vl ops (m _ v)  
| INT i => INT _ i  
| REC _ _ e => REC (mapExp (liftMap (liftMap m)) e)  
| TLAM _ v => TLAM (mapVal (substMap (shsub _) m) v)  
| PACK _ t v => PACK (mapVal m v)
```

...

end

```
with mapExp u (env:Env u) env' (m: Map env env') ty (e : Exp env ty) : Exp env' ty :=  
match e with  
| VAL _ v => VAL (mapVal m v)
```

....

liftMap uses wk, substMap
uses sb from ops, etc.

Instantiating P with Var gives term Renaming, with Value gives term Subst

Then...

- Have action of term renamings and term substitutions on terms roughly as before
- But also action of type substitutions on term renamings and substitutions
- So *lots* of bread-and-butter lemmas to prove:

```
Lemma STyRen_ss : forall (u : nat) env v w (sub2:SubT v w) (sub1:SubT u v) env'  
                      (Ren : Renaming env env'),  
  JMeq (STyRen sub2 (STyRen sub1 Ren))  
        (STyRen (sub2 @ss@ sub1) Ren).
```

Operational semantics still pretty

Inductive Tstep : forall (ty:Ty 0), CExp ty -> CExp ty -> Prop :=

(* Value *)

```

| step_OpN : forall op n1 n2, Tstep (OPN op (INT_ n1) (INT_ n2)) (VAL (INT (u:=0)_)_ (OpSemNat op n1 n2)))
| step_OpB : forall op n1 n2, Tstep (OPB op (INT_ n1) (INT_ n2)) (VAL (BOOL (u:=0)_)_ (OpSemBool op n1 n2)))
| step_Fst : forall (ty1:Ty 0) ty2 (v1 : CValue ty1) (v2 : CValue ty2), Tstep (FST (PAIR v1 v2)) (VAL v1)
| step_Snd : forall (ty1:Ty 0) ty2 (v1 : CValue ty1) (v2 : CValue ty2), Tstep (SND (PAIR v1 v2)) (VAL v2)

```

(* Exp *)

```

| step_IfTrue : forall (ty:Ty 0) (e1 e2 : CExp ty), Tstep (IFTE (BOOL _ true) e1 e2) e1
| step_IfFalse : forall (ty:Ty 0) (e1 e2 : CExp ty), Tstep (IFTE (BOOL _ false) e1 e2) e2
| step_Let   : forall (ty1:Ty 0) ty2 (e: Exp [ty1] ty2) (v : CValue ty1), Tstep (LET (VAL v) e) (STmExp {| v |} e)
| step_RApp  : forall (ty1:Ty 0) ty2 (e: Exp [ty1, ty1 --> ty2] ty2) (v : CValue ty1),
                           Tstep (APP (REC e) v) (STmExp {| v, REC e |} e)
| step_TApp  : forall (ty: Ty 1) (v: CValue ty) (ty' : Ty 0),
                           Tstep (TAPP (TLAM (env:=nil) v) ty') (VAL (STyVal (singsub ty') v))
| step_Unpk  : forall (ty1: Ty 1) ty2 ty' (v: CValue (STyT (singsub ty') ty1)) (e: Exp [ty1] (STyT (shsub _) ty2)),
                           Tstep (UNPK (PACK v) e) (iso (Tstep_iso1 __) (STmExp {| v |} (STyExp (singsub ty') e)))
| step_Cong  : forall (ty1:Ty 0) ty2 (e1 e1': CExp ty1) (e2: Exp [ty1] ty2),
                           Tstep e1 e1' -> Tstep (LET e1 e2) (LET e1' e2)

```

Experience

- Ends up about 2000 lines for strongly typed System F terms and all the results about substitutions
- JMeq stuff does escape, so rewrites in clients sometimes have to do (very stylised) JMeq congruence reasoning by hand – it'd be very nice to have this done automagically
- But really pays off in getting type and scoping right in e.g. logical relations