

# Code Generation from Extended Finite State Machines



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## Outline

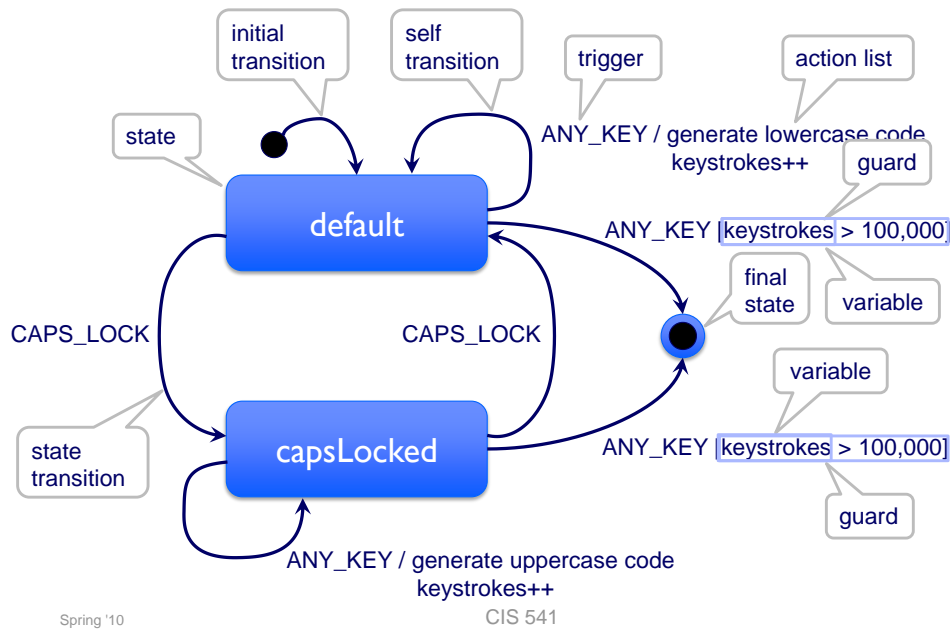
- Definition of Extended Finite State Machines
- General Code Generation Schemes
- Introduction to The EFSM Toolset

# **EXTENDED FINITE STATE MACHINES**

## **Extended Finite State Machines**

- A Keyboard Example
- Formal Definitions
- Variants

## EFSM: A Keyboard Example



## EFSM: Definitions

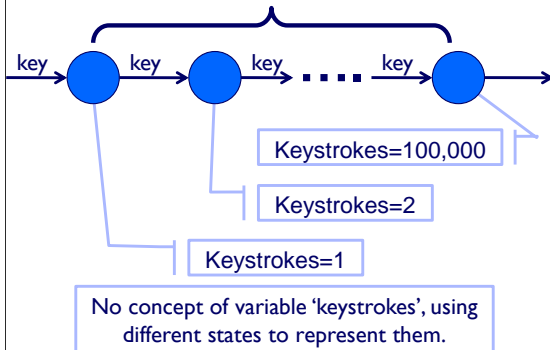
- An EFSM consists of
  - Extended States, Guards, Events, Actions, and Transitions.
- A **state** is a situation or condition of a system during which
  - some (usually implicit) invariant holds,
  - the system performs some activity, or
  - the system waits for some external event.
- An **extended state** is a state with “memory”
  - E.g., using 100,000 states to count 100,000 key strokes; or, using one extended state with a variable “count”.

## States vs Extended States

Example: Counting Number of Keystrokes ( $\leq 100,000$ )

### States

- One state represents one number
- Needs 100,000 states

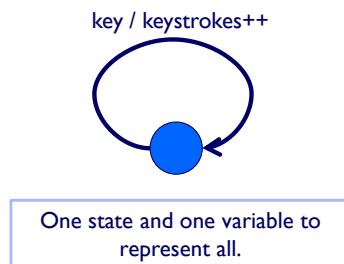


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### Extended States

- One state represents all
- Needs one state with one variable



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## EFSM: Definitions

- **Guards** are
  - boolean conditions on extended state variables which enables or disables certain operations (e.g., change of states).
  - evaluated dynamically based on the extended state variable values.
  - immediate consequence of using extended state variables.
- **An event**
  - is an occurrence in time and space that has significance to the system.
  - may also be parametric which conveys quantitative info.

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## EFSM: Definitions

### ▪ Actions

- are performed when an event instance is dispatched.
- include
  - changing a variable;
  - performing I/O;
  - invoking a function;
  - generating another event instance; or
  - changing to another state (state transition).

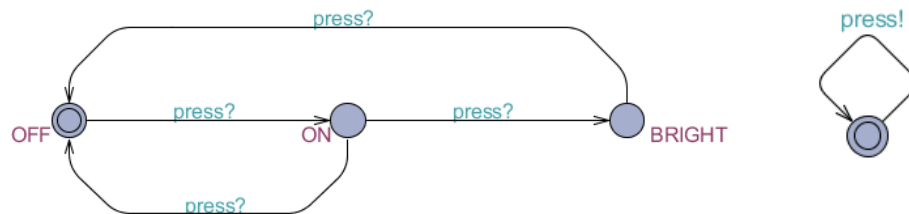
### ▪ Transitions

- can be triggered by events.
- can also have a guard.

## EFSM Variants: An Example

### ▪ Two Communicating EFSMs

- with event channel “press”



### ▪ How to model “pressing twice fast”?

- need time variables – timed automata

## EFSM: Variants

- **Input/output Variables**
  - can serve as enhanced messages in communicating EFSMs
- **Communicating EFSMs**
  - input/output events are properly defined to convey info
  - EFSMs communicate by sending and receiving events via **channels**
- **Timed Automata**
  - “clock” variables are added, increasing automatically as
    - **clock ticks (discrete)** or
    - **time elapses (continuous)**
- **Hierarchical EFSMs**
  - inside a state, the system behavior is also like an EFSM

## STATE MACHINE CODING SCHEMES

## State Machine Coding Schemes

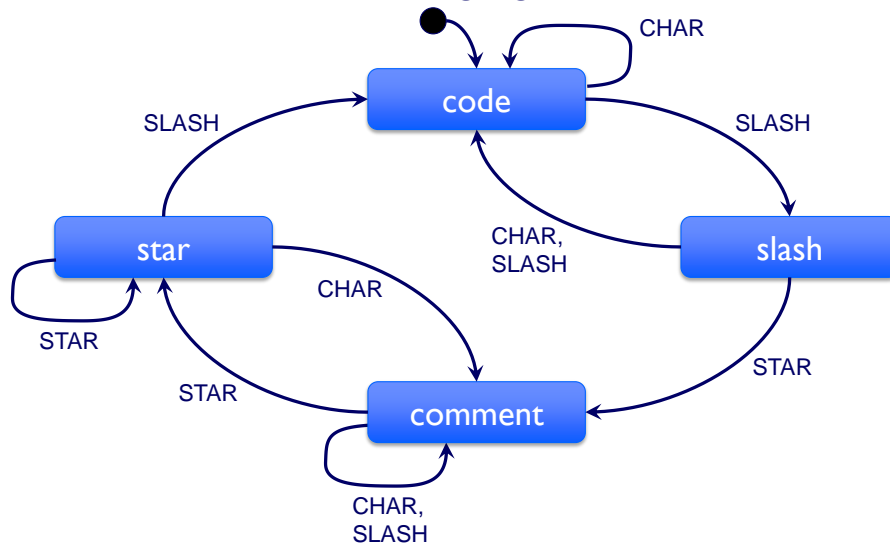
- State Machine Interface
- A Running Example
- Coding Schemes
  - Nested Switch Statement
  - State Table
  - Object-oriented State Design Pattern
  - Multiple-threaded Implementation
- Tradeoffs between EFSM Implementations

## State Machine Interface

- Three methods
  - `init()` – take a top-level initial transition
  - `dispatch()` – dispatch an event to the state machine
  - `tran()` – take an arbitrary state transition
- Each coding scheme virtually implements these
- To use an EFSM in the main logic of the code
  - create an EFSM instance
  - invoke `init()` once
  - call `dispatch()`
    - repetitively in a loop
    - or sporadically based on detected events
  - `dispatch()` will then call the corresponding `trans()` function

## The C Comment Parser Example

- A comment in the C language: `/* comments */`



## The Nested `switch` Statement

- Nest switch statement with
  - a scalar state variable in the first level for states,
  - an event signal in the second level, and
  - transition logic (actions) in the innermost level
- Two alternatives
  - Switch with events first and then states
  - Use one switch that combines both.



# The Nested switch Statement: Example

```
enum Signal {                               // enumeration for CParser signals
    CHAR_SIG, STAR_SIG, SLASH_SIG
};

enum State {                                 // enumeration for CParser states
    CODE, SLASH, COMMENT, STAR
};

class CParser1 {
private:
    State myState;                           // the scalar state-variable
    long myCommentCtr;                       comment character counter
    /* ... */
public:
    void init() { myCommentCtr = 0; tran(CODE); } // default transition
    void dispatch(unsigned const sig);
    void tran(State target) { myState = target; }
    long getCommentCtr() const { return myCommentCtr; }
};
```

state machine interface

# The Nested switch Statement: Example

```
void CParser1::dispatch(unsigned const sig) {
    switch (myState) {
    case CODE:
        switch (sig) {
        case SLASH_SIG:
            tran(SLASH); // transition to SLASH
            break;
        }
        break;
    case SLASH:
        switch (sig) {
        case STAR_SIG:
            myCommentCtr += 2;
            tran(COMMENT);
            break;
        case CHAR_SIG:
        case SLASH_SIG:
            tran(CODE);
            break;
        }
        break;
    case COMMENT:
        switch (sig) {
        case STAR_SIG:
            tran(STAR);
            break;
        case CHAR_SIG:
        case SLASH_SIG:
            ++myCommentCtr;
            break;
        }
        break;
    }
}
```

first switching the states

then switch signals inside each state

finally the business logic

then switch signals inside each state

then switch signals inside each state

## The Nested `switch` Statement: Example

```
case STAR:
    switch (sig) {
        case STAR_SIG:
            ++myCommentCtr;           // count STAR as comment
            break;
        case SLASH_SIG:
            myCommentCtr += 2;       // count STAR-SLASH as comment
            tran(CODE);              // transition to CODE
            break;
        case CHAR_SIG:
            myCommentCtr += 2;       // count STAR-? as comment
            tran(COMMENT);           // go back to COMMENT
            break;
    }
    break;
}
```

one more time, for state STAR

## The Nested `switch` Statement

- Nest `switch` statement with
  - a scalar state variable in the first level for states,
  - an event signal in the second level, and
  - transition logic (actions) in the innermost level
- Advantages
  - Simple and straightforward – just enumeration of states and triggers
  - Small memory footprint – only a state variable necessary
- Disadvantages
  - Does not promote code reuse
    - all elements of an EFSM must be coded specifically for problem at hand.
  - Manual code is prone to errors
    - when logic becomes complex
  - Difficult to maintain in view of design change

# State Table

- A table of arrays of transitions for each state
  - function pointers are stored for easy management
  - fast event dispatching
    - store states and signals as integers, then calculate with pointer offsets

```
trans *t = tableAddress + state * numSignals + sig;
```

		Signals →		
		CHAR_SIG	STAR_SIG	SLASH_SIG
States →	code			doNothing(), slash
	slash	doNothing(), code	a2(), comment	doNothing(), code
	comment	a1(), comment	doNothing(), star	a1(), comment
	star	a2(), comment	a1(), star	a2(), code

\* a1() and a2() are respective action functions

# State Table

- Advantages
  - Direct mapping from a tabular representation of an EFSM
  - Fast event dispatching
  - Code reuse of the “generic event dispatching process”
- Disadvantages
  - Table maybe large and wasteful

		Signals →		
		CHAR_SIG	STAR_SIG	SLASH_SIG
States →	code			doNothing(), slash
	slash	doNothing(), code	a2(), comment	doNothing(), code
	comment	a1(), comment	doNothing(), star	a1(), comment
	star	a2(), comment	a1(), star	a2(), code

\* a1() and a2() are respective action functions

# State Table: Example

```

class StateTable {
public:
    typedef void (StateTable::*Tran) (int);
    struct Tran {
        Action action;
        unsigned nextState;
    };
    StateTable(Tran const *table, unsigned nStates, unsigned nSignals)
        : myTable(table), myNsignals(nSignals), myNstates(nStates) {}
    virtual ~StateTable() {}
    void dispatch(unsigned const sig) {
        register Tran const *t = myTable + myState*myNsignals + sig;
        (this->*(t->action)) ();
        myState = t->nextState;
    }
    void doNothing() {}
protected:
    unsigned myState;
};
private:
    Tran const *myTable;
    unsigned myNsignals;
    unsigned myNstates;

```

Annotations:

- a generic state table class (points to `StateTable`)
- struct for transitions (points to `Tran`)
- struct for transitions (points to `Tran`)
- fast dispatching (points to `dispatch`)

# State Table: Example

```

// specific Comment Parser state machine ...
enum Event { CHAR_SIG, STAR_SIG, SLASH_SIG, MAX_SIG };
enum State { CODE, SLASH, COMMENT, STAR, MAX_STATE };

class CParser2 : public StateTable {
public:
    CParser2() : StateTable(&myTable[0][0], MAX_STATE, MAX_SIG) {}
    void init() { myCommentCtr = 0; myState = CODE; } // initial tran
    long getCommentCtr() const { return myCommentCtr; }
private:
    void a1() { myCommentCtr += 1; } // action method
    void a2() { myCommentCtr += 2; } // action method
private:
    static StateTable::Tran const myTable[MAX_STATE][MAX_SIG];
    long myCommentCtr;
};

```

Annotations:

- table size (points to `MAX_STATE`)
- constructing customized state table (points to `CParser2()`)
- business logic implemented as private functions of the table (points to `a1()` and `a2()`)
- static field holding the state table (points to `myTable`)
- character counter (points to `myCommentCtr`)

## State Table: Example

filling out the table  
statically

```
#include "cparser2.h"

StateTable::Tran const CParser2::myTable[MAX_STATE][MAX_SIG] = {
    {&StateTable::doNothing, CODE },
    {&StateTable::doNothing, CODE },
    {&StateTable::doNothing, SLASH}},

    {&StateTable::doNothing, CODE },
    {static_cast<StateTable::Action>(&CParser2::a2), COMMENT },
    {&StateTable::doNothing, CODE }},

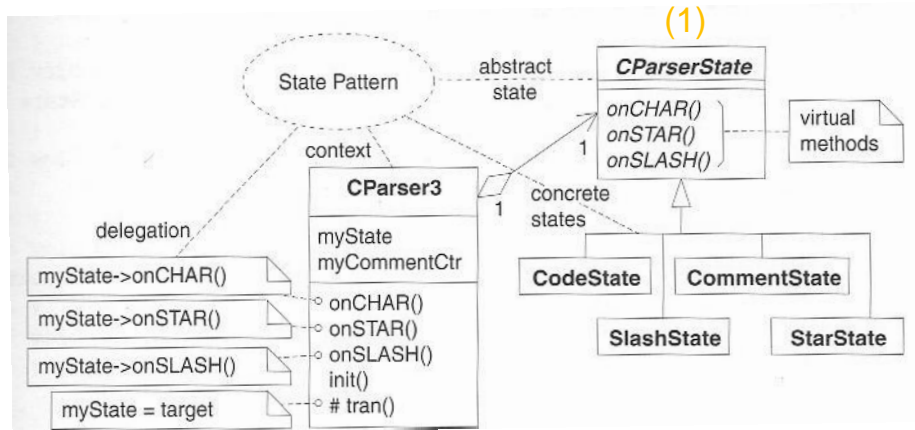
    {static_cast<StateTable::Action>(&CParser2::a1), COMMENT },
    {&StateTable::doNothing, STAR },
    {static_cast<StateTable::Action>(&CParser2::a1), COMMENT }},

    {static_cast<StateTable::Action>(&CParser2::a2), COMMENT },
    {static_cast<StateTable::Action>(&CParser2::a1), STAR },
    {static_cast<StateTable::Action>(&CParser2::a2), CODE }}
};
```

## State Design Pattern [Gamma+ 95, Douglass 99]

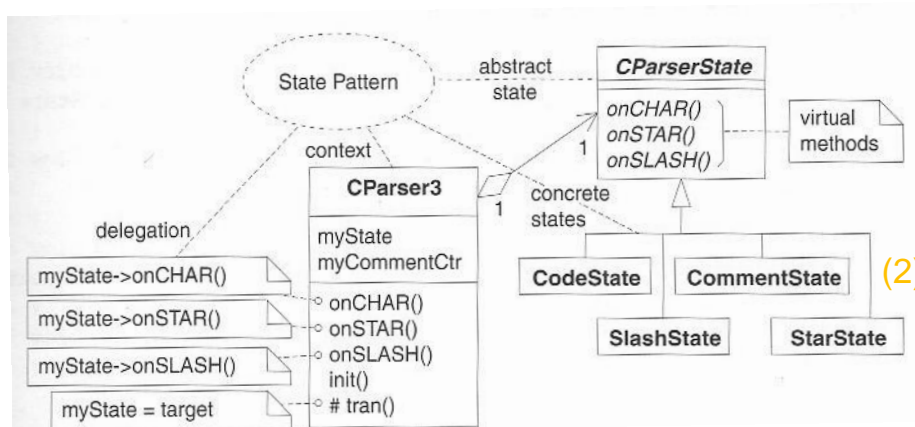
- An abstract state class
  - defines a common interface for handling events
  - each event corresponds to a virtual method
- Concrete states are subclasses of an abstract state class
- A context class delegates all events for processing to the current state object (myState variable)
- State transitions are explicit and are accomplished by reassigning the (myState variable)
- Adding new events corresponds adding it to the abstract state class
- Adding new states is to subclass the abstract state class

## State Design Pattern [Gamma+ 95, Douglass 99]



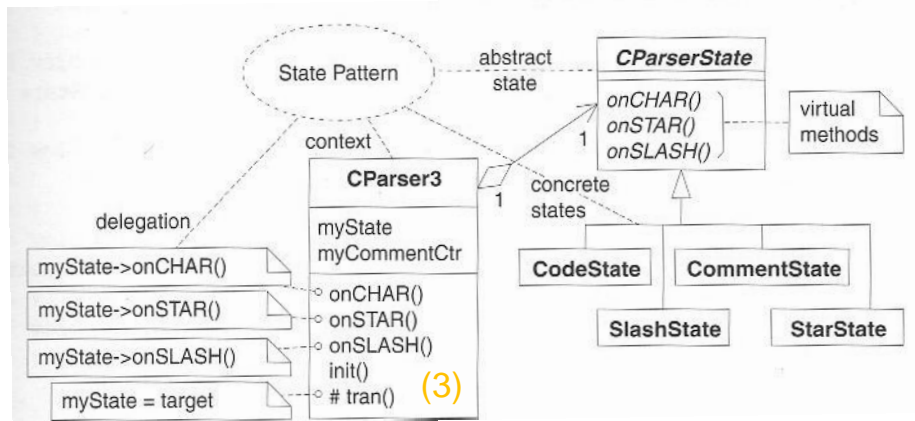
(1) A class for abstract states, contains all possible signals as virtual methods.

## State Design Pattern [Gamma+ 95, Douglass 99]



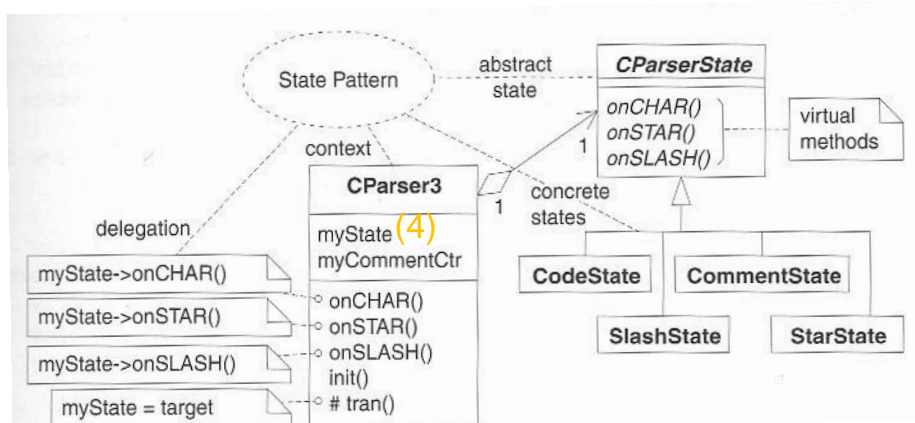
(2) A state in an EFSM is an object of a subclass of the CParserState. (CodeState, SlashState, etc.)

## State Design Pattern [Gamma+ 95, Douglass 99]



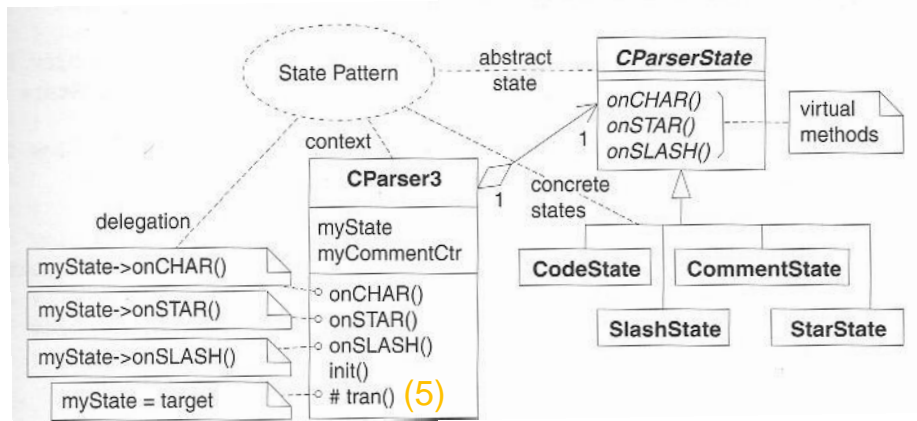
(3) The parser has exactly one object for each concrete state class (e.g., myCodeState, mySlashState, etc.)...

## State Design Pattern [Gamma+ 95, Douglass 99]



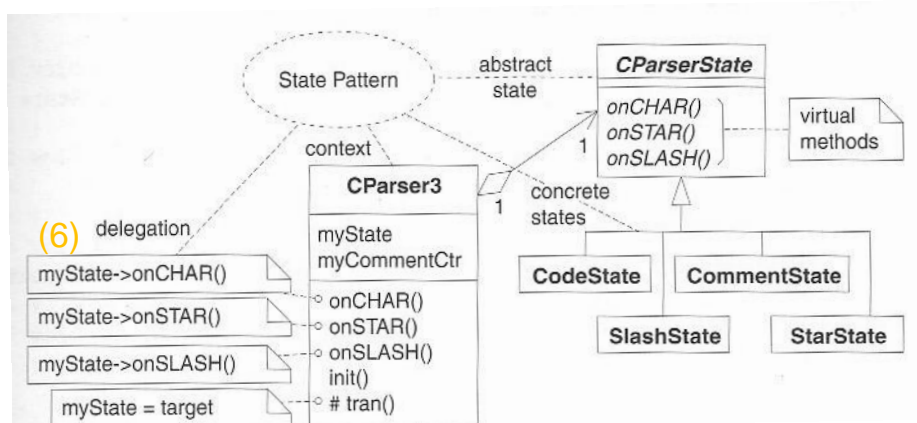
(4) ... as well as a myState variable, which can points to any of them.

## State Design Pattern [Gamma+ 95, Douglass 99]



(5) State transition is just reassigning myState variable.

## State Design Pattern [Gamma+ 95, Douglass 99]



(6) Event dispatching relies on C++ virtual function dispatching rules. (If concrete class has the method, use it; otherwise use the virtual methods.) – Fast dispatching.



## State Design Pattern: Example

The same story once more, in code.

```
class CParserState { // abstract State
public:
    virtual void onCHAR(CParser3 *context, char ch) {}
    virtual void onSTAR(CParser3 *context) {}
    virtual void onSLASH(CParser3 *context) {}
};
class CodeState : public CParserState {
public:
    virtual void onSLASH(CParser3 *context) {}
};
class SlashState : public CParserState {
public:
    virtual void onCHAR(CParser3 *context, char ch);
    virtual void onSTAR(CParser3 *context);
};
class CommentState : public CParserState {
    ....
};
class StarState : public CParserState {
    ....
};
```

(1) A class for abstract states, contains all possible signals as virtual methods.

(2) A state in an EFSM is an object of a subclass of the CParserState. (CodeState, SlashState, etc.)

## State Design Pattern: Example

The same story once more, in code.

```
class CParser3 { // Context class
    friend class CodeState;
    friend class SlashState;
    friend class CommentState;
    friend class StarState;
    static CodeState myCodeState;
    static SlashState mySlashState;
    static CommentState myCommentState;
    static StarState myStarState;
    CParserState *myState;
    long myCommentCtr;
public:
    void init() { myCommentCtr = 0; tran(&myCodeState); }
    void tran(CParserState *target) { myState = target; }
    long getCommentCtr() const { return myCommentCtr; }
    void onCHAR(char ch) { myState->onCHAR(this, ch); }
    void onSTAR() { myState->onSTAR(this); }
    void onSLASH() { myState->onSLASH(this); }
};
```

(3) The parser has exactly one object for each concrete state class (e.g., myCodeState, mySlashState, myCommentState, myStarState).

(4) ... as well as a myState variable which can point to any of these.

(5) State transition is just

(6) Event dispatching relies on C++ virtual function dispatching rules. (If concrete class has the method, use it; otherwise use the virtual methods.) – Fast dispatching.

## State Design Pattern: Example

The same story  
once more, in code.

```
#include "cparser3.h"

CodeState  CParser3::myCodeState;
SlashState CParser3::mySlashState;
CommentState CParser3::myCommentState;
StarState  CParser3::myStarState;

void CodeState::onSLASH(CParser3 *context) {
    context->tran(&CParser3::mySlashState);
}

void SlashState::onCHAR(CParser3 *context, char ch) {
    context->tran(&CParser3::myCodeState);
}

....

void StarState::onSTAR(CParser3 *context) {
    context->myCommentCtr++;
}

void StarState::onSLASH(CParser3 *context) {
    context->myCommentCtr += 2;
    context->tran(&CParser3::myCodeState);
}
```

(7) Implementation of individual actions are writing transition functions on need. (Default behavior is doNothing() as in the virtual function.)

## State Design Pattern [Gamma+ 95, Douglass 99]

### Advantages

- It localizes state specific behavior in separate (sub)classes.
- Efficient state transition – reassigning a pointer.
- Fast event dispatching – using C++ mechanism for function look up.
- Parameterized events made easy – passing function parameters.
- No need for enumeration of states or events beforehand.

### Disadvantages

- Adding states requires creating subclasses.
- Adding new events requires adding handlers to state interface.
- In some situations where C++ or Java is not supported (e.g., some embedded systems), mockups of OO design maybe an overkill.

## Multiple-threaded Implementation

- **Approach I**
  - Each EFSM is implemented inside one thread.
  - Threads run simultaneously, scheduled in round-robin.
  - EFSMs share variables in the process.
- **Advantage**
  - Straightforward transformation from model.
  - EFSM communication easily implemented with thread messages.
- **Disadvantage**
  - In some situations, no ready thread support in specific platform.
  - Related analysis (progressiveness if semaphores are used, timing properties, etc) may be difficult.

## Multiple-threaded Implementation

- **Approach II**
  - Event detectors are implemented in threads.
  - Transition actions are implemented in functions.
  - Location information is stored with a variable.
  - When event detector threads detects, calls corresponding functions and switching locations.
- **Advantage**
  - Easy adaption to model changes.
- **Disadvantage**
  - In some situations, no ready thread support in specific platform.
  - Code may be unstructured/unreadable.

## Multiple-threaded Implementation: Example (Approach II)

```
void *trans3(void *ptr) {
    int t;
    while(1) {
        sem_wait(&Sense);
        if(current==WAIT_VRP && TRUE) {
            t=getTimer(&v_x);
            printf("Sense:%d\n", t);
            clearTimer(&v_x);
            current=ST_IDLE;
            sem_post(&ST_IDLE);
        }
    }
}

int main(int argc, char *argv[]) {
    pthread_t thread1, thread2, thread3;
    // ... initialization code ...
    pthread_create( &thread1, NULL, trans1, NULL);
    pthread_create( &thread2, NULL, trans2, NULL);
    pthread_create( &thread3, NULL, trans3, NULL);
    pthread_join( thread1, NULL);
    return 0;
}
```

- A transition logic is written inside a function
  - Wait for semaphore for triggering signal.
  - If succeeded, check state (WAIT\_VRP) and guard (TRUE).
  - Execute updates.
    - Print out timer value (for debugging)
    - Reset timer value
    - Change state
- All threads initialized and run in main

## Optimal EFSM Implementation

- Does there exist one?
- A trade off based on
  - platform (available libraries? languages to use?)
  - purpose of coding
    - just for implementation or for analysis?
    - what type of analysis? etc.
  - efficiency requirement
  - possibility of model changes

# **THE EFSM TOOLSET**

## **The EFSM Toolset**

- Introduction
- The EFSM Language
- Checking for Non-determinism and Totality
- Translations to Other Languages
- Test Generation from EFSMs
- Script Generation
- Code Generation
- Simulation

## Introduction to EFSM Toolset

- Targets designers and engineers without specialized training in formal methods
- Uses easily human-readable languages in description
- Features
  - based on communicating EFSMs
  - using communication channels as well as shared variables
  - with input, output, and local variables

## The EFSM Language

### ▪ Example

```
SYSTEM LampSystem:
Channel: press, sync, B;
EFSM Lamp {
  States: off, low, bright;
  InitialState: off;
  LocalVars:
    bint[0..5] y = 0,
    boolean x = false;
  Transition: From off to low when true==true do press ? x, y = 0;
  Transition: From low to off when y>=5 do press ? x;
  Transition: From low to bright when y<5 do press ? x;
  Transition: From bright to off when true==true do press ? x;
}
EFSM User {
  States: idle;
  InitialState: idle;
  LocalVars:
    boolean x = false;
  Transition: From idle to idle when true==true do press ! x;
}
```

Channels  
and channel  
actions

## The EFSM Language: Channels

- **Specification:** `Channel: <name>, <type>, <r/w/b>;`
  - `<type>` maybe “sync” or “async”
    - “sync”: one-to-one, blocking synchronization of two EFSMs
    - “async”: one-writer-to-many-readers, non-blocking for writer, non-consuming from the readers, asynchronous
  - `<r/w/b>` – reader channel, writer channel, or both
- **Communication action:** `<channel name> <action> <arg>`
  - `<action>` can be `!`, `?`, `!!`, `??`
    - `!` means writing, `?` means reading
    - single mark means synchronous; double marks means asynchronous
  - `<arg>` is a typeless value

## Non-determinism and Totality

- **Definitions**
  - An EFSM is **non-determinism** if there exists some state with two or more outgoing transitions which maybe enabled at the same time.
  - An EFSM is **total** (or complete) if at any state  $S$ , there is at least one outgoing edge enabled.
- **Checking Non-determinism and Totality**
  - The EFSM Toolset utilizes the satisfiability checker zChaff
    - systematically transform logic formula over guards to DIMACS (zChaff accepted format)
    - feed into zChaff and interpret the result

## Non-determinism and Totality

- **Example: A system with a bounded integer  $x$  in  $[1..5]$  and**
  - (1) From start to discard if  $x \leq 4$ ;
  - (2) From start to use if  $x > 3$ ;
  - (3) From start to redo if  $x == 5$ ;
- **To check for non-determinism for the “start” state, we need to check**
  - (a)  $(x \leq 4) \wedge (x > 3)$
  - (b)  $(x \leq 4) \wedge (x == 5)$
  - (c)  $(x > 3) \wedge (x == 5)$

which are transformed to ( $x_k$  means  $x == k$  is true)

  - (a)  $(x_1 \vee x_2 \vee x_3 \vee x_4) \wedge (x_4 \vee x_5)$
  - (b)  $(x_1 \vee x_2 \vee x_3 \vee x_4) \wedge (x_5)$
  - (c)  $(x_4 \vee x_5) \wedge (x_5)$
- **(a), (c) are satisfied, which means there are non-determinisms between**
  - the first and the second transitions, and
  - the second and the third transitions

## Translations to Other Languages

- **Table** – CSV format most Spreadsheet programs can open
- **Text** – Human readable text file
- **Dotty** – Input format of the “dot” program for drawing
- **Promela** – The Spin model checker input language
  - systems with only synchronous channels are supported now
  - setting channel buffer size to 0 in Promela
- **Uppaal** – The Uppaal model checker XML format
  - systems with only synchronous channels are supported now
  - value passing must take place through shared variables
- **SMV format** – the NuSMV model checker
  - currently supports systems without communication channels
  - shared variables are supported
  - can utilize the verification results from NuSMV for test case generation

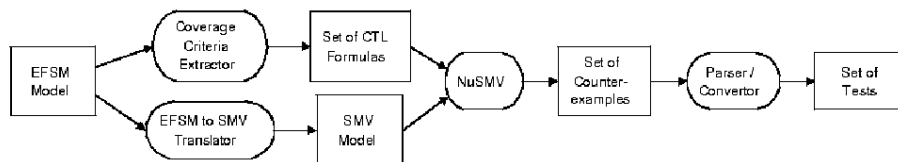


## Test Generation from EFSMs

- **Test Generation**
  - create a set of traces through the EFSM
  - for testing an implementation of the system
  - coverage criteria
    - state coverage – go through each state at least once
    - transition coverage – ensures that every transition is take once
    - definition-use coverage – makes a trace from every definition of a variable to each of its subsequent uses
- **Output of Test Generation**
  - a sequence of assignments of variable values
  - necessary to lead the EFSM to take particular paths

## Test Generation from EFSMs

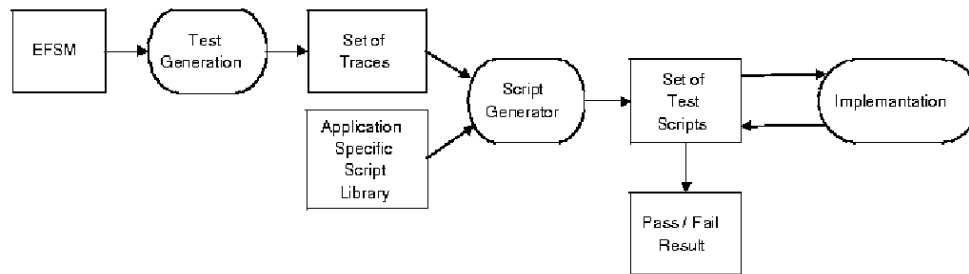
- **Model Based Approach using NuSMV**



- **Direct Test Generation Algorithm**
  - DFS algorithm to discover set of paths covering all states
  - It keeps track of constraints and assignments along the path.
  - A transition can be added to the path if compatible with other constraints.
  - The algorithm starts with initial state and a set of constraints corresponding to the initial assignments of variables.
  - If a transition can be added (compatible), add it and make a recursive call.
  - Can keep a snapshot of visited states to guarantee termination.
  - Need to use a satisfiability checker.

## Script Generation

- **Script Generation**
  - takes a set of traces (from test generation)
  - makes a set of scripts
  - which can be run on an implementation
- **Approach**



## Code Generation

- **Allows mapping state machine variables to arbitrary input/output functions**
  - input function is called each time the variable is read
    - condition like `x==2` will be translated to `readX()==2`
  - output function is called each time the variable is written
    - assignment like `x:=y-2` will become `writeX(y-2)`
- **C**
  - limited to single EFSM model without communication channels
  - repeatedly executing a series of if-else statements like
    - `if (state==X and condition==c) { doSomething(); ... }`
- **Java**
  - supports multiple communicating machines, utilizing JCSP library
  - each EFSM is run inside a thread, and they are all run in parallel
  - scheduled round-robin

## Example: Generated Code Snippet in C

```
while (transition_taken) {
    transition_taken=0;
    if ((currentState==1)&&(current < getNumBots())) {
        PRE_TRANSITION_HOOK;
        current=current+1;
        currentState=2;
        transition_taken=1;
        //printf("rolling:From:incrementTo:check
        //Guard:current <=numBotsAction:current=current+1\n");
        POST_TRANSITION_HOOK;
    }
    ....
    if ((currentState==2)&&(getSwitch()==1)) {
        PRE_TRANSITION_HOOK;
        setAllAngles(1);
        currentState=1;
        transition_taken=1;
        //printf("rolling:From:checkTo:increment
        //Guard:switchValue==trueAction:setAngles=true \n");
        POST_TRANSITION_HOOK;
    }
}
```

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## Example: Generated Code Snippet in Java

```
import jcsplang.*;
public class gaitThread implements CSProcess {
    private final String name="gaitThread"; ....
    public gaitThread() {}
    public void run() {
        final Skip skip= new Skip(); ....
        while ( true ) {
            switch (alt.priSelect()) {
                case 0:
                    if ((currentState.equals("footArming") & (TwoSecondDelay == true )) {
                        currentState="spineArming";
                        System.out.println("gait: From: footArming
                                           To:spineArming
                                           Guard:TwoSecondDelay== true
                                           Action:spine=-20");
                    }
                    if ((currentState.equals("spineArming") & (TwoSecondDelay == true)) {
                        ....
                    } ....
                ....
            }
        }
    }
}
```

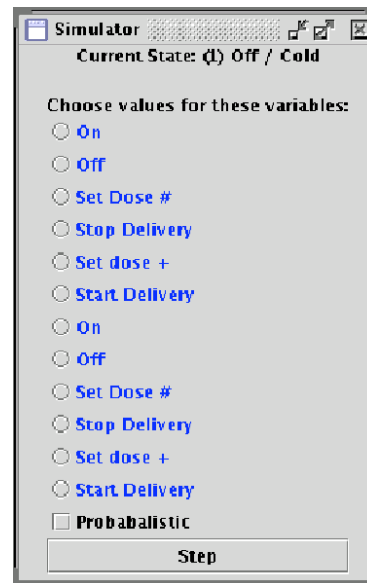
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## Simulation with the EFSM Toolset

- Simulator generates Java code which walks through the EFSM model.
- At each step, it asks the user for input variable values, if any.
- Example screen on the right.
  - Either choose variables to set value and “Step”, or
  - Auto with “Probabilistic”.
- Simulator reports error message if no transitions available.



## References

- Miro Samek, *Practical Statecharts in C/C++*, CMPBooks, 2002
  - Source code available online
  - <http://www.state-machine.com/psicc/>
- David Arney, *EFSM Toolbox Manual*, University of Pennsylvania, 2009

**Thank you!**