

# Introduction to the Theory of Computation

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## Homework 1

January 14, 2010; Due February 4, 2010

Beginning of class

“A problems” are for practice only, and should not be turned in.

**Problem A1.** Given an alphabet  $\Sigma$ , prove that the relation  $\leq_1$  over  $\Sigma^*$  defined such that  $u \leq_1 v$  iff  $u$  is a prefix of  $v$ , is a partial ordering. Prove that the relation  $\leq_2$  over  $\Sigma^*$  defined such that  $u \leq_2 v$  iff  $u$  is a substring of  $v$ , is a partial ordering.

**Problem A2.** Given an alphabet  $\Sigma$ , for any language  $L \subseteq \Sigma^*$ , prove that  $L^{**} = L^*$  and  $L^*L^* = L^*$ .

**Problem A3.** Let  $D = (Q, \Sigma, \delta, q_0, F)$  be a DFA. Prove that for all  $p \in Q$  and all  $u, v \in \Sigma^*$ ,

$$\delta^*(p, uv) = \delta^*(\delta^*(p, u), v).$$

“B problems” must be turned in.

**Problem B1 (30 pts).** Let  $D = (Q, \Sigma, \delta, q_0, F)$  be a DFA. Recall that a state  $p \in Q$  is *accessible* or *reachable* iff there is some string  $w \in \Sigma^*$ , such that

$$\delta^*(q_0, w) = p,$$

i.e., there is some path from  $q_0$  to  $p$  in  $D$ . Consider the following method for computing the set  $Q_r$  of reachable states (of  $D$ ): define the sequence of sets  $Q_r^i \subseteq Q$ , where

$$Q_r^0 = \{q_0\},$$

$$Q_r^{i+1} = \{q \in Q \mid \exists p \in Q_r^i, \exists a \in \Sigma, q = \delta(p, a)\}.$$

(i) Prove by induction on  $i$  that  $Q_r^i$  is the set of all states reachable from  $q_0$  using paths of length  $i$  (where  $i$  counts the number of edges).

Give an example of a DFA such that  $Q_r^{i+1} \neq Q_r^i$  for all  $i \geq 0$ .

(ii) Give an example of a DFA such that  $Q_r^i \neq Q_r$  for all  $i \geq 0$ .

(iii) Change the inductive definition of  $Q_r^i$  as follows:

$$Q_r^{i+1} = Q_r^i \cup \{q \in Q \mid \exists p \in Q_r^i, \exists a \in \Sigma, q = \delta(p, a)\}.$$

Prove that there is a smallest integer  $i_0$  such that

$$Q_r^{i_0+1} = Q_r^{i_0} = Q_r.$$

Define the DFA  $D_r$  as follows:  $D_r = (Q_r, \Sigma, \delta_r, q_0, F \cap Q_r)$ , where  $\delta_r: Q_r \times \Sigma \rightarrow Q_r$  is the restriction of  $\delta$  to  $Q_r$ . Explain why  $D_r$  is indeed a DFA, and prove that  $L(D_r) = L(D)$ . A DFA is said to be *reachable*, or *trim*, if  $D = D_r$ .

**Problem B2 (20 pts).** Given a string  $w$ , its reversal  $w^R$  is defined inductively as follows:  $\epsilon^R = \epsilon$  and  $(ua)^R = au^R$ , where  $a \in \Sigma$  and  $u \in \Sigma^*$ . Prove that  $(uv)^R = v^R u^R$ . Prove that  $(w^R)^R = w$ .

**Problem B3 (20 pts).** Construct DFA's for the following languages:

- (a)  $\{w \mid w \in \{a, b\}^*, w \text{ has neither } aa \text{ nor } bb \text{ as a substring}\}$ .
- (b)  $\{w \mid w \in \{a, b\}^*, w \text{ has an even number of } a\text{'s and an odd number of } b\text{'s}\}$ .

**Problem B4 (30 pts).** Given any alphabet  $\Sigma$ , prove the following property: for any two strings  $u, v \in \Sigma^*$ ,  $uv = vu$  iff there is some  $w \in \Sigma^*$  such that  $u = w^m$  and  $v = w^n$ , for some  $m, n \geq 0$ .

**Problem B5 (40 pts).** (a) For any language  $L \subseteq \{a\}^*$ , prove that if  $L = L^*$ , then there is a finite language  $S \subseteq L$  such that  $L = S^*$ . Prove that  $L$  is regular.

(b) Let  $L \subseteq \{a\}^*$  be any infinite regular language. Prove that there is a finite set  $F \subseteq \{a\}^*$ , and some strings  $a^m, a^{p_1}, \dots, a^{p_k}$ , and  $a^q \neq \epsilon$ , with  $0 \leq p_1 < p_2 < \dots < p_k < q$ , such that

$$L = F \cup \bigcup_{i=1}^k a^{m+p_i} \{a^q\}^*.$$

**Problem B6 (40 pts).** Given any two relatively prime integers  $p, q \geq 0$ , with  $p \neq q$ , ( $p$  and  $q$  are relatively prime iff their greatest common divisor is 1), consider the language  $L = \{a^p, a^q\}^*$ . Prove that

$$\{a^p, a^q\}^* = \{a^n \mid n \geq (p-1)(q-1)\} \cup F,$$

where  $F$  is some finite set of strings (of length  $< pq$ ). Prove that  $L$  is a regular language.

**Extra Credit (20 pts).** Given any two relatively prime integers  $p, q \geq 0$ , with  $p \neq q$ , prove that  $pq - p - q = (p-1)(q-1) - 1$  is the largest integer not expressible as  $ph + kq$  with  $h, k \geq 0$ .

**Problem B7 (40 pts).** (*Ultimate periodicity*) A subset  $U$  of the set  $\mathbb{N} = \{0, 1, 2, 3, \dots\}$  of natural numbers is *ultimately periodic* if there exist  $m, p \in \mathbb{N}$ , with  $p \geq 1$ , so that  $n \in U$  iff  $n + p \in U$ , for all  $n \geq m$ .

(i) Prove that  $U \subseteq \mathbb{N}$  is ultimately periodic iff either  $U$  is finite or there is a finite subset  $F \subseteq \mathbb{N}$  and there are  $k \leq p$  numbers  $m_1, \dots, m_k$ , with  $m_1 < m_2 < \dots < m_k < m_1 + p$ ,

and with  $m_1$  the smallest element of  $U$  so that for some  $p \geq 1$ ,  $n \in U$  iff  $n + p \in U$ , for all  $n \geq m_1$ , so that

$$U = F \cup \bigcup_{i=1}^k \{m_i + jp \mid j \in \mathbb{N}\}.$$

Give an example of an ultimately periodic set  $U$  such that  $m$  and  $p$  are not necessarily unique, i.e.,  $U$  is ultimately periodic with respect to  $m_1, p_1$  and  $m_2, p_2$ , with  $m_1 \neq m_2$  and  $p_1 \neq p_2$ .

**Remark:** A subset of  $\mathbb{N}$  of the form  $\{m + ip \mid i \in \mathbb{N}\}$  (allowing  $p = 0$ ) is called a *linear set*, and a finite union of linear sets is called a *semilinear set*. Thus, (i) says that a set is ultimately periodic iff it is semilinear.

(ii) Let  $L \subseteq \{a\}^*$  be a language over the one-letter alphabet  $\{a\}$ . Prove that  $L$  is a regular language iff the set  $\{m \in \mathbb{N} \mid a^m \in L\}$  is ultimately periodic. Prove that the family of semilinear sets is closed under union, intersection and complementation (i.e., it is a boolean algebra).

(iii) Let  $L \subseteq \Sigma^*$  be a regular language over any alphabet  $\Sigma$  (not necessarily consisting of a single letter). Prove that the set

$$|L| = \{|w| \mid w \in L\}$$

is ultimately periodic.

**TOTAL: 220 + 20 points.**