

# A Panorama of Geometric Modeling

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# 1. What is Computer-Aided Geometric Design<sup>n</sup>?

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- CAGD = Techniques for modeling (complex) curved shapes, using **curves** and **surfaces**.
- Such techniques are used in 2D and 3D drawing and plot
- **product design and manufacturing** (cars, planes, ships. etc.)
- architecture (buildings)
- **computer animation** (movies, computer simulation)

# CAGD

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- **medical imaging**: modeling of organs (brain, prostate, liver, etc.)
- topographic data, weather data,
- robotics: motion interpolation
- **computer vision**: 3D models from “point clouds”
- **biology and computational chemistry**
- archeology, art!

## Some Historical Background

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Even in the nineteenth century, ship hulls were designed using long flexible wooden strips called **splines**.

In the forties, engineers at BOEING experimented with computer-aided methods for designing airplanes.

Various types of surfaces amenable to efficient computer processing were invented.

In the 1960's, independently, two French engineers working for Citroen and Renault pioneered the use of CAGD in car design.

## Practical Uses in Industry

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Subdivision surfaces are used by

- Intel: scalable 3D models (see home page)
- Pixar: Entertainment industry, computer animated movies. (show movie “Geri’s game”).

3-D modeling, simulation and virtual reality tools, used by BOEING and other aerospace companies (see phantom work).

## More Historical Background

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Both Pierre Bézier and Paul de Casteljaou proposed schemes for specifying curves and surfaces using **control points**, as opposed to explicit coefficients.

In the early 1970's, Carl de Boor (U. of Wisconsin) and Richard Riesenfeld (U. of Utah) came up with ***B*-splines** curves and surfaces and the “**de Boor algorithm**”.

In 1978, Catmull and Clark proposed **subdivision surfaces**. Catmull is now one of the vice presidents of Pixar!

## 2. Problems Considered

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- (1) **Approximating** a curved shape. In this type of problem, accuracy is not so important but **smoothness** usually is. “Free-hand drawing” is a 2D analog.
- (2) **Interpolating** a curved shape. Here, some data points are given and the interpolating curve or surface **must** pass through some required points.
- (3) **Rendering** (i.e., drawing) smooth curves or surfaces.

## Example: Interpolation of Data Points Using a Curve

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Problem: Given data points  $x_0, \dots, x_n$  (in the plane), find a curve starting at  $x_0$ , ending at  $x_n$ , and passing through all the points.

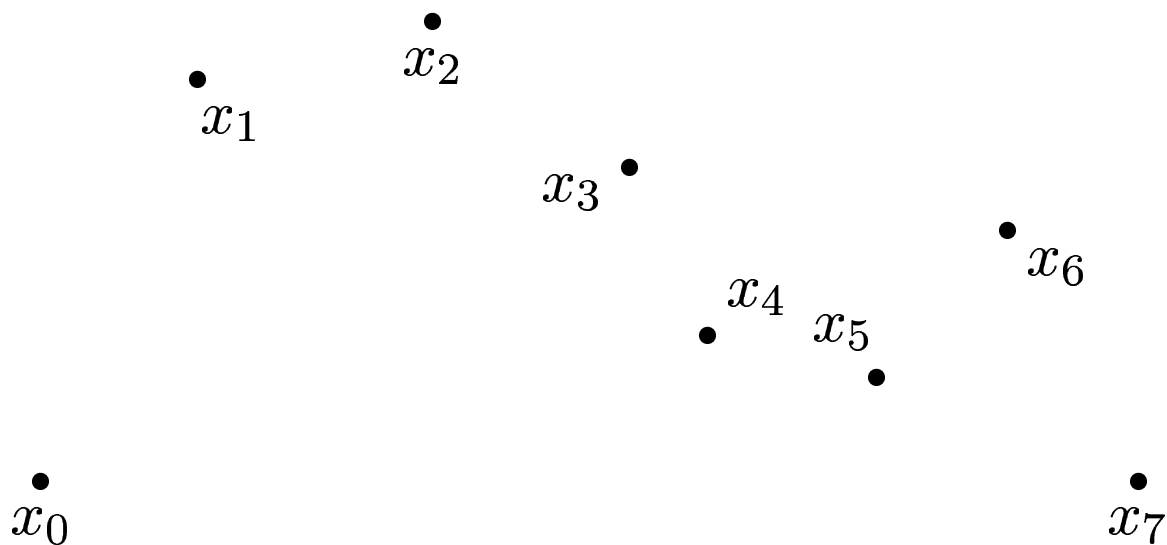


Figure 1: Data points  $x_0, x_1, x_2, x_3, x_4, x_5, x_6, x_7$



# What's a good interpolation curve?

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Clearly, there are infinitely many curves interpolating the data points; how do we choose a “good” curve.

We need some **criteria** for what is a good curve.

For example

- (a) A **smooth** curve (what does this mean?)
- (b) A curve that does not wiggle excessively.
- (c) A curve that can be **easily modified locally**, without affecting the entire curve.

# Interpolation Using a Cubic Spline Curve

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If we use **Bézier** spline curves of degree  $m$  (usually,  $m = 3$ ), then we get good smoothness and no excessive wiggling.

Incremental changes are easily accomodated (it's called **local control**).

Curves are unique up to the specification of **end conditions** (for example, prescribed tangent vectors).

There are efficient algorithms to draw such curves.

Closed curves can also be handled.

# A cubic interpolation spline curve

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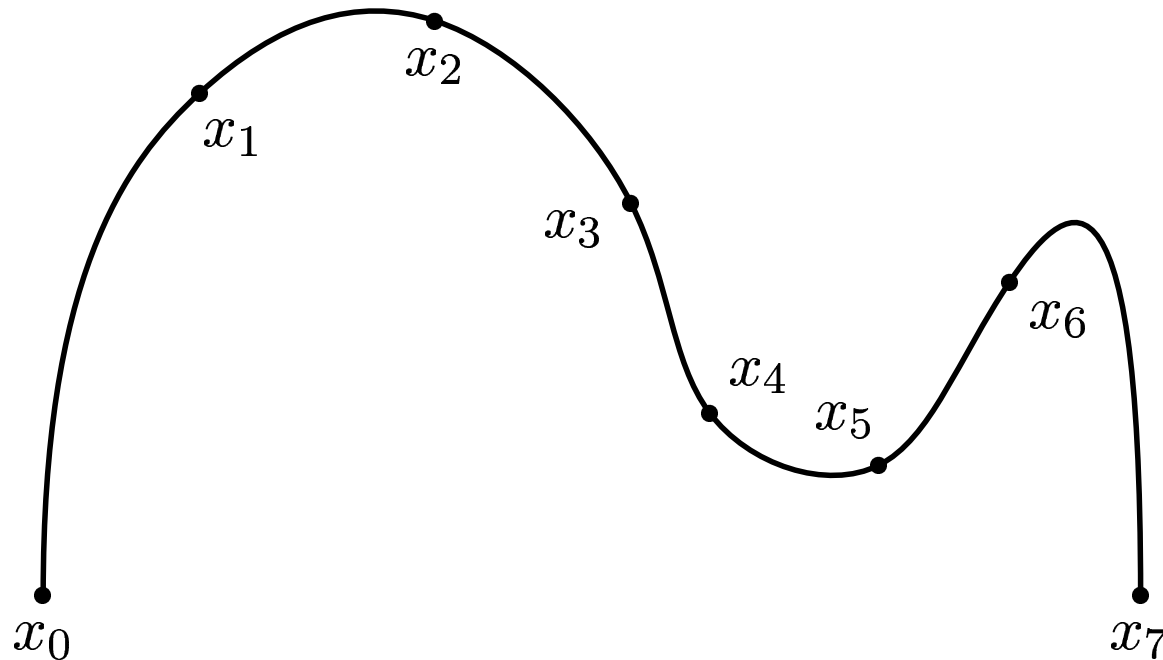


Figure 2: A cubic interpolation spline curve passing through the points  $x_0, x_1, x_2, x_3, x_4, x_5, x_6, x_7$

## How is a spline specified?

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Spline curves consist of little pieces of (polynomial) curves glued together.

All individual curves have the same degree (often 3 = cubic curves).

The spline curve is specified by a sequence of line segments, its **control polygon**; the vertices are the **control points**.

There is an algorithmic method for drawing the spline curve from its control polygon.

Note: The control points usually differ from the data points.

# Control polygon of a spline

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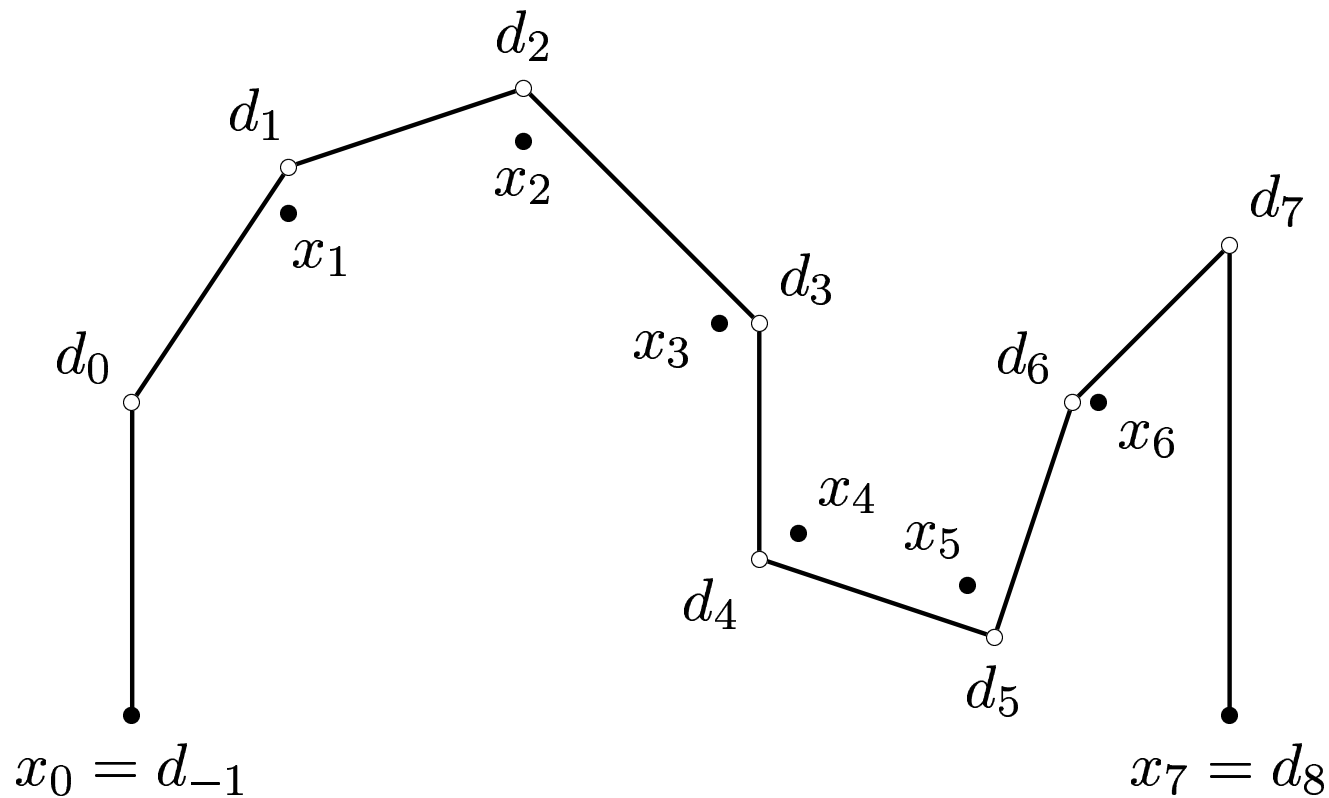


Figure 3: Control polygon of a cubic spline through  $x_0, x_1, x_2, x_3, x_4, x_5, x_6, x_7$

# A cubic spline and its control polygon

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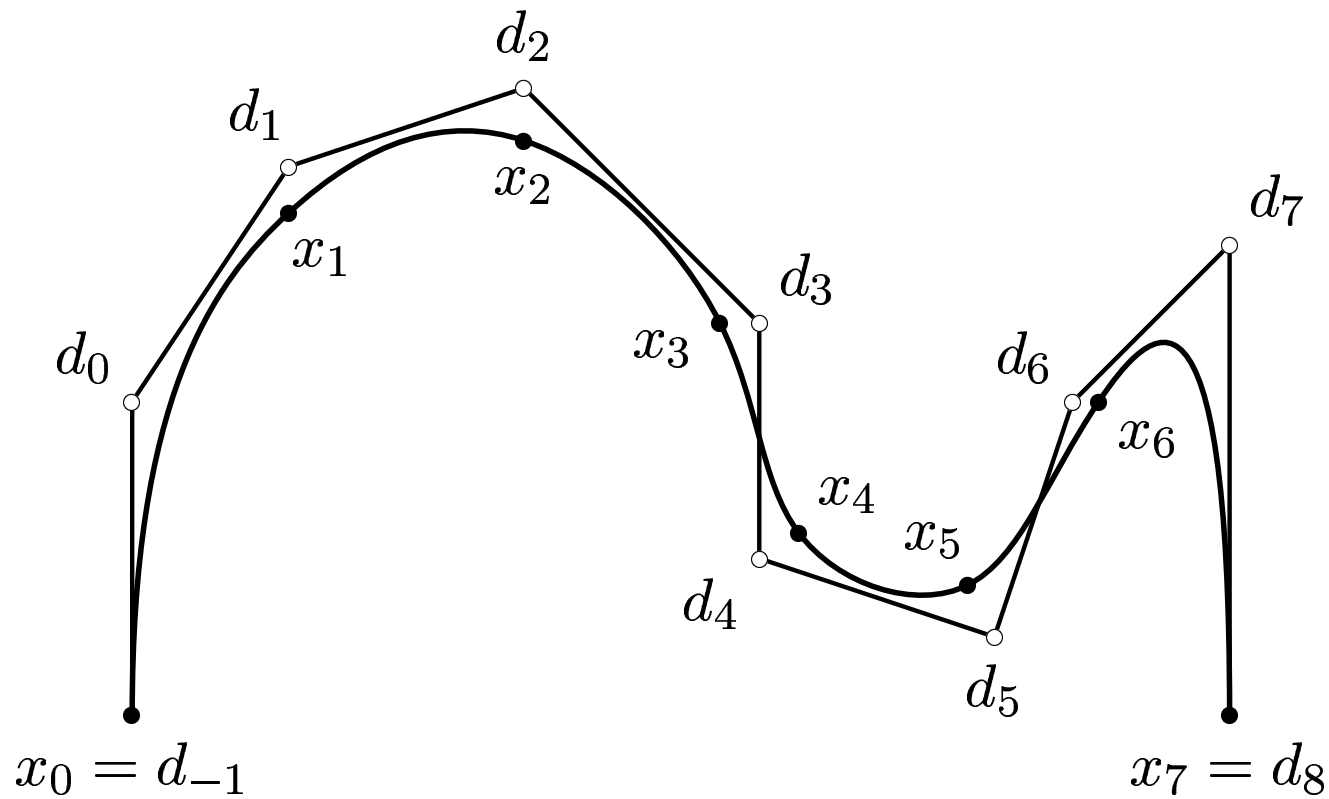


Figure 4: A cubic spline curve through  $x_0, x_1, x_2, x_3, x_4, x_5, x_6, x_7$  and its control polygon

### 3. What is a Curve?

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Traditionally, there are two ways of defining curves:

- (1) **Implicitly**: The curve is the **zero-locus** of one or more **equations**.  
For example, consider the unit circle:

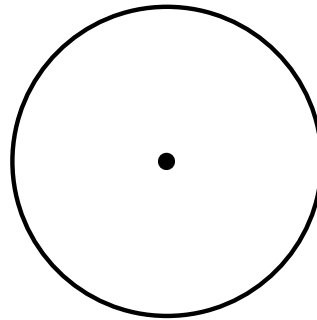


Figure 5: A unit circle

This circle is defined implicitly by

$$x^2 + y^2 = 1.$$

## What is a Curve?

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(2) **Parametrically**: The curve is given as the set of points  $(x, y)$  such that  $x = f(t), y = g(t)$ . For example, the same circle is given by

$$x = \cos t, \quad y = \sin t.$$

Another (cheaper!) **rational** parametrization:

$$x = \frac{1 - t^2}{1 + t^2}, \quad y = \frac{2t}{1 + t^2}.$$

(How do we get the point  $(-1, 0)$ ?)



## Implicit versus Parametric

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Implicit definitions are good if we want to decide whether or not a point belongs to a curve, but not good to draw the curve.

Parametric definitions are much better to draw a curve, but what kind of functions should we allow for  $f, g$ ?

We would like functions that are “cheap” to compute.

**Polynomials** (or ratios of polynomials) are cheap to evaluate.

# Polynomial and Non-Polynomial Functions

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The function  $f(t)$  given by

$$f(t) = 2t^3 - t^2 + 3t - 1$$

is a polynomial (of degree 3).

The function

$$f(t) = e^{-t^2} \cos t + t \sin t$$

is **not** a polynomial. The function

$$f(t) = \frac{1 - t^2}{1 + t^2}$$

is **not** a polynomial, but it is the next best thing: a **rational function**.

# The idea of a spline

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But, polynomial curves of high degree are hard to control. They wiggle too much!

It is may also be too expensive to use polynomials of high degree. It will take too long to draw such curves and there will be precision issues.

Instead of using a single polynomial curve use a **piecewise polynomial curve**, that is, break up a complicated curve into small manageable simple curves of low degree (usually 3). Such curves are **spline curves**.

Dealing with each piece is easy and efficient. There are good approximations algorithms, for example, the **de Casteljau algorithm** (see below).

## Joining the pieces properly

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The difficulty in dealing with splines is not to handle the small pieces constituting a spline, but to insure that these pieces **join** with an appropriate amount of **smoothness**.

Sometimes, it is desirable to have various degrees of smoothness.

This is technically complicated, but good control of smoothness can be achieved.

# A Spline with Varying smoothness

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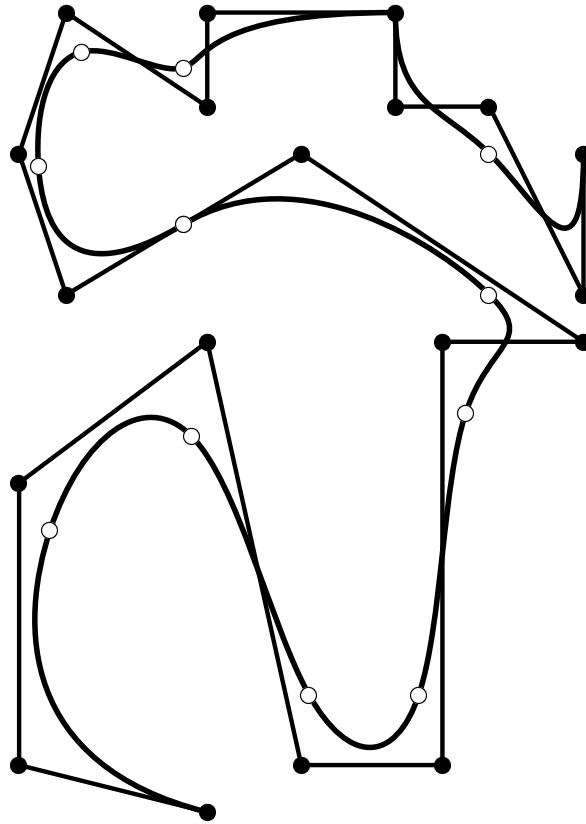


Figure 6: A cubic spline with varying smoothness

## 4. Bézier Curves

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We now take a closer look at the individual polynomial curves of low degree that form a spline.

What's great is that it is possible to define such curves in terms of **control points** rather than in terms of explicit polynomials.

Furthermore, this approach leads to efficient recursive algorithms for drawing such curves, with any prescribed degree of accuracy.

This method is due to Bézier, but the theory was known to nineteenth century mathematicians. But they did not have the **practical motivations!**

# Control Points for Polynomial Curves

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If a curve,  $C$ , is given by

$$x = f(t), \quad y = g(t),$$

where  $f(t)$  and  $g(t)$  are polynomials of degree at most  $m$ , we say that  $C$  is a curve of degree  $m$ .

In general, a polynomial curve of degree  $m$  is specified by  $m + 1$  **control points**,  $b_0, \dots, b_m$ .

So, a straight line is given by two points (surprise!).

# Control Points for Polynomial Curves

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A curve of degree 2 is given by 3 points

A curve of degree 3 is given by 4 points, etc.

Here is an example of a control polygon when  $m = 3$ :

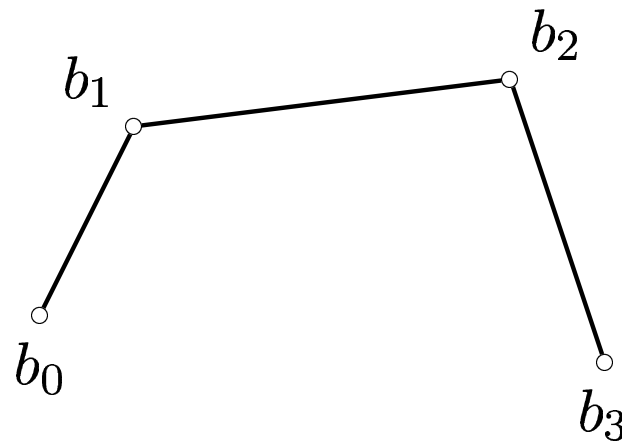


Figure 7: Control points and polygon for a cubic curve



# A Curve and its control polygon

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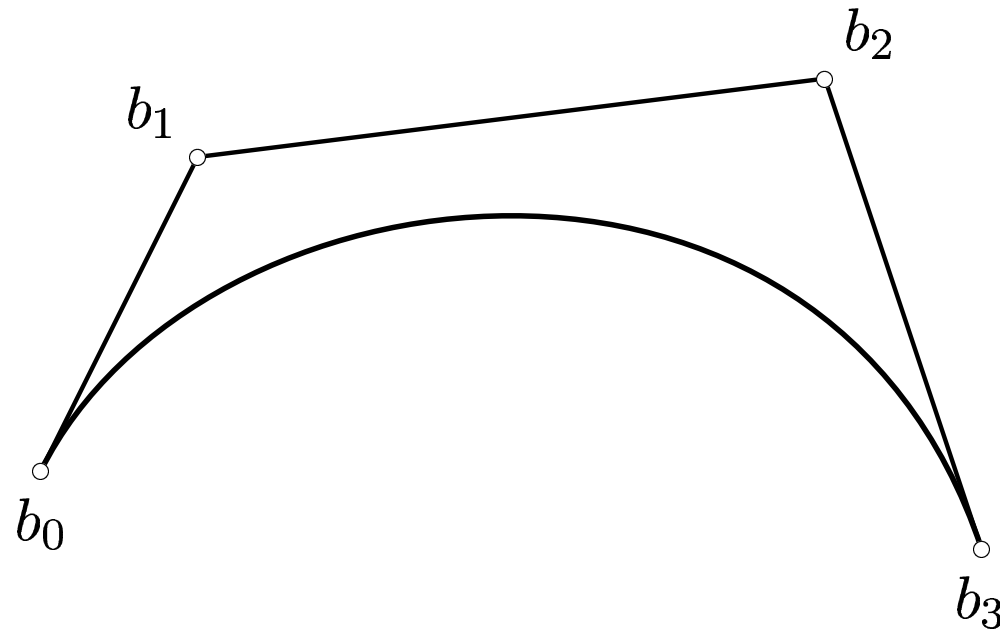


Figure 8: The (cubic) curve segment and its control polygon

Note that the curve goes through  $b_0$  and  $b_3$ .

# Constructing a point using affine interpolation

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Surprisingly, there is a very simple and efficient method for constructing points on a curve specified by its control points.

This method uses [affine interpolation](#).

Given any two points  $a, b$ , and any real,  $t \in \mathbb{R}$ , recall that the (affine) interpolant

$$(1 - t)a + tb$$

is the point,  $c$ , on the line  $ab$  defined so that

$$c = a + t\vec{ab}.$$

This means that  $c = (1 - t)a + tb$  is “ $t$  of the way between  $a$  and  $b$ ”.

## Affine interpolation

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For example, when  $t = 1/2$ , the point  $c$  is half way between  $a$  and  $b$ , i.e., it is the midpoint of  $ab$ .

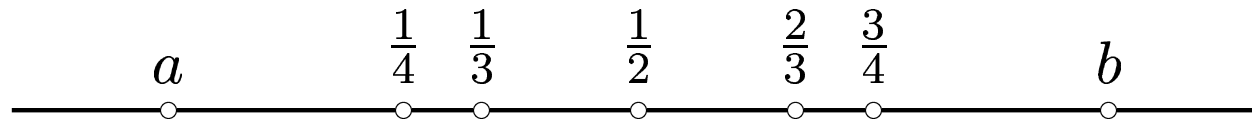


Figure 9: Some Affine interpolant for  $t = 1/4, 1/3, 1/2, 2/3, 3/4$

If  $t = 0$ , then  $c = a$  and if  $t = 1$ , then  $c = b$ .

## Constructing a point using affine interpolation

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When  $t \notin [0, 1]$ , the point  $(1 - t)a + tb$  is outside of the line segment  $ab$ , we say talk about **extrapolation** rather than **interpolation**.

If a curve,  $F$ , is specified by control points, say  $b_0, b_1, b_2, b_3$ , for any  $t \in [0, 1]$ , we can construct the point  $F(t)$  on  $F$  in three stages, using affine interpolation steps.

This process is called the **de Casteljau algorithm**.

## 5. The de Casteljau algorithm

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Three stages. During stage 3, the last stage, we compute the point on the curve corresponding to  $t$ .

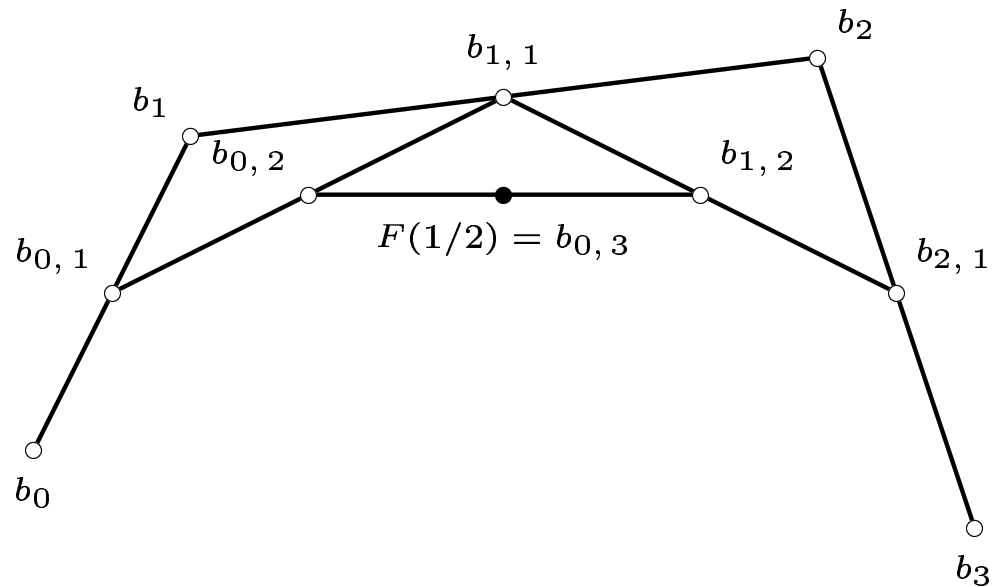


Figure 10: A de Casteljau diagram for  $t = 1/2$

## The subdivision method

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If we look carefully at the method, say for  $m = 3$ , we discover that the process has constructed two control polygons

$$b_0, b_{0,1}, b_{0,2}, b_{0,3} \quad \text{and} \quad b_{0,3}, b_{1,2}, b_{2,1}, b_3.$$

So, we can subdivide **recursively** each of these control polygons.

This method yields a fast and efficient way of drawing a curve.

Indeed, it can be shown that these polygons quickly to the curve and that the “error” goes to zero at an exponential rate.

## Example of The subdivision method

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Here is an example of subdividing recursively six times:

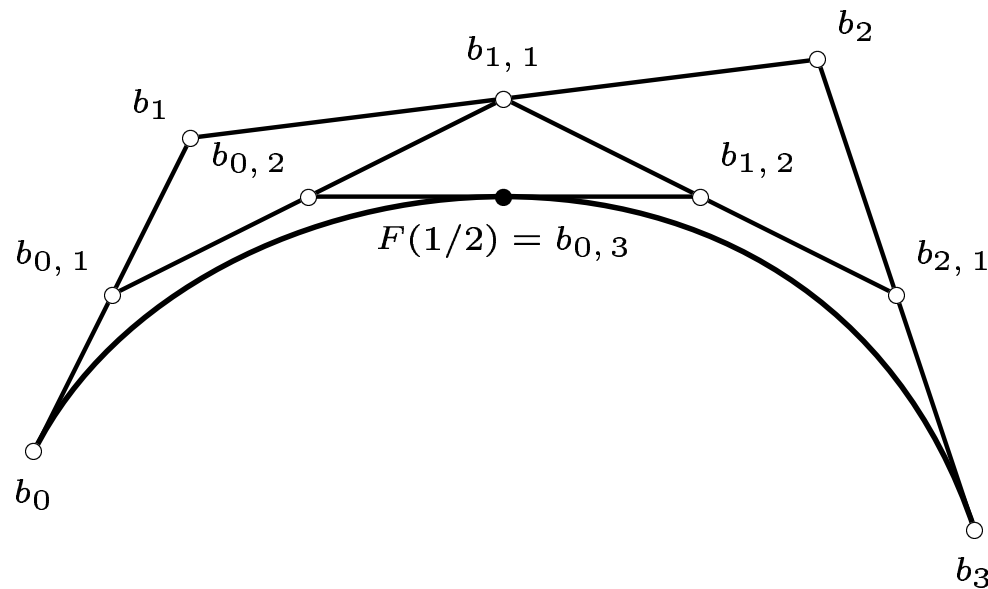


Figure 11: Approximating a curve using subdivision

## Another example of subdivision

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Here is another example of subdividing six times a curve of degree 4 given by the control polygon

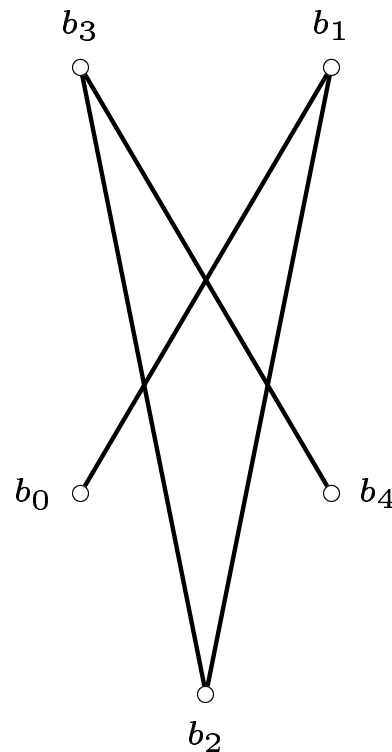


Figure 12: A control polygon for a curve of degree 4



# Example of subdivision, one iteration

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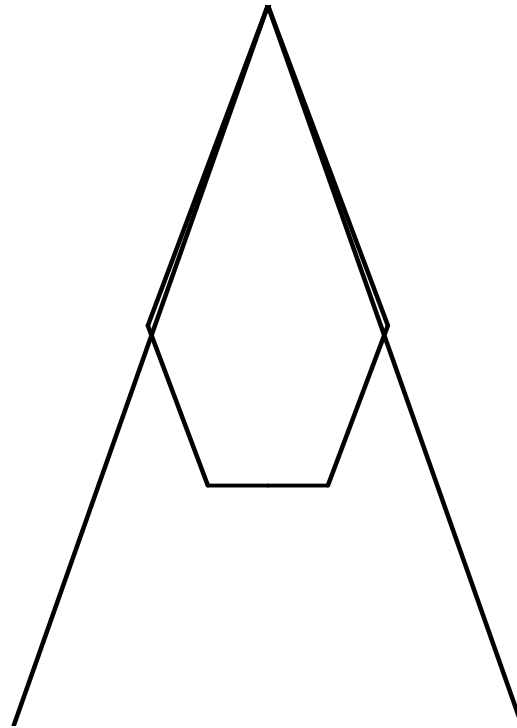


Figure 13: Subdivision, 1 iteration

# Example of subdivision, two iterations

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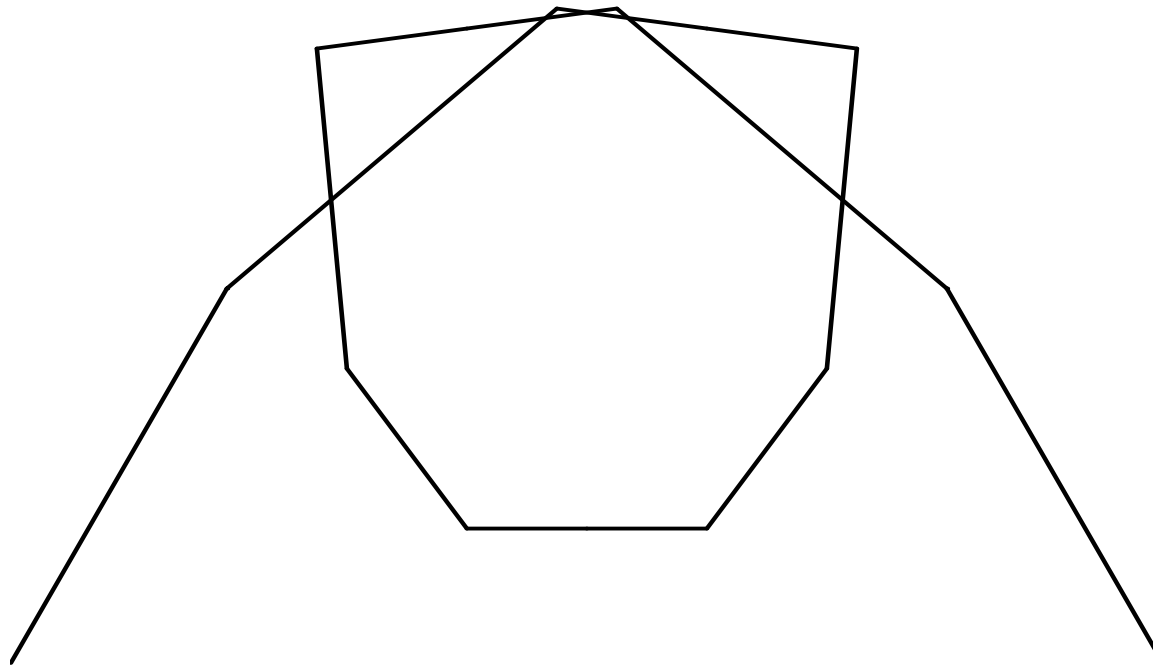


Figure 14: Subdivision, 2 iterations

# Example of subdivision, three iterations

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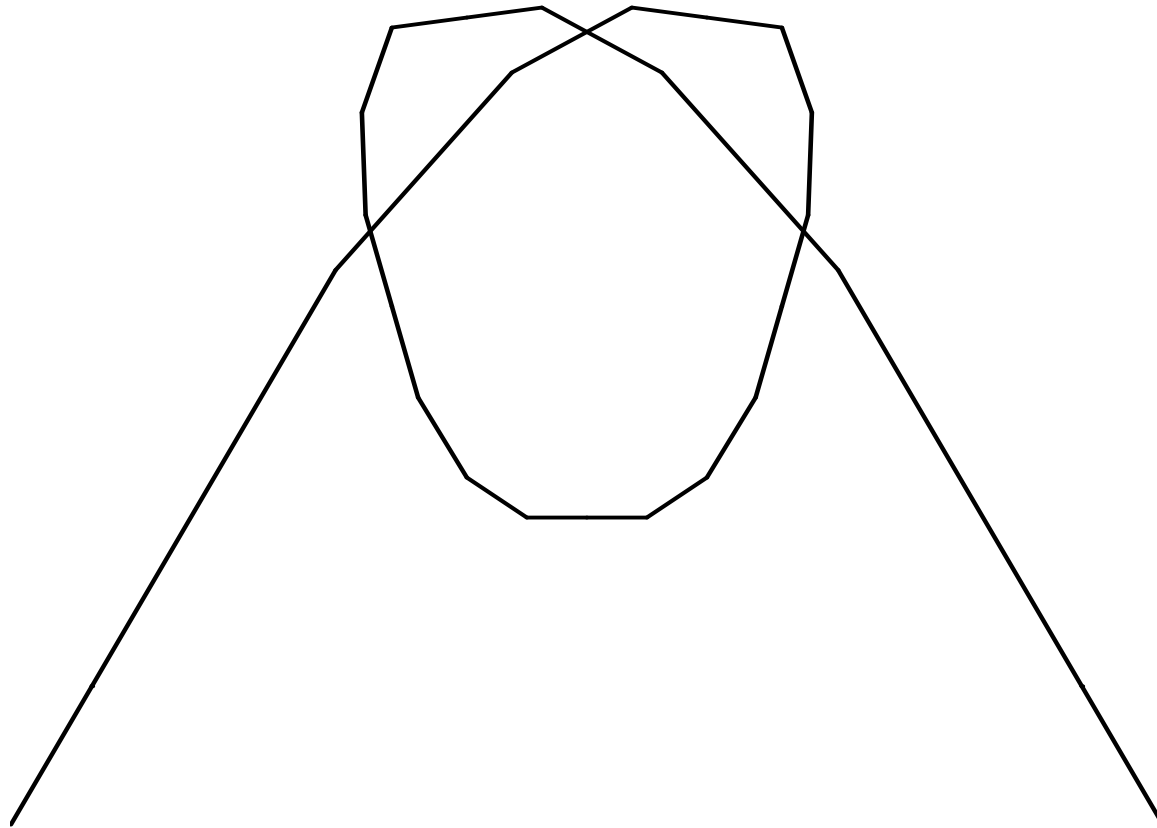


Figure 15: Subdivision, 3 iterations

# Example of subdivision, four iterations

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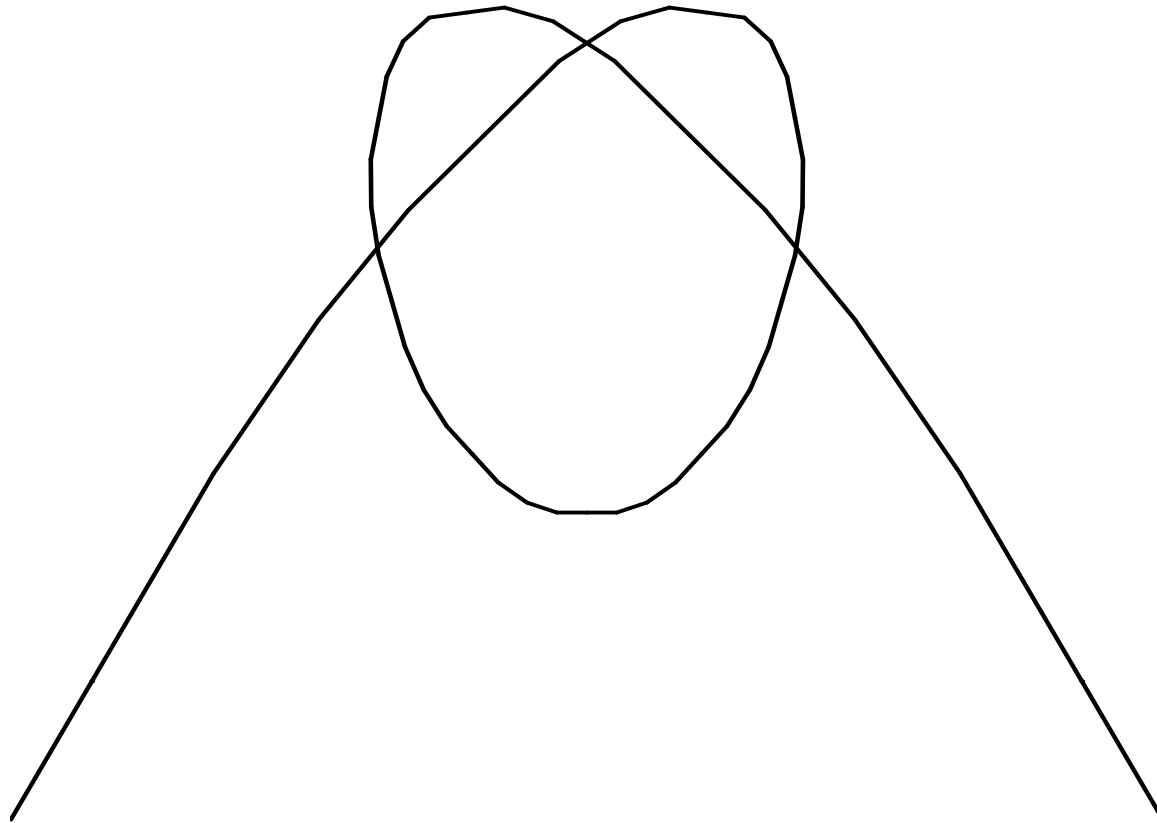


Figure 16: Subdivision, 4 iterations

# Example of subdivision, five iterations

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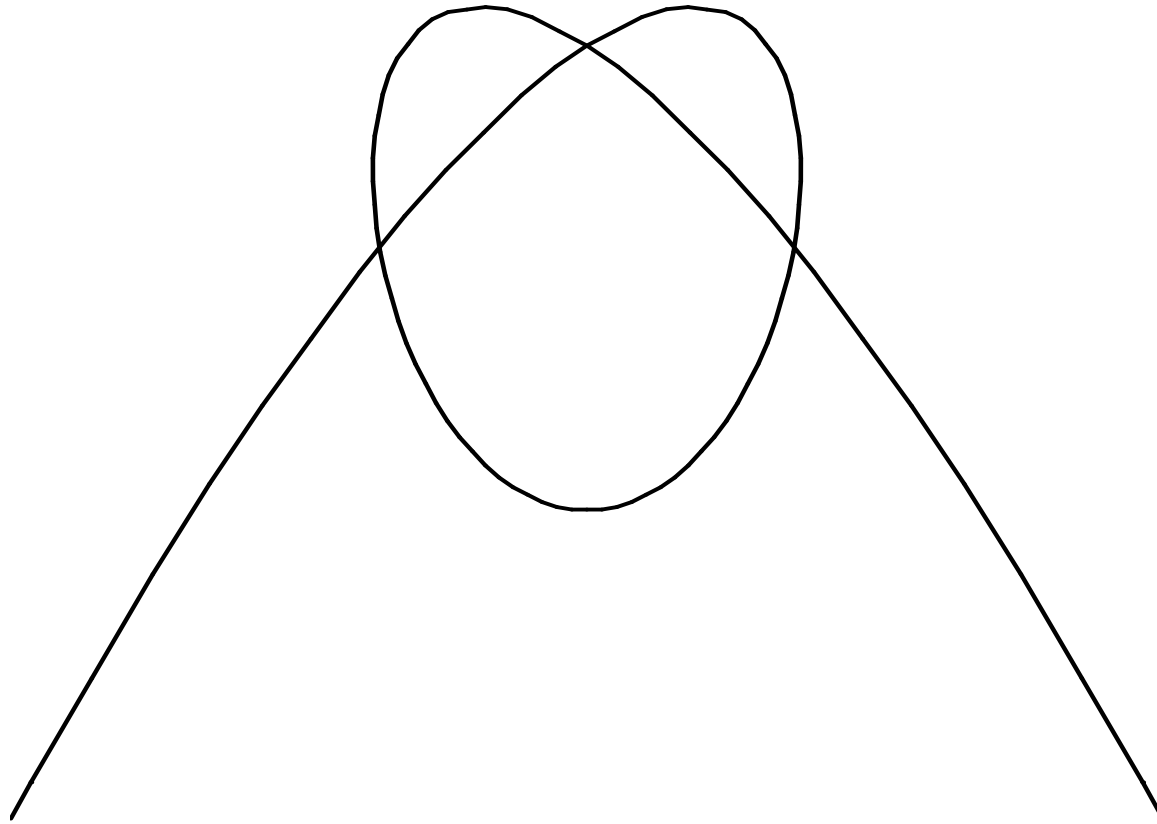


Figure 17: Subdivision, 5 iterations

# Example of subdivision, six iterations

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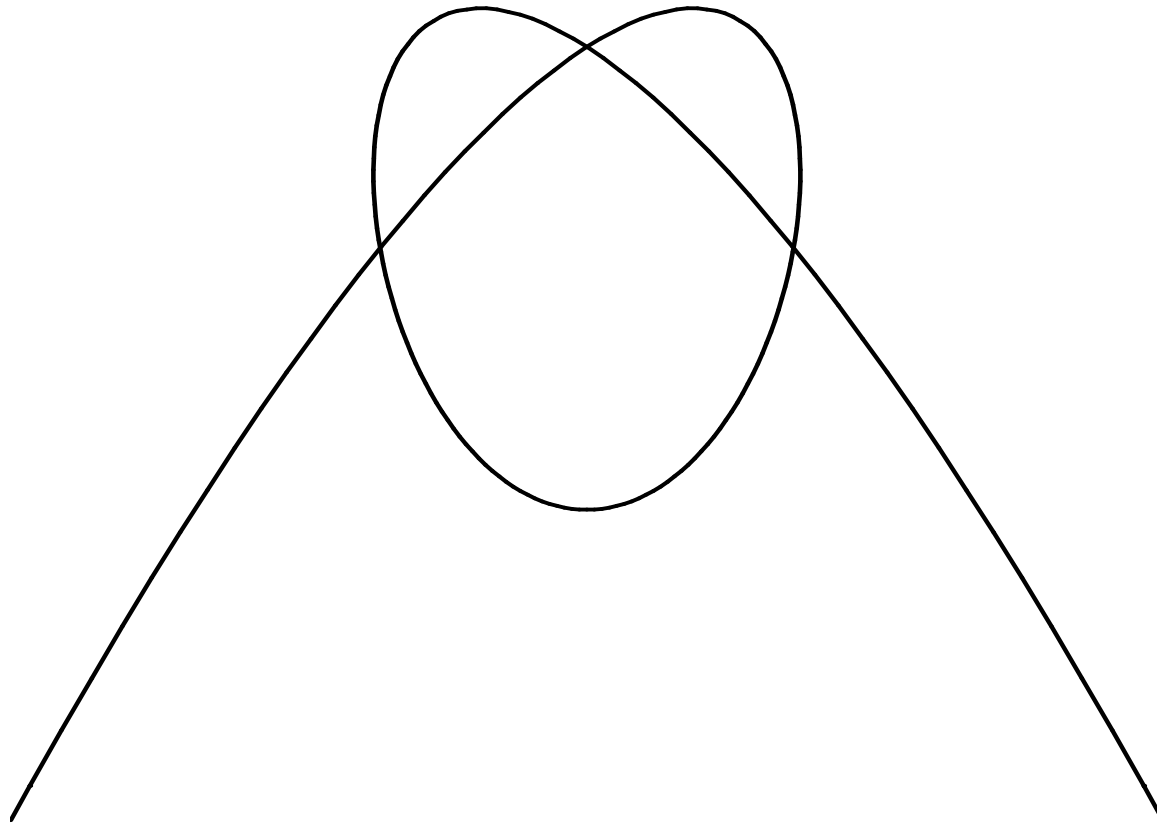


Figure 18: Subdivision, 6 iterations

## 6. Back to $B$ -Spline Curves!

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$B$ -Splines are specified by a control polygon and a “knot sequence”, specifying continuity between the curve segments.

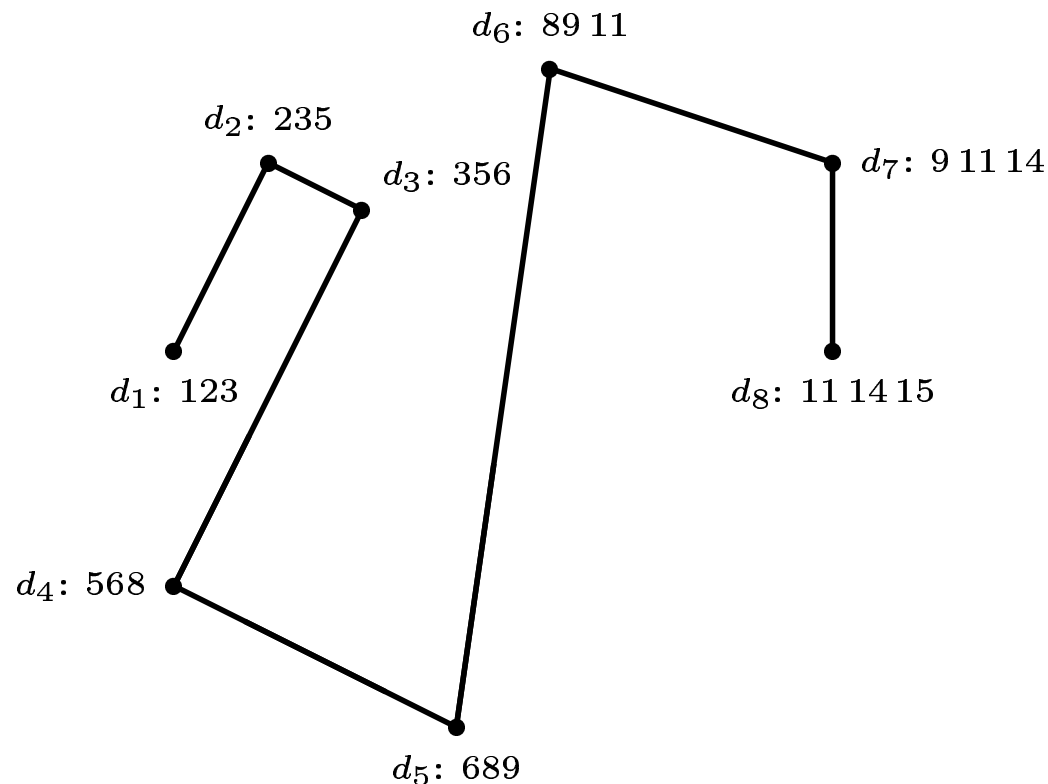


Figure 19: Control polygon of a cubic spline

# Example of a Splines

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The previous polygon defines the following spline with two endpoints:

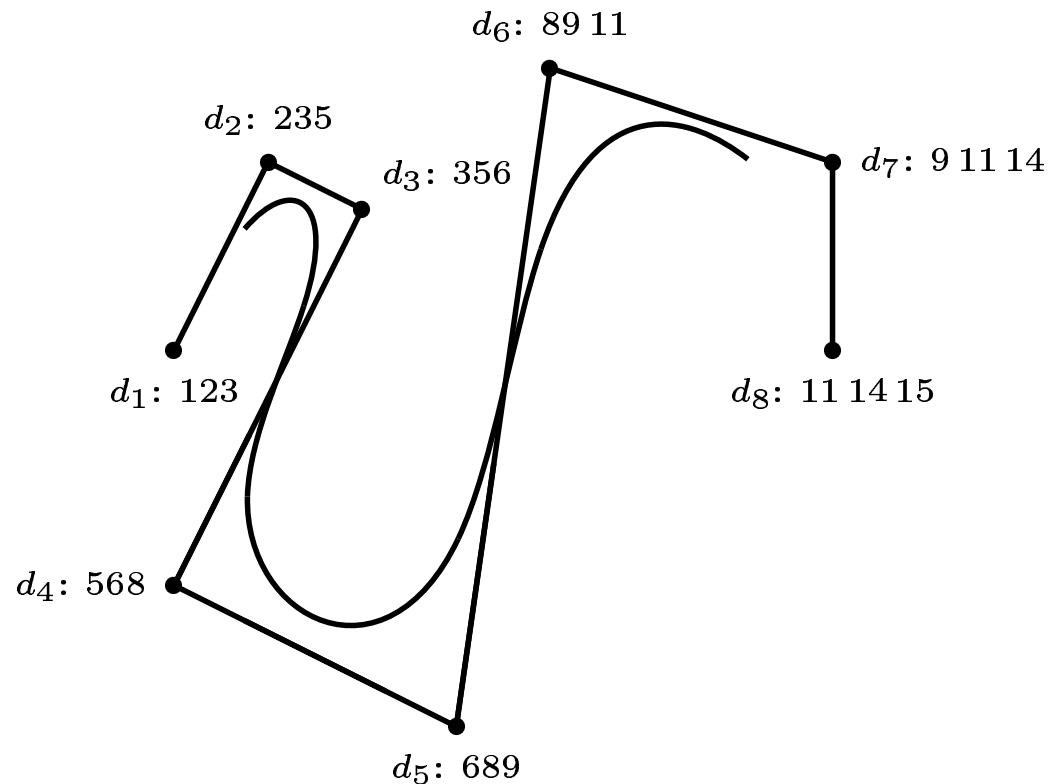


Figure 20: A cubic spline



# Bézier Segments of a spline

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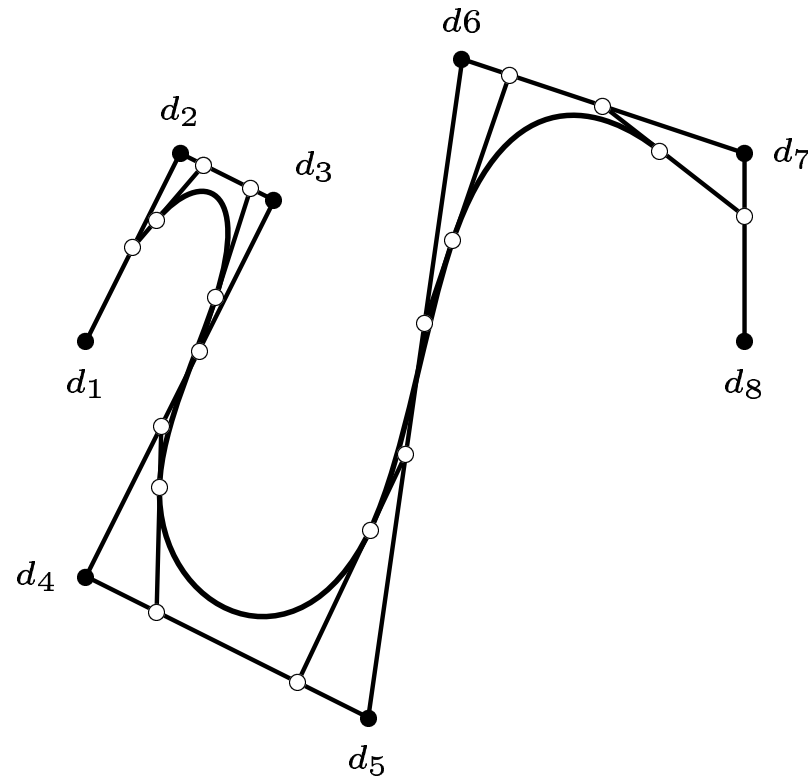


Figure 21: Bézier control points of a cubic spline

# Closed Splines

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Can we handle closed curves? **No problem** . Even for interpolation.

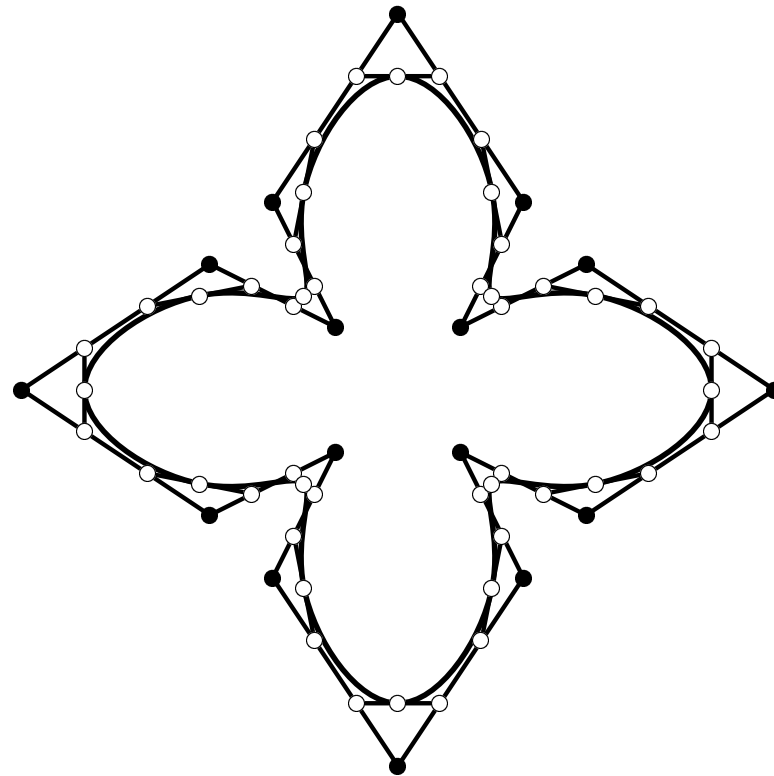


Figure 22: A closed cubic spline

## 7. Surfa<sup>c</sup>es

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A **polynomial surface** (in  $\mathbb{R}^3$ ) is a function,  $F$ , of two variables,  $U, V$ , given by three polynomials:

$$x = F_1(U, V)$$

$$y = F_2(U, V)$$

$$z = F_3(U, V).$$

We can think of a surface as a curve of curves. If we hold  $U$  constant, then we obtain a curve (in the parameter  $V$ ).

We can think of a surface as the result of deforming and stretching a flat infinite plane, without tearing it, but allowing creases and self-intersections.

## Example of a Polynomial Surface<sup>ce</sup>

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For example, the **Monkey saddle** is given by

$$x(U, V) = U$$

$$y(U, V) = V$$

$$z(U, V) = U^3 - 3UV^2.$$

# Examples of a Surface

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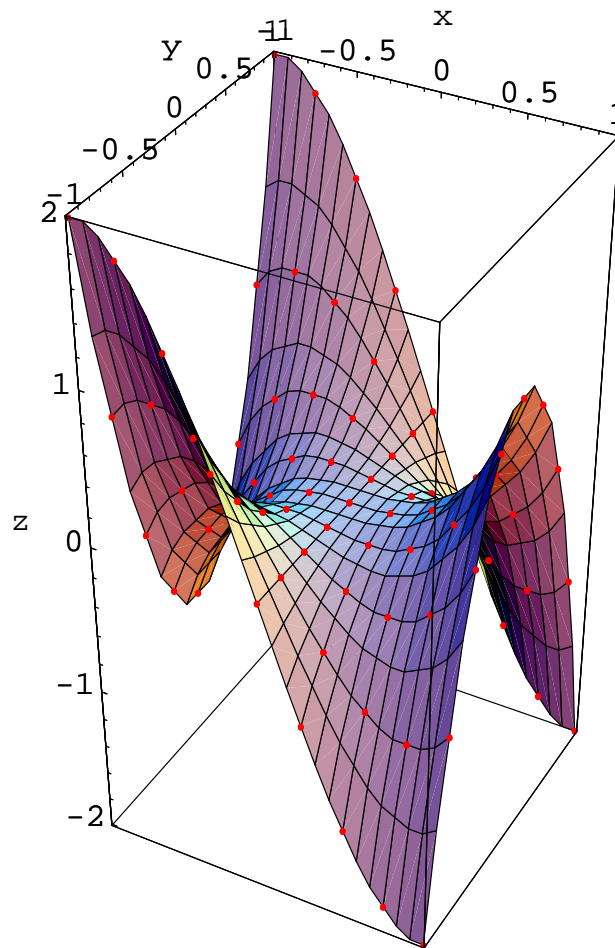


Figure 23: A monkey saddle

# Rectangular and Triangular Patches

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Usually, we are not interested in the whole surface, but only in a **patch**.

A patch is a piece of the surface whose domain is a rectangle or a triangle.

A **rectangular patch** is a patch whose domain is a rectangle.

A **triangular patch** is a patch whose domain is a triangle.

As in the case of curves, both rectangular and triangular patches can be defined in terms of control points. This time, we use **control nets** as opposed to control polygons.

# Examples of a Rectangular Patch

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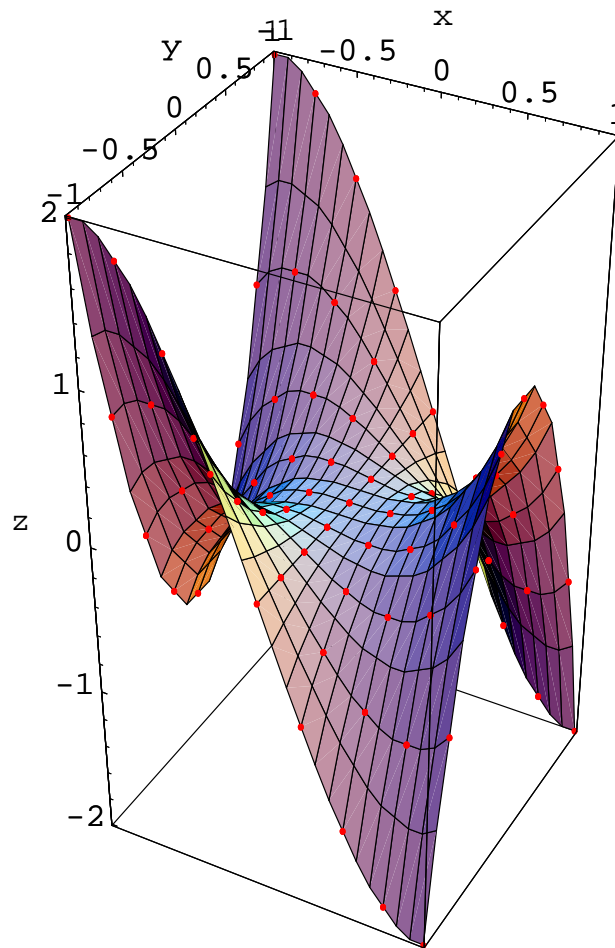


Figure 24: A monkey saddle

# Example of a Triangular Patch

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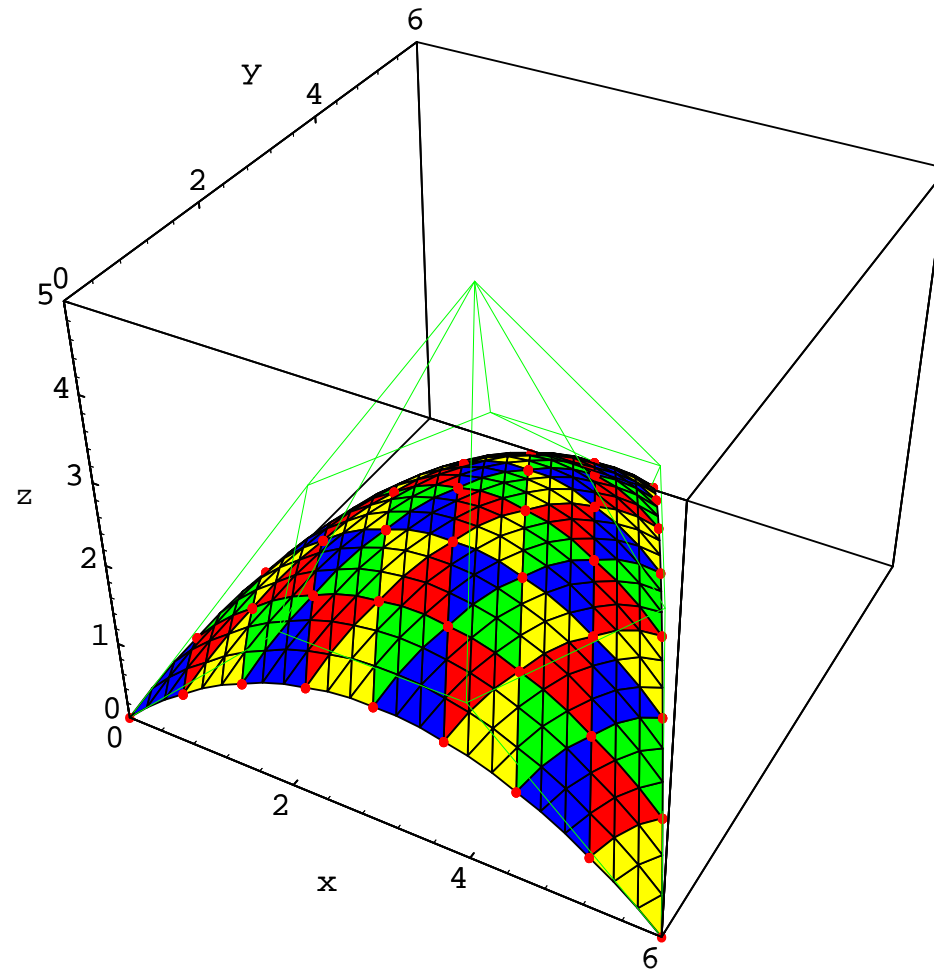


Figure 25: A triangular surface patch



## De Casteljau Algorithm and Subdivision (Surfaces)

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Both for rectangular and triangular patches, the de Casteljau algorithm works (constructing a point on the surface using affine interpolation).

It is an easy generalization of the curve case in the rectangular case, but it is a little more tricky in the triangular case.

The recursive subdivision method also works, but it is more tricky in the triangular case.

A triangle can be subdivided in four subtriangles by joining the midpoints of its edges.

# Example of Subdivision (triangular patch)

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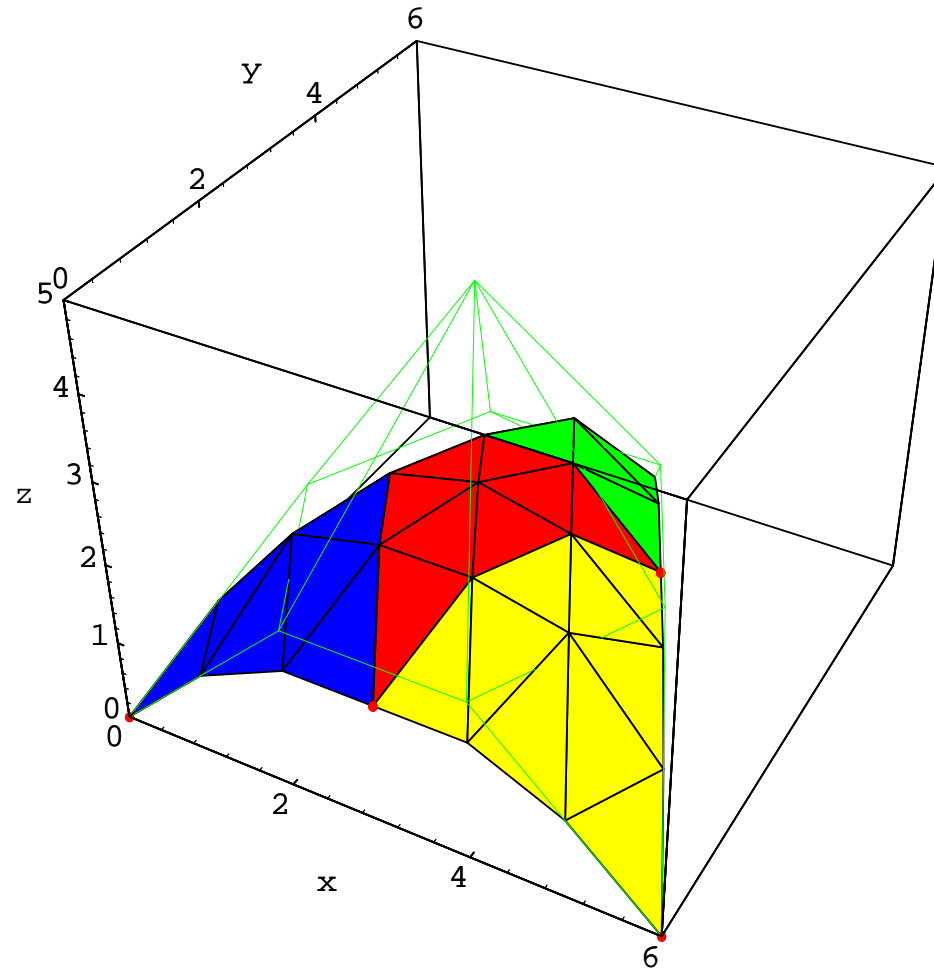


Figure 26: Subdivision, 1 iteration

# Example of Subdivision (triangular patch)

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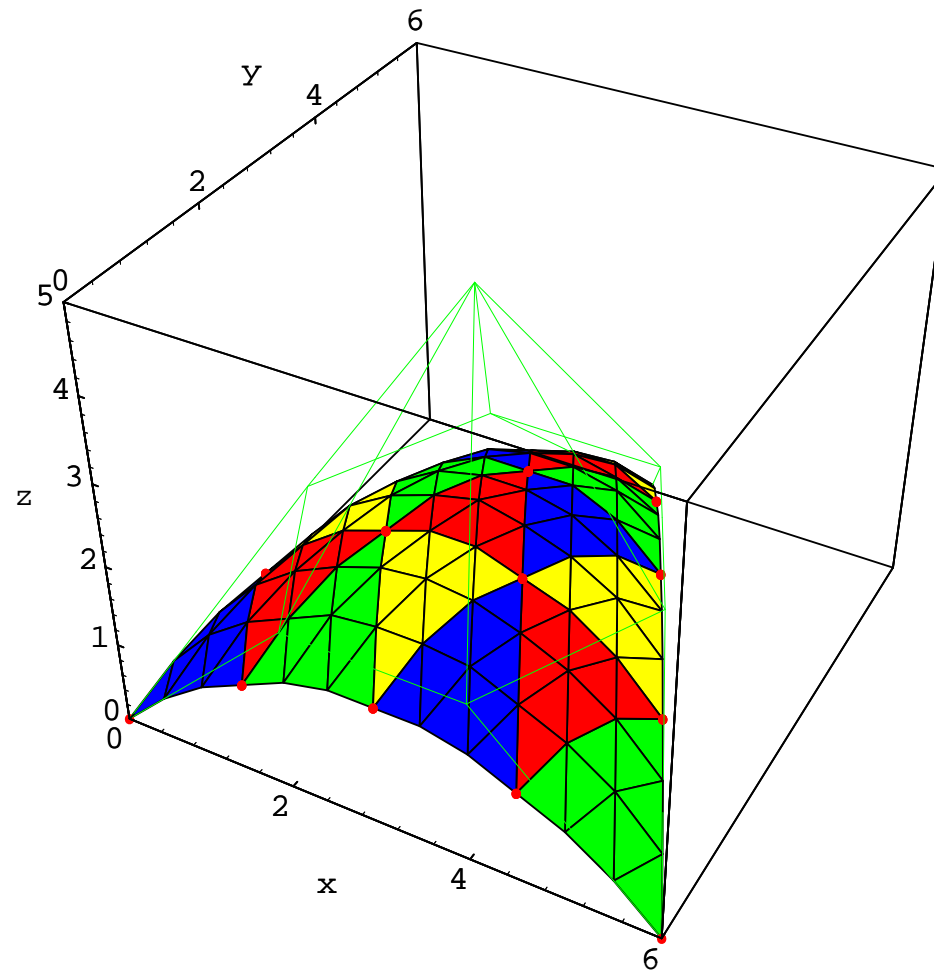


Figure 27: Subdivision, 2 iterations

# Example of Subdivision (triangular patch)

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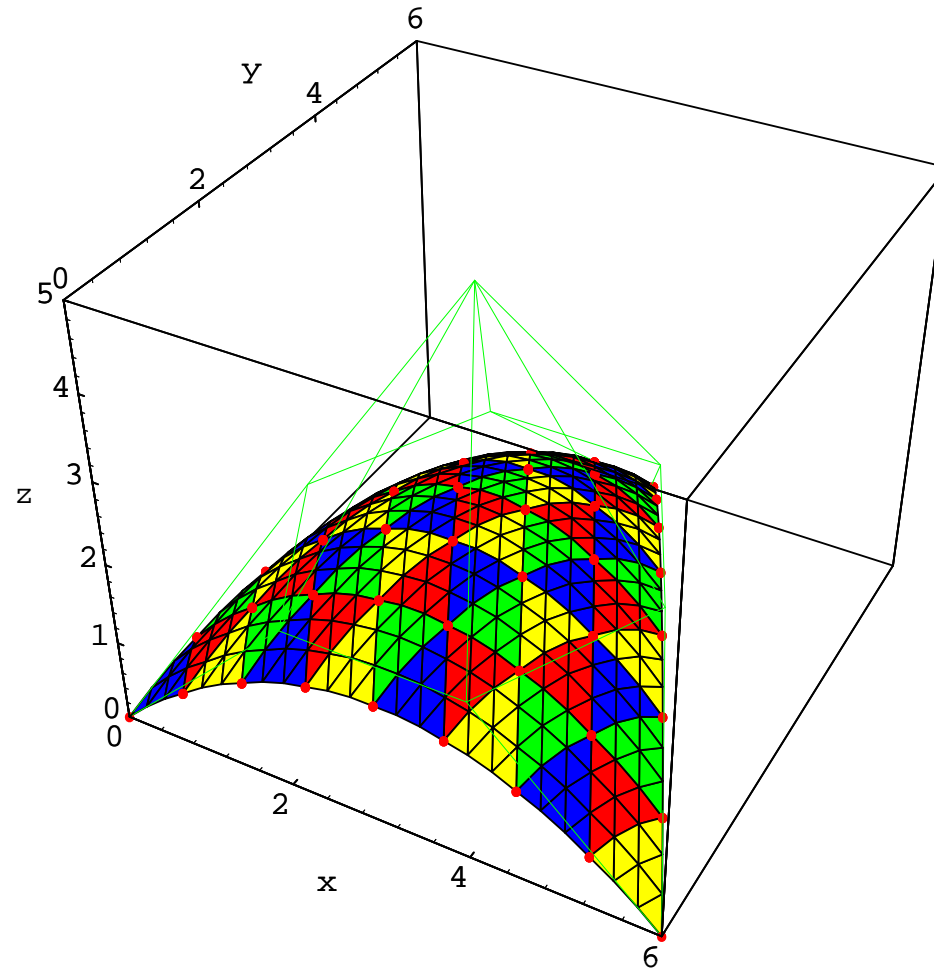


Figure 28: Subdivision, 3 iterations

# Surface Splines

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What about surface splines?

This depends on the way the domain (in the plane) is subdivided.

There are primarily two ways of subdividing the domain:

(1) Subdivide into **rectangles**

(2) Subdivide into **triangles**

Case (1) is easier because only four rectangles come together at a common vertex.

There is a well developed technology: NURBS!

# Surface Splines

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Case (2) (triangles) is a lot harder. Unfortunately, many triangles can share a common vertex.

Yet, rectangular splines are overly restrictive: Only certain kinds of surfaces can be represented and it is hard (or impossible) to deal with sharp corners or holes.

Triangular splines are more general and more flexible. Recent work by Dianna Xu and Gallier proposes new methods for dealing with triangular splines.

## 8. “Sculpting” and Subdivision Surfa<sup>ces</sup>

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An attractive alternative to surface splines is [subdivision surfaces](#).

The basic idea is reminiscent of “sculpting”.

Start with a solid shape, called a [mesh](#), and apply rules for [refining](#) and [smoothing](#) the mesh using a subdivision method.

New vertices, new edges and new faces are created by “smoothing corners”, as if we used a chisel.

The desired surface is a limit surface (see links in home page).

## Subdivision Surfa<sup>ces</sup>, Quick History

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The idea of defining a curve or a surface via a limit process involving subdivision goes back to Chaikin (1974).

In 1978, two subdivision schemes for surfaces were proposed by Doo and Sabin and by Catmull and Clark.

Several years later, Charles Loop in his Master's thesis (1987) introduced a subdivision scheme based on a mesh consisting strictly of triangular faces.



## Conclusion and Challenges

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Subdivision surfaces are used in the animated movie industry (Pixar) but they are gaining popularity in other areas of geometric modeling.

See the links in the home page for the talk for more info and some demos on subdivision surfaces.

Their main drawback is that they are not parametric and it is hard to predict what the end-shape will be.

It would be useful to marry the advantages of triangular splines (à la Xu/Gallier) and subdivision surfaces.

We're working on it and we need all the help we can get!

## Some References

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*Curves and Surfaces for CAGD: A Practical Guide*, by Gerald Farin, Academic Press, 2001, Fifth Edition.

*Curves and Surfaces in Geometric Modeling: Theory and Algorithms*, by Jean Gallier, Morgan Kaufmann, 1999, First Edition.

*Geometric Modeling with Splines: An Introduction*, by Elaine Cohen, Richard Riesenfeld and Gershon Elber, A.K. Peters, 2001, First Edition.