

**Math 603, Spring 2003, HW 4, due 3/17/2003**

**Part A**

AI) If  $A$  is a noetherian ring, write  $X = \text{Spec } A$  with the Zariski topology. Prove the following are equivalent:

- (a)  $X$  is  $T_1$
- (b)  $X$  is  $T_2$
- (c)  $X$  is discrete
- (d)  $X$  is finite and  $T_1$ .

AII) Call a ring *semi-local* iff it possesses just finitely many maximal ideals.

- (a) If  $\mathfrak{p}_1, \dots, \mathfrak{p}_t \in \text{Spec } A$  and  $S = A - \bigcup_{j=1}^t \mathfrak{p}_j$ , then  $S^{-1}A$  is semi-local.
- (b) Say  $A$  is semi-local and  $\mathfrak{m}_1, \dots, \mathfrak{m}_t$  are its maximal ideals. Show that the natural map of rings

$$A/\mathcal{J}(A) \rightarrow \prod_{i=1}^t A/\mathfrak{m}_i$$

is an isomorphism.

- (c) If  $A$  is semi-local, show  $\text{Pic}(A) = (0)$ .

AIII) Let  $A$  be a domain. An element  $a \in A$ , not a unit, is called *irreducible* iff it is *not* the product  $a = bc$  in which neither  $b$  nor  $c$  is a unit. The element  $a$  is a *prime* iff the principal ideal,  $Aa$ , is a prime ideal. Of course, prime  $\iff$  irreducible.

- (a) Assume  $A$  is noetherian, show each non-unit of  $A$  is a finite product of irreducible elements. ( $A$  need not be a domain for this.)
- (b) Prove that the factorization of (a) is unique (when it exists) iff every irreducible element of  $A$  is prime.
- (c) Say  $A$  is a UFD and  $S$  a multiplicative subset of  $A$ . Show that  $S^{-1}A$  is a UFD. If  $A$  is locally a UFD is  $A$  a UFD?
- (d) Prove: if  $A$  is noetherian then  $A$  is a PID  $\iff$   $A$  is a UFD and  $\dim A = 1$ .
- (e) Assume  $A$  is just a domain. A *weight function*,  $w$ , on  $A$  is a function  $A - \{0\} \rightarrow \mathbb{Z}_{\geq 0}$  so that
  - i.  $a \mid b \implies w(a) \leq w(b)$ , with equality  $\iff b \mid a$  too
  - ii.  $a$  and  $b \in A$  and say  $a \nmid b$  and  $b \nmid a$ . Then  $\exists p, q, r \in A$  so that  $r = pa + qb$  and  $w(r) < \min\{w(a), w(b)\}$ .

Prove: a domain is a PID  $\iff$  it possesses a weight function. Can you characterize the fields among the PIDs by their weight functions?

AIV) We will prove in class the following two theorems for *noetherian* rings,  $A$ .

- (a) Every prime ideal of  $A$  has finite height
- (b) If  $A$  is also a domain and  $\mathfrak{a}$  is a principal ideal then each isolated prime ideal of  $\mathfrak{a}$  has height 1.

Assume (a) and (b) and prove: a noetherian domain is a UFD iff each height 1 prime is principal.

AV) Let  $A \subseteq B$  be commutative rings. Recall that  $\xi \in B$  is *integral over*  $A$  iff  $\xi$  satisfies a MONIC equation with coefficients in  $A$ , i.e.,

$$(\exists r > 0)(\xi^r + a_1\xi^{r-1} + \cdots + a_{r-1}\xi + a_r = 0, \quad a_j \in A).$$

Note that  $\xi$  is integral over  $A \implies \xi$  is algebraic over  $A$  and the concepts coalesce when  $A$  is a field. If all  $\xi \in B$  are integral over  $A$ , we say  $B$  is *integral over*  $A$  (old terminology:  $B$  is *integrally dependent on*  $A$ ) or  $A \rightarrow B$  is an *integral (homo)morphism*.

(a) Prove the following are equivalent:

- i.  $\xi \in B$  is integral over  $A$
- ii. The subring  $A[\xi]$  of  $B$  is a finitely generated  $A$ -module
- iii. The subring  $A[\xi]$  of  $B$  is contained in a SUBRING,  $C$ , of  $B$  and this  $C$  is a finitely generated  $A$ -module.
- iv.  $\exists$  a finitely generated  $A$ -module,  $M$ , contained in  $B$  satisfying  $\xi M \subseteq M$  and the annihilator of  $M$  in  $A[\xi]$  is  $(0)$
- v. If  $A$  is assumed noetherian,  $A[\xi]$  is contained in a finitely generated  $A$ -module.

(b) Say  $A \subseteq B$  and write

$$\text{Int}_B(A) = \{\xi \in B \mid \xi \text{ is integral over } A\}.$$

Show that  $\text{Int}_B(A)$  is a *subring* of  $B$ , that  $A \subseteq \text{Int}_B(A)$ .

The ring  $\text{Int}_B(A)$  is called the *integral closure of*  $A$  in  $B$ .  $A$  is *integrally closed in*  $B$  iff  $\text{Int}_B(A) = A$ . If  $B$  is the total fraction ring of  $A$ , then  $\text{Int}_B(A)$  is called the *normalization of*  $A$  and  $A$  is a *normal ring*  $\iff A$  equals its normalization.

- (c) If  $B$  is integral over  $A$  and  $S$  is a multiplicative subset in  $A$ , show  $S^{-1}B$  is integral over  $S^{-1}A$ . Say  $(\forall \mathfrak{p} \in \text{Spec } A)(B_{\mathfrak{p}}$  is integral over  $A_{\mathfrak{p}}$ ). Is  $B$  integral over  $A$ ?
- (d) Prove: every UFD is a normal domain. Prove further:  $S^{-1}$ (normal ring) is again a normal ring.

## Part B

BI) Examples and Counterexamples:

- (a) Let  $A = k[X, Y]$  with  $k$  a field; write  $\mathfrak{m} = (X, Y)$ . Show that  $\mathfrak{q} = (X, Y^2)$  is  $\mathfrak{m}$ -primary, but  $\mathfrak{q}$  is NOT a power of any prime ideal of  $A$ . Therefore, primary ideals need not be powers of prime ideals.
- (b) Let  $A = k[X, Y, Z]/(XY - Z^2) = k[x, y, z]$ . Write  $\mathfrak{p}$  for the ideal  $(x, z)$  of  $A$ . Prove that  $\mathfrak{p} \in \text{Spec } A$ , but  $\mathfrak{p}^2$  is not primary. Hence, powers of non-maximal prime ideals need not be primary. What is the primary decomposition of  $\mathfrak{p}^2$ ?
- (c) Say  $A = k[X, Y]$  as in part (a) and write  $\mathfrak{a} = (X^2, XY)$ . Show that  $\mathfrak{a}$  is NOT primary yet  $\sqrt{\mathfrak{a}}$  is a prime ideal—which one? So, here a non-primary ideal has a prime radical. What is the primary decomposition of  $\mathfrak{a}$ ?
- (d) If  $A$  is a UFD and  $p$  is a prime element of  $A$ , then  $\mathfrak{q} = Ap^n$  is always primary. Conversely, show if  $\mathfrak{q}$  is primary and  $\sqrt{\mathfrak{q}} = Ap$ , then  $(\exists n \geq 1)(\mathfrak{q} = Ap^n)$ . Compare with (c) above.

BII) In this problem,  $A$  is a noetherian integral domain.

- (a) Assume  $A$  is a normal domain, not a field, let  $\mathfrak{p} \in \text{Max}(A)$  and suppose  $\mathfrak{p}$  is a prime ideal of a principal ideal  $(a) = Aa$ . Show that as an  $A$ -module,  $\mathfrak{p}$  is an element of  $\text{Pic}(A)$ . This gives a way of making elements of  $\text{Pic}(A)$ . (Suggestions: look at  $(\mathfrak{p} \rightarrow A)$  in  $\text{Frac } A$ , that is,  $\{\xi \in \text{Frac } A \mid \xi\mathfrak{p} \subseteq A\}$ . First show  $(\mathfrak{p} \rightarrow (a)) \neq (a)$  (in  $A$ ) and, from some  $x \in (\mathfrak{p} \rightarrow (a))$  and  $a$ , make an element  $\xi \in (\text{Frac } A) \cap (\mathfrak{p} \rightarrow A)$ . Deduce  $(\mathfrak{p} \rightarrow A) > A$ . If  $\xi \in (\mathfrak{p} \rightarrow A)$  show  $\xi$  is integral (if something goes wrong) over  $A$ , now use the maximality of  $\mathfrak{p}$  to finish.)

- (b) Now forget  $A$  being normal and assume  $A$  has two properties
- i.  $A_{\mathfrak{p}}$  is a PID if  $\mathfrak{p}$  is a non-zero *minimal* prime of  $A$  and
  - ii.  $A = \bigcap_{\text{ht}(\mathfrak{p})=1} A_{\mathfrak{p}}$ .
- Under these conditions, prove  $A$  is a normal domain.
- (c) If  $A$  is normal, show conditions i and ii must hold.
- (d) Use these results to show Theorem (b) of AIV) in the case of a normal domain.
- (e) Prove: if  $A$  is a noetherian normal domain, then  $A$  is a UFD  $\iff$   $\text{Pic}(A) = (0)$ . This settles the computation of  $\text{Pic}(\mathbb{Z}[X_1, \dots, X_n])$ , and  $\text{Pic}(k[X_1, \dots, X_n])$  where  $k$  is a field.

### BIII) A Little Number Theory.

Let  $\mathbb{Q}$  be the rational numbers, and consider fields  $k = \mathbb{Q}[X]/(f(X))$  where  $f(X)$  is an irreducible polynomial over  $\mathbb{Q}$ . (Each finite extension of  $\mathbb{Q}$  has this form, as we'll show.) Such a  $k$  will be called a "number field" and we write  $\mathcal{O}_k$  for  $\text{Int}_k(\mathbb{Z})$ .

- (a) Show  $\mathcal{O}_k$  is a noetherian normal domain with  $\dim \mathcal{O}_k = 1$ .
- (b) If  $\mathfrak{p} \in \text{Spec } \mathcal{O}_k$ , then  $(\mathcal{O}_k)_{\mathfrak{p}}$  is a PID and  $\mathcal{O}_k$  is a UFD iff  $\text{Pic}(\mathcal{O}_k) = (0)$  iff  $\mathcal{O}_k$  is a PID.
- (c) Say  $\mathfrak{a}$  is an ideal of  $\mathcal{O}_k$ , write  $\mathfrak{a} = \bigcap_i \mathfrak{q}_i$  for an irredundant primary decomposition and set  $\mathfrak{p}_i = \sqrt{\mathfrak{q}_i}$ . Show that in fact  $\mathfrak{a} = \prod_i \mathfrak{p}_i^{e_i}$ ,  $e_i \in \mathbb{Z}_{\geq 0}$ , and this is a unique factorization of ideals.
- (d) Let  $k$  be the fields:  $\mathbb{Q}(i)$ ,  $\mathbb{Q}(\sqrt{2})$ ,  $\mathbb{Q}(\sqrt{3})$ ,  $\mathbb{Q}(\sqrt{5})$ ,  $\mathbb{Q}(\sqrt{-3})$ ,  $\mathbb{Q}(\sqrt{-5})$ ,  $\mathbb{Q}(\zeta)$ , where  $\zeta$  is a primitive 7th root of 1. In each case, find  $\mathcal{O}_k$  and compute  $\text{Pic}(\mathcal{O}_k)$ . Make a table.
- (e) In  $\mathbb{Q}(\sqrt{-3})$ , look at  $\mathbb{Z}[\sqrt{-3}] = \{a + b\sqrt{-3} \mid a, b \in \mathbb{Z}\}$ . Is  $\mathbb{Z}[\sqrt{-3}] = \mathcal{O}_k$ ? If not, what is  $\text{Pic}(\mathbb{Z}[\sqrt{-3}])$ ? Same question for  $\mathbb{Z}[\sqrt{-5}]$ .
- (f) Let  $A$  be a noetherian, normal domain of dimension 1, write  $k = \text{Frac } A$  (e.g.,  $\mathcal{O}_k = A$  by (a)). We examine submodules (for  $A$ ) of  $k$ . Call one of these,  $M$ , a *fractional ideal* iff  $(\exists b \in A)(b \neq 0)(bM \subseteq A)$ . Prove that the following are equivalent for  $A$ -submodules of  $k$ :
  - i.  $M$  is a fractional ideal
  - ii.  $M$  is a finitely generated  $A$ -module
  - iii.  $M$  is a rank one projective  $A$ -module.
- (g) Under multiplication,  $MN$ , the fractional ideals form a group, denote it  $\mathcal{I}(A)$ . ( $MN$  goes over to  $M \otimes_A N$  in  $\text{Pic}(A)$ ). Let  $\mathcal{C}_A$  be the (localizing) category of finite length modules over  $A$  and write  $\tilde{K}(A)$  for the Grothendieck group,  $K_0(\mathcal{C}_A)$  of  $\mathcal{C}_A$ . By the theory of associated primes, each  $M$  in  $\mathcal{C}_A$  has a composition series

$$M = M_0 \supset M_1 \supset M_2 \supset \cdots \supset M_{n+1} = (0)$$

and

$$M_i/M_{i+1} \cong A/\mathfrak{p}_i \text{ for some } \mathfrak{p}_i \in \text{Max}(A).$$

These  $\mathfrak{p}_i$  are unique up to order and we set

$$\chi_A(M) = \prod_{i=0}^n \mathfrak{p}_i \in \mathcal{I}(A).$$

Prove that  $\chi_A$  is an isomorphism (first prove homomorphism) of the abelian groups  $\tilde{K}(A) \rightarrow \mathcal{I}(A)$ . What is the kernel of the map  $\tilde{K}(A) \rightarrow \text{Pic}(A)$ ?

- (h) Lastly, assume  $A$  is actually a PID. Say  $M = A^n$  is a free  $A$ -module of rank  $n$  and let  $u \in \text{End}_A M$ . Assume  $\det(u) \neq 0$  and show

$$\det(u) \cdot A = \chi_A(\text{coker } u).$$

BIV) More examples.

- (a) Let  $A = k[X, Y, Z, W]/(XY - ZW)$ , where  $k$  is a field and  $\text{char}(k) \neq 2$ . Prove that  $A$  is a normal domain and compute  $\text{Pic}(A)$ .
- (b) If  $A = \mathbb{C}[t^3, t^7, t^8] \subseteq \mathbb{C}[t]$ , compute  $\text{Pic}(A)$ . If  $A = \{f \in \mathbb{C}[T] \mid f'(0) = f''(0) \text{ and } f(1) = f(-1)\}$  compute  $\text{Pic}(A)$ .
- (c) If  $A = \mathbb{C}[X, Y, Z]/(X^2 + Y^2 + Z^2 - 1)$ , show  $\text{Pic}(A) \neq (0)$ .

BV) (a)  $A = K[X, Y, Z]$ ,  $K$  a field. Set  $\mathfrak{a} = (X, Y)(X, Z)$ . Find a primary decomposition of  $\mathfrak{a}$ .

- (b) Let  $A = K[X, XY, Y^2, Y^3] \subseteq K[X, Y] = B$ , here  $K$  is a field. Write  $\mathfrak{p} = YB \cap A = (XY, Y^2, Y^3)$ . Prove that  $\mathfrak{p}^2 = (X^2Y^2, XY^3, Y^4, Y^5)$  and is not primary. Find a primary decomposition of  $\mathfrak{p}^2$  involving  $(Y^2, Y^3)$ . All ideals are ideals of  $A$ .

BVI) (a) Say  $A$  is an integral domain. Prove

$$A = \bigcap_{\mathfrak{p} \in \text{Spec } A} A_{\mathfrak{p}} = \bigcap_{\mathfrak{m} \in \text{Max}(A)} A_{\mathfrak{m}}.$$

- (b) Now let  $A$  be a commutative ring and let  $f(T)$  be a polynomial of degree  $d$  in  $A[T]$ . Prove that  $A[T]/(f(T))$  is an  $A$ -projective module of rank  $d$  iff the coefficient of  $T^d$  in  $f(T)$  is a unit of  $A$ .