# CIS 625 PROBLEM SET 2

Professor Kearns Due November 7, 2021 on Gradescope (code ERP5VV)

# Problem 6.

Consider the class of unions of d intervals over the real line from Problem Set 1 Problem 2. Compute the VC dimension of this class exactly.

#### Problem 7.

For any concept class C, we call the set of inputs  $S = \{x_1, \ldots, x_m\}$  a forcing sequence for  $c \in C$  if c is the only concept in C consistent with the labeled sample  $\{\langle x_1, c(x_1) \rangle, \ldots, \langle x_m, c(x_m) \rangle\}$ . In other words, no other concept in C gives the same labeling on S that c does, and thus any consistent learning algorithm is "forced" to choose c on this labeled sample. The forcing dimension of C, denoted FD(C), is the smallest natural number d such that every  $c \in C$  has a forcing sequence of at most d points.

- a) Let C be the class of conjunctions over n boolean variables and their negations. Show that FD(C) = n + 2.
- b) Show that there is a finite class C such that FD(C) = |C| 1 and VC(C) = 1.
- c) Show that there is a class C for which FD(C) < VC(C). Here VC(C) is the VC dimension of C.

#### Problem 8.

In lecture, we sketched the proof showing that for any class C with VC dimension d, there is a distribution P on which any PAC learning algorithm for C requires at least on the order of  $d/\varepsilon$  samples. Prove this result carefully and rigorously.

**Problem 9.** Let *C* be a concept class. We define the class of **k-fold disjunctions** over *C*, denoted C[k], as the class of all concepts of the form  $c_1 \vee c_2 \vee \ldots \vee c_k$ , where each  $c_i \in C$ . As an example, what we have been calling *k*-term DNF is simply the class of *k*-fold disjunctions over conjunctions. Show that if *C* has VC dimension *d*, the VC dimension of C[k] is  $O(d \times k \times \log(k))$ .

**Problem 10.** In lecture we showed that if, given a sample S of some  $c \in C$ , we could find a hypothesis h (not necessarily in C) whose length was sublinear in m = |S|, we could PAC learn C by such hypotheses, provided m is sufficiently large. In this problem you are asked to prove the converse. Suppose we have a PAC learning algorithm L for Cthat outputs hypotheses of some unknown form. Then use L to construct an algorithm that, given a sample S of some  $c \in S$ , outputs an efficiently evaluatable hypothesis h(whose form you may determine) that is consistent with S, and has size sublinear in m. *Hint: design a simulation of* L *in which the accuracy parameter depends on the degree of the polynomial running time of* L.

### Problem 11.

**Extra Credit:** Show that if concept class C is PAC learnable by concept class H, then as long as H contains the always-1 concept (i.e. every  $x \in X$  is a positive example) and the always-0 concept (every  $x \in X$  is a negative example), then C is PAC learnable by H by a deterministic algorithm (i.e. an algo with no internal randomization).