

# REGULAR PROGRAMMING OVER DATA STREAMS

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April 27, 2015

## AN INTRODUCTION TO DREX

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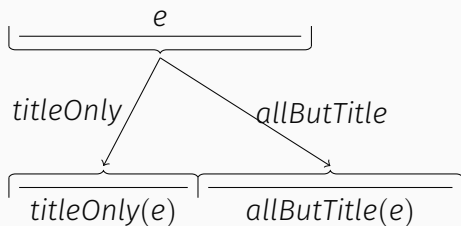
```
@book{Gal1638,  
  publisher={Elzevir},  
  place={Leiden},  
  year={1638},  
  title={Two New Sciences},  
  author={Galileo},  
}
```

```
@book{Gal1638,  
  title={Two New Sciences},  
  publisher={Elzevir},  
  place={Leiden},  
  year={1638},  
  author={Galileo},  
}
```



- *swapEntry* moves the title of a single entry to the top
- *swapBibtex* = *iter*(*swapEntry*)

- *swapEntry* moves the title of a single entry to the top
- *swapBibtex* = *iter*(*swapEntry*)



- *swapEntry* = *combine*(*titleOnly*, *allButTitle*)

We propose a **simple, expressive** programming model for string transformations, with:

1. strong theoretical foundations,
2. fast evaluation algorithms, and
3. tools for static analysis.

Languages,  $\Sigma^* \rightarrow \mathbf{bool}$   $\equiv$  Regular expressions  
Transformations,  $\Sigma^* \rightarrow \Gamma^*$   $\equiv$  DReX

- Expressively equivalent to **regular string transformations**
- Multiple characterizations: two-way finite state transducers, MSO-definable graph transformations, streaming string transducers
- Closed under various operations: function composition, regular look-ahead etc.



Streaming evaluation algorithm for consistent expressions

$f(\sigma)$  can be computed in time  $O(\text{poly}(|f|) \cdot |\sigma|)$

- Is the transformation well-defined for all inputs?
- Does the output always have some “nice” property?  
 $\forall \sigma$ , is it the case that  $f(\sigma) \in L$ ?
- Are two transformations equivalent?

## FUNCTION COMBINATORS

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Map the single character input string  $\sigma = a$  to  $\gamma$ , and undefined everywhere else

“a”  $\mapsto$  “Vowel”

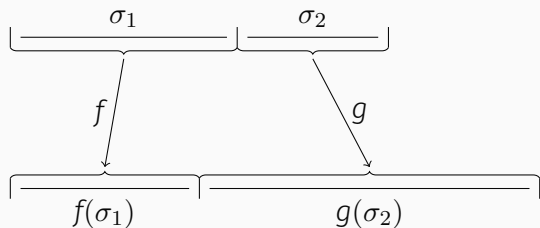
Analogue of basic regular expressions:  $\{a\}$ , for  $a \in \Sigma$

If  $f(\sigma)$  is defined, then output  $f(\sigma)$ , and otherwise output  $g(\sigma)$

$[0-9]^* \mapsto \text{"Number"} \text{ else } [a-z]^* \mapsto \text{"Name"}$

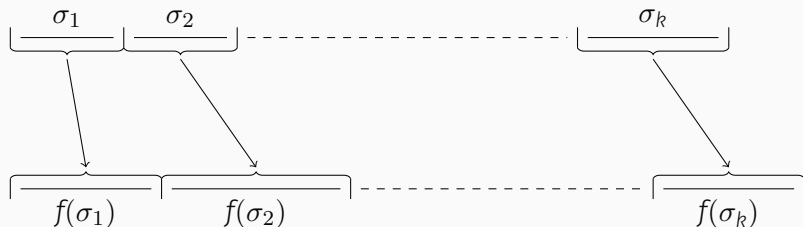
Analogue of **unambiguous** regex union

Split  $\sigma$  into  $\sigma = \sigma_1\sigma_2$  with both  $f(\sigma_1)$  and  $g(\sigma_2)$  defined. If the split is unambiguous then  $split(f, g)(\sigma) = f(\sigma_1)g(\sigma_2)$



Analogue of regex concatenation

Split  $\sigma = \sigma_1\sigma_2\cdots\sigma_k$ , with all  $f(\sigma_i)$  defined. If the split is unambiguous, then output  $f(\sigma_1)f(\sigma_2)\cdots f(\sigma_k)$

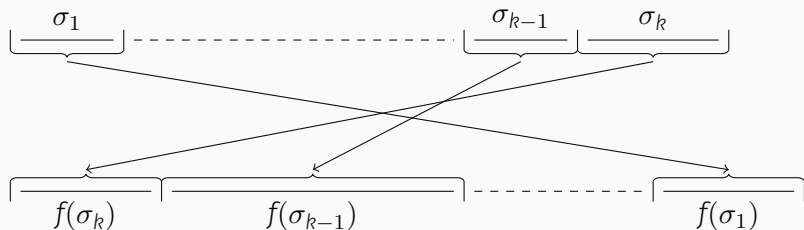


Kleene-\*

If *echo* echoes a single character, then  $id = iter(echo)$  is the identity function

## LEFT-ITERATED SUM: $left\text{-iter}(f)$

Split  $\sigma = \sigma_1\sigma_2\cdots\sigma_k$ , with all  $f(\sigma_i)$  defined. If the split is unambiguous, then output  $f(\sigma_k)f(\sigma_{k-1})\cdots f(\sigma_1)$

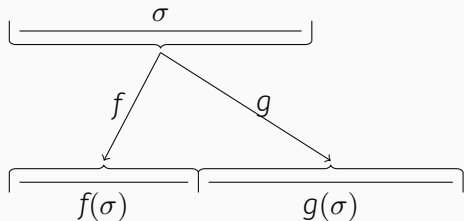


Think of string reversal:  $left\text{-iter}(\text{echo})$



# “REPEATED” SUM: $combine(f, g)$

$$combine(f, g)(\sigma) = f(\sigma)g(\sigma)$$



No regex equivalent

$\sigma \mapsto \sigma\sigma$ :  $combine(id, id)$

...

```
@book{Book1,  
  title = {Title0},  
  author = {Author1},  
  year = {Year1},  
}
```

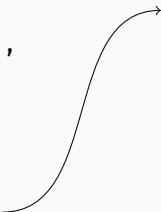
```
@book{Book2,  
  title = {Title1},  
  author = {Author2},  
  year = {Year2},  
}
```

...

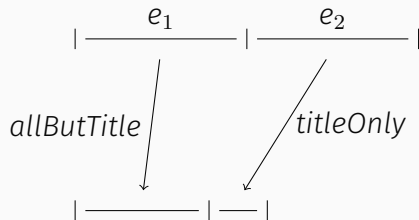
...

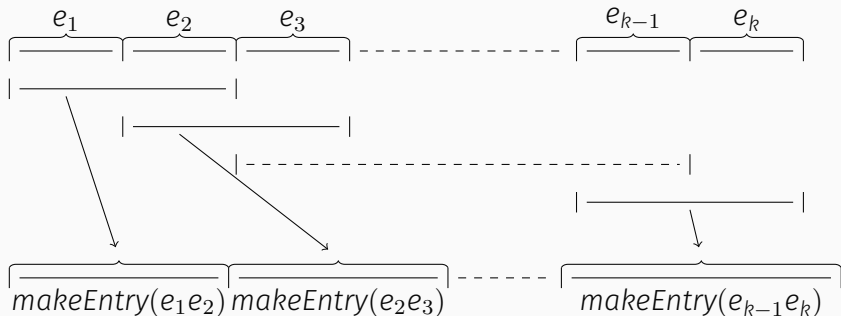
```
@book{Book1,  
  title = {Title1},  
  author = {Author1},  
  year = {Year1},  
}
```

...

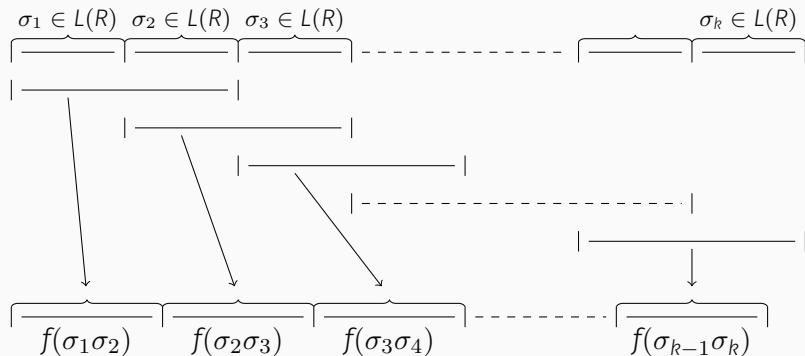


Given two entries,  $e_1$  and  $e_2$ , *makeEntry* outputs the title of  $e_2$  and the remaining body of  $e_1$





## CHAINED SUM: $chain(f, R)$



And similarly for  $left-chain(f, R)$

Purpose	Transformations	Languages
Base	$bottom, \epsilon \mapsto \gamma, a \mapsto \gamma$	$\emptyset, \{\epsilon\}, \{a\}$
Concatenation	$split(f, g), left-split(f, g)$	$R_1 \cdot R_2$
Union	$f \text{ else } g$	$R_1 \cup R_2$
Kleene-*	$iter(f), left-iter(f)$	$R^*$
Repetition	$combine(f, g)$	New!
Chained sum	$chain(f, R), left-chain(f, R)$	

## Sequence of bids from an auction

```
...;  
Bid $25; Bid $18; Bid $42; Bid $37; Sold;  
Bid $32; Bid $19; Bid $29; Sold;  
...
```

Potential query: “What was the lowest bid to ever win?”

Loosely inspired by NEXMark (Tucker et al 2002)

```
...  
Card #217 swiped at  
  entrance  
Door opens  
Person detected in  
  doorway  
Door closes  
...  
} → ...  
  Person #217 enters  
  building  
  ...
```



### String-to-string transformations (Completed work)

1. Evaluation algorithms
2. Regular string transformations

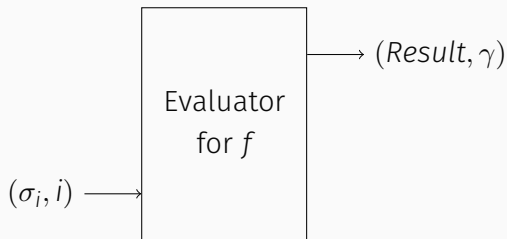
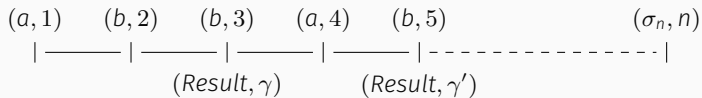
### Ongoing work

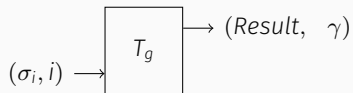
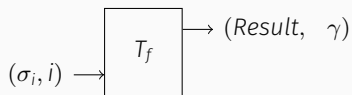
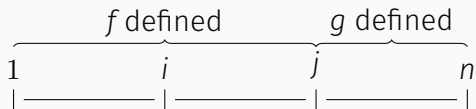
1. Static analysis tools
2. Quantitative properties

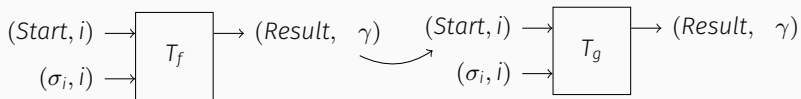
## EVALUATION ALGORITHMS

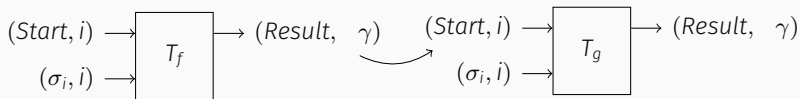
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# THE ANATOMY OF A STREAMING EVALUATOR

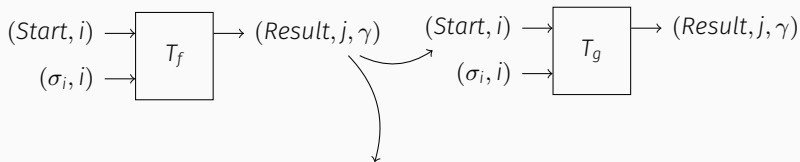
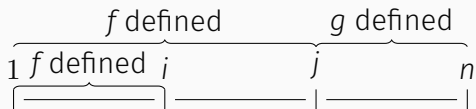






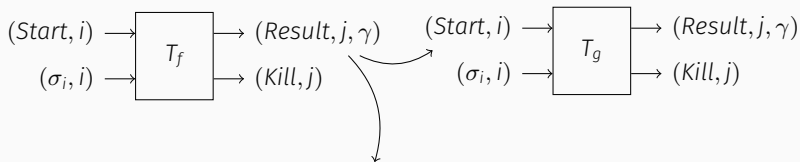
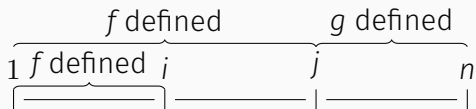






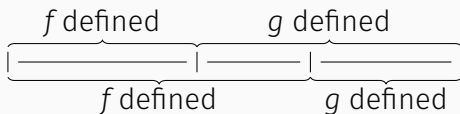
Thread starting at index	Index at which $T_f$ responded	Result reported by $T_f$
2	9	<i>aaab</i>
3	7	<i>abbab</i>
...	...	...





Thread starting at index	Index at which $T_f$ responded	Result reported by $T_f$
2	9	<i>aaab</i>
3	7	<i>abbab</i>
...	...	...

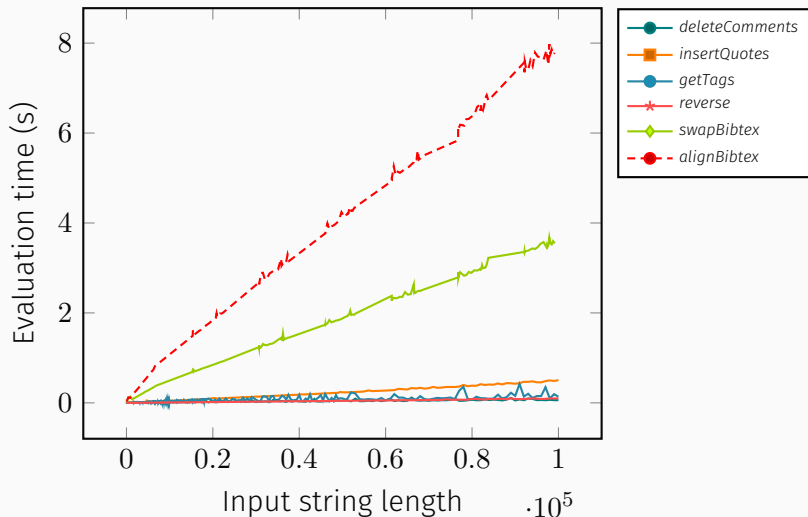
- Consistency assumed in correctness proof
- $split(f, g)$  is consistent iff
  - both  $f$  and  $g$  are consistent, and
  - their domains are **unambiguously concatenable**
- Statically disallow two threads of  $T_g$  simultaneously reporting results



### Theorem (POPL 2015)

1. Consistency can be checked in  $O(\text{poly}(|f|, |\Sigma|))$ .
2. If  $f$  is a consistent function expression, then  $f(\sigma)$  can be computed in time  $O(\text{poly}(|f|) \cdot |\sigma|)$ .

## EXPERIMENTAL PERFORMANCE

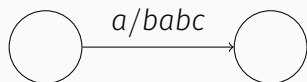


## REGULAR STRING TRANSFORMATIONS

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Languages,  $\Sigma^* \rightarrow \mathbf{bool}$      $\equiv$     Finite automata  
Transformations,  $\Sigma^* \rightarrow \Gamma^*$      $\equiv$     Finite state transducers

One-way transducers: Mealy machines



Folk knowledge (Aho et al 1969)

Two-way transducers strictly more powerful than one-way transducers

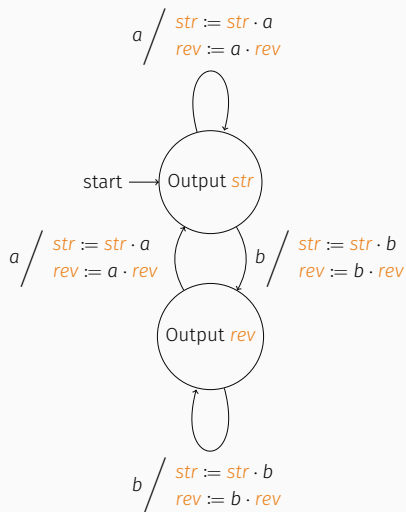
Gap includes many transformations of interest

String reversal, copy, substring swap, etc.

- Known results
  - Closed under composition (Chytil, Jákl 1977)
  - Decidable equivalence checking (Gurari 1980)
  - Equivalent to MSO-definable string transformations (Engelfriet, Hoogeboom 2001)
- Recent result: Equivalent one-way deterministic model with applications to the analysis of list-processing programs (Alur, Černý 2011)
- Streaming string transducers are our notion of regularity



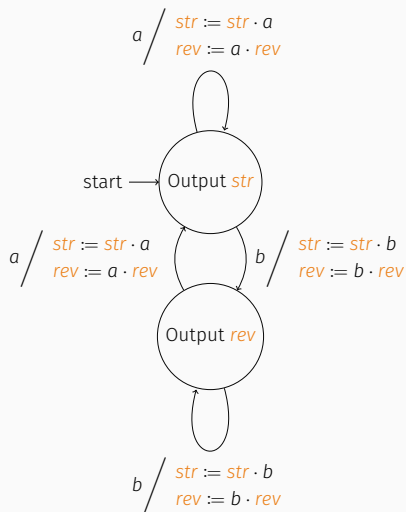
# STREAMING STRING TRANSDUCERS (SST)



If input ends with a *b*, then reverse, else identity

- *str* contains the input string seen so far
- *rev* contains the reverse

# STREAMING STRING TRANSDUCERS (SST)



- Finitely many locations
- Finite set of registers
- Transitions test-free
- Registers concatenated (copyless updates only)
- Final states associated with output functions

### Theorem (LICS 2014)

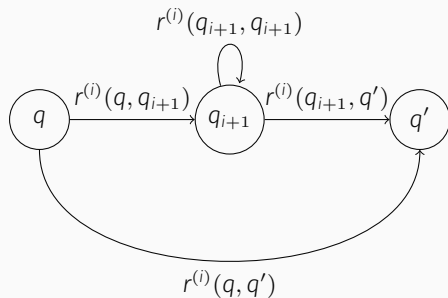
*All regular string transformations can be expressed as a consistent function expression using:*

1. *Base functions: bottom,  $\epsilon \mapsto \gamma$ ,  $a \mapsto \gamma$ ,*
2.  *$f$  else  $g$ ,  $\text{split}(f, g)$ ,  $\text{combine}(f, g)$ , and*
3. *chained sums:  $\text{chain}(f, R)$ , and  $\text{left-chain}(f, R)$ .*

# REGULAR STRING TRANSFORMATIONS

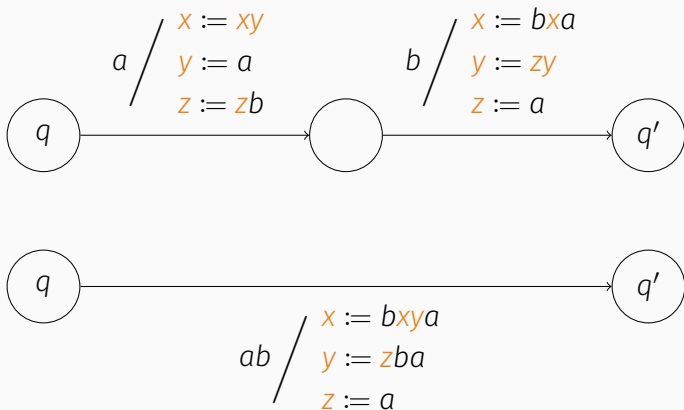
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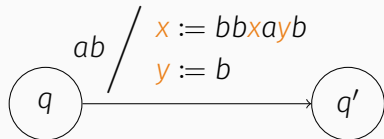
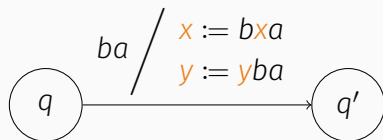
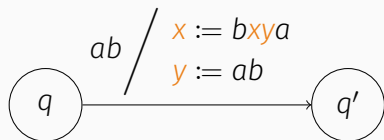
A TASTE OF THE COMPLETENESS PROOF



- $Q = \{q_1, q_2, \dots, q_n\}$
- Iterative algorithm
- In step  $i$ , summarize all strings,  $q \rightarrow q_{\leq i}^* \rightarrow q'$

## SUMMARIZE EFFECT OF (INDIVIDUAL) STRINGS





$x := \longleftrightarrow x \longleftrightarrow y \longleftrightarrow$

$y := \longleftrightarrow$

$x := \longleftrightarrow x \longleftrightarrow$

$y := \longleftrightarrow y \longleftrightarrow$

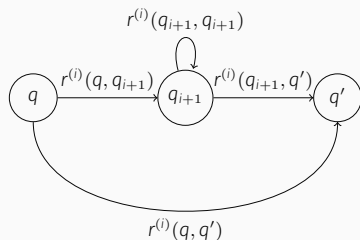
“Summarize” = “Give function expression for each patch”

$$x := \xleftrightarrow{\gamma_{x1}} x \xleftrightarrow{\gamma_{x2}} y \xleftrightarrow{\gamma_{x3}}$$

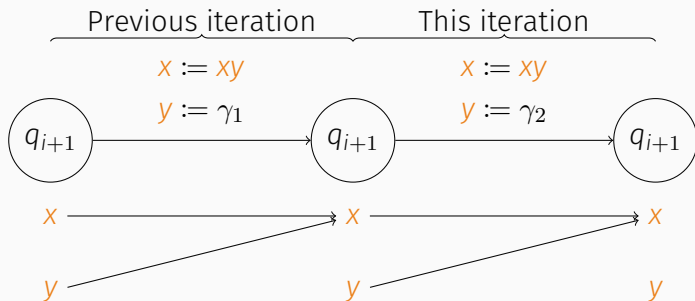
$$y := \xleftrightarrow{\gamma_{y1}}$$



Summarize with function expressions all paths  $q \rightarrow q_{\leq i}^* \rightarrow q'$  with shape  $S$

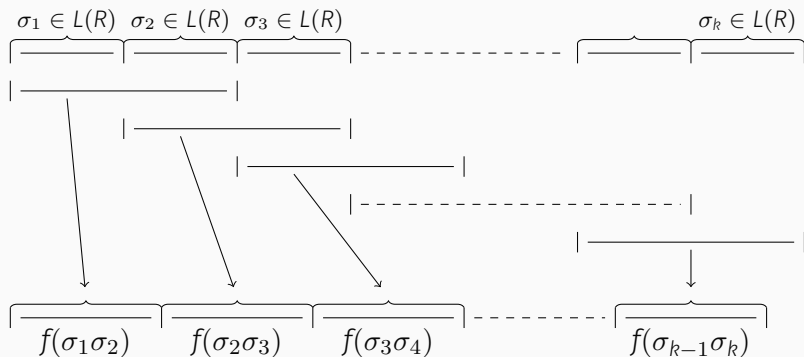


- Start with  $i = 0$
- Iterative until  $i = n$
- If shape of whole path of  $S$ , what can be the possible subpath shapes?
- Inner induction over shapes



Value appended to  $x$  at the end of *this* loop iteration ( $\gamma_1$ ) depends on value computed in  $y$  during the *previous* iteration

Chained sum



## ONGOING WORK

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## String-to-string

- Achieving expressive parity
- Fast evaluation algorithms
- Practical static analysis
  
- Parallel evaluation
- Tightening the completeness proof

## Stream-to-cost

- What are regular cost functions?
- Obtaining the calculus
- Fast evaluation algorithms

## ONGOING WORK

---

STATIC ANALYSIS TOOLS

**Precondition computation:** Given  $f, L$ , find  $\sigma$  so that  $f(\sigma) \in L$

“Does this sanitizer ever emit an unescaped backslash character?”

“Do login and logout events always alternate?”

PSPACE-complete

**Equivalence checking:** For all  $\sigma$ , is it true that  $f_1(\sigma) = f_2(\sigma)$ ?

PSPACE

```
#!/usr/bin/env bash
./run-experiments
for f in `ls *.tmp`
do
    BASE=`echo $f | sed s/\.[^\.]*$//`
    ./process-log "$BASE.log" >> outfile
    rm "$BASE"*
done
```



- Implementation of precondition computation and equivalence checking routines
- Suspicious program identifier for Bash scripts

## ONGOING WORK

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QUANTITATIVE FUNCTION EXPRESSIONS

Sequence of bids from an auction

...

Bid \$25; Bid \$18; Bid \$42; Bid \$37; Sold;

Bid \$32; Bid \$19; Bid \$29; Sold;

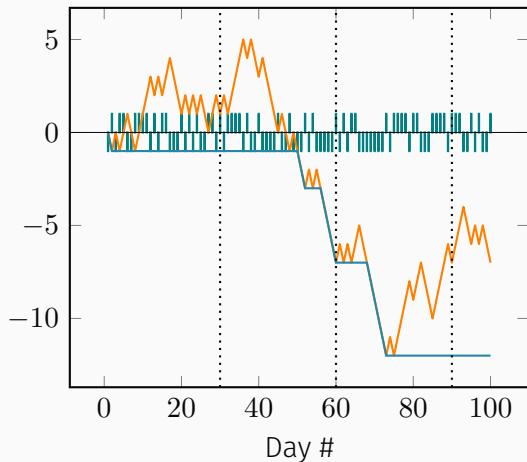
...

“What was the lowest bid to ever win?”

$$\text{winBid} = \text{split-plus}(\text{iter-max}(\text{Bid } n \mapsto n), \text{Sold} \mapsto 0)$$

$$\text{winBid}_{\text{low}} = \text{iter-min}(\text{winBid})$$

# QUANTITATIVE FUNCTION EXPRESSIONS



● Signal  $\in \{up, down, month\}$  ■ Current price ● Historical low

Signal sequence  $\sigma \in \{up, down, month\}^*$ . What is the largest intra-month price swing?

$$p = \text{iter-plus}(up \mapsto 1 \text{ else } down \mapsto -1)$$

$$m_{hi} = \text{split-plus}(\text{max-prefix}(p), month \mapsto 0)$$

$$m_{lo} = \text{split-plus}(\text{min-prefix}(p), month \mapsto 0)$$

$$\text{bigSwing} = \text{iter-max}(\text{minus}(m_{hi}, m_{lo}))$$

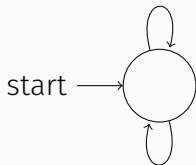
## Fixing the calculus

1. What are **regular cost functions**? (LICS 2013)
2. Choosing combinators to achieve expressive parity (Conjectures)

## Fast evaluation algorithms

- Parameterized by:
  - cost domain  $\mathbb{D}$ , and
  - operations  $G = \{+, -, \min, \max, \dots\}$
- Stream-to-term function implicitly defines a stream-to-cost function

Bid  $n / wb := \max(wb, n)$



Sold  $/ \begin{array}{l} wb_{lo} := \min(wb_{lo}, wb) \\ wb := 0 \end{array}$

## Appealing properties

Equivalent to MSO-definable string-to-term transformations

Closed under choice, regular look-ahead, input reversal, etc.

## Function grammars

$\{\min, +\}$  semiring over  $\mathbb{Z}$  or  $\mathbb{N}$

$\{\max, \min, +\}$  over  $\mathbb{Z} \cup \{\pm\infty\}$

$\{\cdot \leq \cdot ? \cdot : \cdot, +\}$  over  $\mathbb{Z} \cup \{\pm\infty\}$



Purpose	String-to-string	String-to-cost
Base	$\text{bottom}, \epsilon \mapsto d, a \mapsto d$	
Concatenation	$(\text{left-})\text{split}(f, g)$	$\text{split-min}(f, g),$ $\text{split-plus}(f, g)$
Union	$f \text{ else } g$	$f \text{ else } g$
Kleene-*	$(\text{left-})\text{iter}(f)$	$\text{iter-min}(f), \text{iter-plus}(f)$
Repetition	$\text{combine}(f, g)$	$\text{min}(f, g), \text{sum}(f, g)$
Chained sum	$(\text{left-})\text{chain}(f, R)$	
Prefix / suffix		$\text{min-prefix}(f),$ $\text{min-suffix}(f)$

- Identification of expressively equivalent combinator calculi for regular cost functions over:
  - $\{\min, +\}$ ,
  - $\{\min, \max, +\}$ , and
  - $\{\cdot, \leq, \cdot?, \cdot \cdot \cdot, +\}$
- Fast evaluation algorithms for all these calculi

Activity	Need ... months
Quantitative function expressions	$\approx 3$
Practical static analysis tools	$\approx 3$
Parallel evaluation algorithms	2-3
Tightening the completeness proof	1
Thesis writing	2-3

Not mentioned in timeline: Front-end improvements, proof fixes, new basic combinator (*restrict*), etc.

## RELATED WORK

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## Well-established research area

Quantile computation (Munro, Paterson 1980)

Counting distinct elements (Flajolet, Martin 1984)

Finding frequency moments (Alon et al 2006)

...

Textbooks: Muthukrishnan 2005

## Orthogonal goals to us

Algorithms usually clearly streamable

Proof of correctness usually difficult

- Finite automata with edges annotated with numbers
- Semantics:
  - Add the weights along each path
  - Take the minimum over all paths
- Applicable to semirings
- Very mature research area: Krob 1992, Droste et al 2009, Almagor et al 2011, ...
- Regular cost functions over  $\{\min, +\}$  expressively equivalent to unambiguous weighted automata

- Various languages for data structured as XML documents
- Querying: XPath, XQuery
- Transformations: XSLT
  - Turing-complete
  - Potentially unbounded evaluation complexity
  - Static analysis is hard

Systems: Aurora (Abadi et al 2003), STREAM (Arasu et al 2003), Niagara (Chen et al 2000)

Query languages: Continuous Query Language (Arasu et al 2006)

Rich features: Multiple streams, query composition, etc.

Sliding windows: Disallows general regular look-ahead

Interesting source of example applications: Linear Road, NEXMark (Tucker et al 2002)



- Vaziri et al 2014
- Calculus to express stream transformations with spreadsheets
- Basic combinators include switches ( $@$ ) and latches (*latch*)
  - $s_1@s_2$  produces the next element of  $s_1$  whenever  $s_2$  evaluates to true
  - *latch*( $s_1, s_2$ ) ticks whenever  $s_2$  does, and produces the value of  $s_1$  at the last tick of  $s_2$
- Conjecture: Equivalent to append-on-the-right SSTs

**THANK YOU!**

---

## BACKUP SLIDES

---

## BASE FUNCTIONS: $a \mapsto \gamma$ OR $\varphi \mapsto d$ ?

- $(a \mapsto \gamma)$ -style base functions do not scale well  
Unicode, data payloads, etc.

Map single character input strings  $\sigma$  which satisfy  $\varphi$  to  $d(\sigma)$ ,  
and undefined everywhere else

$$\text{isLowerCase}(x) \mapsto \text{toUpperCase}(x)$$

$\varphi$  is a character predicate, possibly symbolic

$d : \Sigma \rightarrow \Gamma^*$  is a character-to-string transformation

Consistent iff  $\varphi$  is satisfiable

- *bottom*,  $\epsilon \mapsto \gamma$ ,  $a \mapsto \gamma$  always consistent
- *split*( $f, g$ ) and *left-split*( $f, g$ ) are consistent iff
  - $f$  and  $g$  are consistent, and
  - $Dom(f)$  and  $Dom(g)$  are unambiguously concatenable
- *f else g* is consistent iff
  - $f$  and  $g$  are consistent, and
  - $Dom(f)$  and  $Dom(g)$  are disjoint
- *combine*( $f, g$ ) is consistent iff
  - $f$  and  $g$  are consistent, and
  - $Dom(f) = Dom(g)$

- $iter(f)$  and  $left-iter(f)$  are consistent iff
  - $f$  is consistent, and
  - $Dom(f)$  is unambiguously iterable
- $chain(f, R)$  and  $left-chain(f, R)$  are consistent iff
  - $f$  is consistent,  $R$  is an unambiguous regular expression,
  - $Dom(f)$  is unambiguously iterable, and
  - $Dom(f) = \llbracket R \cdot R \rrbracket$

**MORE ONGOING WORK**

---

## MORE ONGOING WORK

---

PARALLEL EVALUATION ALGORITHMS



A simple NFA evaluation algorithm

$$Q = \{q_1, q_2, \dots, q_n\}$$

String  $\sigma$  summarized by  $n \times n$  boolean matrix  $M_\sigma$

Entry  $e_{ij}$  true iff  $q_i$  can reach  $q_j$

$$M_{\sigma_1\sigma_2} = M_{\sigma_1}M_{\sigma_2}$$

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Can we do something similar for function expressions?

Applications to compression / decompression algorithms, etc.

## MORE ONGOING WORK

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TIGHTENING THE COMPLETENESS PROOF

<i>split</i>	<i>left-split</i>	<i>else</i>	<i>iter</i>	<i>left-iter</i>	<i>combine</i>	<i>chain</i>	<i>left-chain</i>	What's inexpressible?
Yes		Yes			Yes	Yes	Yes	Complete
Yes		Yes	Yes	No	Yes			$\sigma \mapsto \sigma^{rev}$
Yes	Yes	Yes	Yes	Yes	No			$\sigma \mapsto \sigma\sigma$
Yes	Yes	Yes	Yes	Yes	Yes	No	No	<i>shuffle, alignBibtex</i>