

Homework IV (due March 17), Math 603, Spring 2003. (GJZ)

B II(a). In this problem, we assume that A is a noetherian integral domain. Furthermore, in this question, A is a normal domain, not a field.

In this question, of course, we assume that $a \in A$ is not a unit. Let \mathfrak{p} be a prime ideal in A associated with Aa which is also a maximal ideal in A . We want to prove that \mathfrak{p} is a projective locally free module of rank 1, i.e., $\mathfrak{p} \in \text{Pic}(A)$.

Set

$$(\mathfrak{p} \longrightarrow A) = \{\xi \in \text{Frac}(A) \mid \xi\mathfrak{p} \subseteq A\}.$$

Obviously, $A \subseteq (\mathfrak{p} \longrightarrow A)$. First, we show that $(\mathfrak{p} \longrightarrow Aa) \neq Aa$. For this we prove

Proposition 1.1 *Let A be a noetherian domain. For any two ideals, \mathfrak{A} and \mathfrak{B} of A , if $(\mathfrak{A} \longrightarrow \mathfrak{B}) = \mathfrak{B}$, then \mathfrak{A} is not contained in any prime associated with \mathfrak{B} .*

Proof. Let

$$\mathfrak{B} = \mathfrak{q}_1 \cap \cdots \cap \mathfrak{q}_t$$

be a reduced primary decomposition of \mathfrak{B} . Then,

$$\sqrt{\mathfrak{B}} = \mathfrak{p}_1 \cap \cdots \cap \mathfrak{p}_t,$$

where the $\mathfrak{p}_i = \sqrt{\mathfrak{q}_i}$ are the primes associated with \mathfrak{B} . Since $(\mathfrak{A} \longrightarrow \mathfrak{B}) = \mathfrak{B}$, we can easily prove that $(\mathfrak{A}^s \longrightarrow \mathfrak{B}) = \mathfrak{B}$, for all $s \geq 1$. Assume that $\mathfrak{A} \subseteq \mathfrak{p}_i$, for some i with $1 \leq i \leq t$. Since A is noetherian, \mathfrak{p}_i is finitely generated. Let $\alpha_1, \dots, \alpha_m$ be generators for \mathfrak{p}_i . Since $\mathfrak{p}_i = \sqrt{\mathfrak{q}_i}$, for each α_i , there is some positive integer, d_i , so that $\alpha_i^{d_i} \in \mathfrak{q}_i$; if we let $d = \sum_{i=1}^m d_i$, we find that $\mathfrak{p}_i^d \subseteq \mathfrak{q}_i$. As $\mathfrak{A} \subseteq \mathfrak{p}_i$, we get $\mathfrak{A}^d \subseteq \mathfrak{q}_i$ and so, $(\mathfrak{A}^d \longrightarrow \mathfrak{q}_i) = A$. It follows that

$$\mathfrak{B} = (\mathfrak{A}^d \longrightarrow \mathfrak{B}) = \bigcap_{j=1}^t (\mathfrak{A}^d \longrightarrow \mathfrak{q}_j) = \bigcap_{j \neq i} (\mathfrak{A}^d \longrightarrow \mathfrak{q}_j) \supseteq \bigcap_{j \neq i} \mathfrak{q}_j \supseteq \mathfrak{B},$$

and thus, $\mathfrak{B} = \bigcap_{j \neq i} \mathfrak{q}_j$, contradicting the fact that $\bigcap_{j=1}^t \mathfrak{q}_j$ is a reduced primary decomposition of \mathfrak{B} . Therefore, \mathfrak{A} is not contained in any prime associated with \mathfrak{B} . \square

Remark: The converse of Proposition 1.1 also holds and is easier to prove.

Now, if \mathfrak{p} is a prime associated with Aa , by Proposition 1.1, we must have $(\mathfrak{p} \longrightarrow Aa) > Aa$.

Since $(\mathfrak{p} \longrightarrow Aa) > Aa$, for any $x \in (\mathfrak{p} \longrightarrow Aa) - Aa$, consider $\xi = x/a \in \text{Frac}(A)$. As $x \in (\mathfrak{p} \longrightarrow Aa)$, we have $x\mathfrak{p} \subseteq Aa$, and so $\xi \in (\mathfrak{p} \longrightarrow A) \cap \text{Frac}(A)$, with $\xi \notin A$. This proves that

$$(\mathfrak{p} \longrightarrow A) > A.$$

Consider and $\xi \in (\mathfrak{p} \longrightarrow A) - A$. Clearly, $\xi\mathfrak{p}$ is an ideal in A . Assume that

$$\xi\mathfrak{p} \subseteq \mathfrak{p}.$$

Since A is noetherian, \mathfrak{p} is finitely generated, and as in a previous homework problem, for every generator, m_i , of \mathfrak{p} , we can express each ξm_i as a linear combination of the m_i 's. From this, we obtain the fact that ξ is the zero of a monic polynomial equation with coefficients from A (the determinant of a linear system). However, A is integrally closed; so, $\xi \in A$, a contradiction.

Using the above fact, we claim that

$$(\mathfrak{p} \longrightarrow A)\mathfrak{p} = A.$$

Since $A \subseteq (\mathfrak{p} \longrightarrow A)$, we have $\mathfrak{p} \subseteq (\mathfrak{p} \longrightarrow A)\mathfrak{p}$; since $\xi\mathfrak{p} \not\subseteq \mathfrak{p}$ for any $\xi \in (\mathfrak{p} \longrightarrow A) - A$, we must have $(\mathfrak{p} \longrightarrow A)\mathfrak{p} \supset \mathfrak{p}$. As \mathfrak{p} is maximal, we deduce that

$$(\mathfrak{p} \longrightarrow A)\mathfrak{p} = A.$$

This implies that

$$a_1 b_1 + \cdots + a_n b_n = 1$$

for some $a_i \in \mathfrak{p}$ and some $b_i \in (\mathfrak{p} \longrightarrow A)$, for $i = 1, \dots, n$. Let \mathfrak{q} be any prime in A . We claim that $a_i b_i$ is a unit of $A_{\mathfrak{q}}$, for some i . Otherwise, as $A_{\mathfrak{q}}$ is a local ring, we would have $a_i b_i \in \mathfrak{q}$ for $i = 1, \dots, n$, so, $1 \in \mathfrak{q}$, a contradiction. Then, we claim that

$$\mathfrak{p}_{\mathfrak{q}} = a_i A_{\mathfrak{q}}.$$

Since $a_i \in \mathfrak{p}$, it is clear that $a_i A_{\mathfrak{q}} \subseteq \mathfrak{p}_{\mathfrak{q}}$. Conversely, pick $x \in \mathfrak{p}_{\mathfrak{q}}$. Since $b_i \in (\mathfrak{p} \longrightarrow A)$, we have $b_i x \in A_{\mathfrak{q}}$, so, $a_i b_i x \in a_i A_{\mathfrak{q}}$ and since $a_i b_i$ is a unit of $A_{\mathfrak{q}}$, we get $x \in a_i A_{\mathfrak{q}}$. Thus, $\mathfrak{p}_{\mathfrak{q}} \subseteq a_i A_{\mathfrak{q}}$, and our claim is proved.

Therefore, each $\mathfrak{p}_{\mathfrak{q}}$ is a free module of rank 1, and so, \mathfrak{p} is locally free or rank 1. Since A is noetherian, \mathfrak{p} is f.g., and by a result proved in class, \mathfrak{p} is projective and locally free of rank 1, as claimed.

B II(b). The ring A is a noetherian domain satisfying:

(i) For every nonzero minimal prime, \mathfrak{p} , of A , the ring $A_{\mathfrak{p}}$ is a P.I.D.

(ii) $A = \bigcap_{\text{ht}(\mathfrak{p})=1} A_{\mathfrak{p}}$.

By definition, a nonzero minimal prime (in a noetherian domain) is a prime of height 1. Since every P.I.D. is integrally closed, by (i), for every height 1 prime, \mathfrak{p} , the local domain $A_{\mathfrak{p}}$ is integrally closed. Since any intersection of integrally closed domains is an integrally closed domain, by (ii), the ring A is an integrally closed domain, i.e., a normal domain.

B II(c). Although this is not needed, since it is an interesting fact, we prove that every commutative ring possesses minimal prime ideals. More precisely, we have the proposition:

Proposition 1.2 *Let A be a commutative ring. Then, every prime ideal, \mathfrak{P} , contains some minimal prime ideal, \mathfrak{p} .*

Proof. Let \mathcal{S} be the set of primes contained in \mathfrak{P} ordered so that $\mathfrak{p} \leq \mathfrak{q}$ iff $\mathfrak{q} \subseteq \mathfrak{p}$. Obviously, $\mathfrak{P} \in \mathcal{S}$ and \mathcal{S} is nonempty. We claim that \mathcal{S} is inductive. Given any chain, $\{\mathfrak{p}_i\}_{i \in I}$, of primes in \mathcal{S} , we contend that $\mathfrak{q} = \bigcap_{i \in I} \mathfrak{p}_i$ is a prime ideal. Let $a, b \in A$ with $a \notin \mathfrak{q}$ and $b \notin \mathfrak{q}$. Then, there is some $i \in I$ with $a \notin \mathfrak{p}_i$ and $b \notin \mathfrak{p}_i$. As \mathfrak{p}_i is prime, we must have $ab \notin \mathfrak{p}_i$. But then, $ab \notin \bigcap_{i \in I} \mathfrak{p}_i = \mathfrak{q}$, which shows that \mathfrak{q} is prime. Since \mathcal{S} is inductive, \mathcal{S} has some maximal element, \mathfrak{p} , i.e., there is a minimal prime, \mathfrak{p} , contained in \mathfrak{P} . \square

We claim that in a noetherian domain, every nonzero minimal prime is an isolated prime of some principal ideal, (a) .

Let $\mathfrak{p} \neq (0)$ be a minimal prime of A . Since $\mathfrak{p} \neq (0)$, we can pick some $a \in \mathfrak{p}$, and we have $(a) \subseteq \mathfrak{p}$. Let

$$(a) = \mathfrak{q}_1 \cap \cdots \cap \mathfrak{q}_t$$

be a reduced primary decomposition. Then,

$$\sqrt{(a)} = \mathfrak{p}_1 \cap \cdots \cap \mathfrak{p}_t,$$

where the $\mathfrak{p}_i = \sqrt{\mathfrak{q}_i}$ are the primes associated with (a) . Clearly, $\mathfrak{p}_i \neq (0)$. We have $\sqrt{(a)} \subseteq \mathfrak{p}$, since $(a) \subseteq \mathfrak{p}$ and every prime is its own radical. Thus, we have

$$\mathfrak{p}_1 \cdots \mathfrak{p}_t \subseteq \mathfrak{p}_1 \cap \cdots \cap \mathfrak{p}_t \subseteq \mathfrak{p},$$

which implies that $\mathfrak{p}_i \subseteq \mathfrak{p}$, for some i . However, \mathfrak{p} is a minimal prime, and so, $\mathfrak{p}_i = \mathfrak{p}$.

Now, assume that A is a noetherian normal domain. We must prove that properties (i) and (ii) of (b), hold. It turns out that (i) is a consequence of Theorem 1.3 proved in B II(d). Indeed, we just proved that every nonzero minimal prime is an isolated prime of some principal ideal, (a) , and Theorem 1.3 finishes the proof.

It remains to prove (ii). For this, consider any $a/b \in \text{Frac}(A)$ and assume that $a/b \in A_{\mathfrak{p}}$ for every height 1 prime, \mathfrak{p} ; equivalently, we have $a \in bA_{\mathfrak{p}}$ for every height 1 prime, \mathfrak{p} . We wish to prove that $a \in bA$. Let

$$bA = \mathfrak{q}_1 \cap \cdots \cap \mathfrak{q}_t$$

be a reduced primary decomposition of bA , and let $\mathfrak{p}_i = \sqrt{\mathfrak{q}_i}$, be the corresponding isolated primes. If we can prove that

$$\mathfrak{q}_i \supseteq (bA_{\mathfrak{p}_i}) \cap A,$$

we are done. Indeed, we know that $a \in (bA_{\mathfrak{p}_i}) \cap A$ for $i = 1, \dots, t$, so $a \in \bigcap_{i=1}^t \mathfrak{q}_i = bA$. Now, every element of $A_{\mathfrak{p}_i}$ is of the form α/β , where $\beta \in A - \mathfrak{p}_i$ and $\alpha \in A$. Thus,

$$a\beta = b\alpha \in \mathfrak{q}_i,$$

for $i = 1, \dots, t$, since $bA = \mathfrak{q}_1 \cap \dots \cap \mathfrak{q}_t$. Since \mathfrak{q}_i is primary and $a\beta \in \mathfrak{q}_i$, if $a \notin \mathfrak{q}_i$, then $\beta^k \in \mathfrak{q}_i$, for some $k \geq 1$. As $\mathfrak{p}_i = \sqrt{\mathfrak{q}_i}$, we deduce that $\beta \in \mathfrak{p}_i$, a contradiction. Therefore, $a \in \mathfrak{q}_i$, as claimed. This proves that

$$\mathfrak{q}_i \supseteq (bA_{\mathfrak{p}_i}) \cap A$$

for $i = 1, \dots, t$ and concludes the proof of (ii).

B II(d).

Theorem 1.3 *If A is a noetherian normal domain and $\mathfrak{A} = (a) = Aa$ is principal ideal (where a is not a unit), then every isolated prime ideal of \mathfrak{A} has height 1.*

Proof. First, we need the fact that every isolated prime, \mathfrak{p} , of $\mathfrak{A} = Aa$ is a prime of the form $\mathfrak{p} = (b \rightarrow Aa)$ and that such a prime is also minimal among the primes containing Aa . This fact has not yet been shown in class, but it is standard; for instance, see Serre, *Local Algebra*.

Let $\mathfrak{m} = \mathfrak{p}^e$ in $A_{\mathfrak{p}}$ be the maximal ideal of $A_{\mathfrak{p}}$. Since $\mathfrak{p} = (b \rightarrow Aa)$, we get $\mathfrak{m} = (b \rightarrow A_{\mathfrak{p}}a)$. As in (a), consider

$$(\mathfrak{m} \rightarrow A_{\mathfrak{p}}) = \{\xi \in \text{Frac}(A) \mid \xi\mathfrak{m} \subseteq A_{\mathfrak{p}}\}.$$

As $\mathfrak{m} = (b \rightarrow A_{\mathfrak{p}}a)$, we have $b/a \in (\mathfrak{m} \rightarrow A_{\mathfrak{p}})$, yet $b/a \notin A_{\mathfrak{p}}$ (because if $b/a \in A_{\mathfrak{p}}$, then $b \in aA_{\mathfrak{p}}$ and $\mathfrak{m} = A_{\mathfrak{p}}$, which is absurd). Note that $(b/a)\mathfrak{m}$ is an ideal of $A_{\mathfrak{p}}$. If $(b/a)\mathfrak{m} \subseteq \mathfrak{m}$, then, as in (a), b/a would be integral over $A_{\mathfrak{p}}$. However, A is noetherian and integrally closed. It follows that $A_{\mathfrak{p}}$ is also noetherian and integrally closed. Thus, $b/a \in A_{\mathfrak{p}}$, a contradiction. As \mathfrak{m} is maximal in $A_{\mathfrak{p}}$, we conclude that

$$(b/a)\mathfrak{m} = A_{\mathfrak{p}}.$$

Thus, we have $(b/a)c = 1$, for some $c \in \mathfrak{m}$. We claim that

$$\mathfrak{m} = cA_{\mathfrak{p}}.$$

Since $c \in \mathfrak{m}$, we have $cA_{\mathfrak{p}} \subseteq \mathfrak{m}$. Pick any $x \in \mathfrak{m}$. We have $(b/a)x \in A_{\mathfrak{p}}$, so, $(b/a)cx = x \in cA_{\mathfrak{p}}$. Therefore, $\mathfrak{m} \subseteq cA_{\mathfrak{p}}$, and we are done.

It follows that \mathfrak{m} is a principal ideal in $A_{\mathfrak{p}}$. Therefore, $A_{\mathfrak{p}}$ is a noetherian local domain whose maximal ideal is principal. The following proposition implies that $A_{\mathfrak{p}}$ is a P.I.D.

Proposition 1.4 *If B is a noetherian local domain and its maximal ideal, \mathfrak{m} , is principal, then B is a P.I.D.*

Proof. Let $\mathfrak{m} = bB$. First, we claim that $\bigcap_n \mathfrak{m}^n = (0)$. Indeed, if we let $\mathfrak{q} = \bigcap_n \mathfrak{m}^n = (0)$, we have $\mathfrak{q}\mathfrak{m} = \mathfrak{q}$. As A is noetherian, \mathfrak{q} is finitely generated; so, by Nakayama's lemma, $\mathfrak{q} = (0)$.

We define the function $v: B \rightarrow \mathbb{N} \cup \{-\infty, +\infty\}$ as follows: For any $b \in B$,

$$v(b) = \begin{cases} -\infty & \text{if } b \notin \mathfrak{m} \\ n & \text{if } b \in \mathfrak{m}^n \text{ and } b \notin \mathfrak{m}^{n+1} \\ +\infty & \text{if } b = 0. \end{cases}$$

Let \mathfrak{A} be any nonzero ideal in B . Since B is local, $\mathfrak{A} \subseteq \mathfrak{m}$. Thus, there is some $a \in \mathfrak{A}$ for which v is minimal when a ranges over \mathfrak{A} . Say $v(a) = n$. Then, $\mathfrak{A} \subseteq \mathfrak{m}^n = b^n B$. In particular, we have $a = b^n c$, for some $c \in B$. Since $a \in \mathfrak{m}^n$ and $a \notin \mathfrak{m}^{n+1}$, we must have $c \notin \mathfrak{m}$. However, as B is a local ring, this implies that c is a unit, and so, $b^n \in aB \subseteq \mathfrak{A}$. Since $\mathfrak{A} \subseteq \mathfrak{m}^n = b^n B$, we deduce that $\mathfrak{A} = b^n B$, i.e., \mathfrak{A} is a principal ideal. Therefore, B is a P.I.D. \square

Now, as $A_{\mathfrak{p}}$ is a P.I.D., it has Krull dimension 1; thus, \mathfrak{m} has height 1, and so, \mathfrak{p} also has height 1. \square

B VI(a). Let A be an integral domain. We want to prove that

$$A = \bigcap_{\mathfrak{p} \in \text{Spec } A} A_{\mathfrak{p}} = \bigcap_{\mathfrak{m} \in \text{Max } A} A_{\mathfrak{m}}.$$

Since A is an integral domain, there is a natural inclusion $A \hookrightarrow \text{Frac}(A)$ of A into its total fraction field, $\text{Frac}(A)$. Also, for every prime ideal, $\mathfrak{p} \in \text{Spec } A$, we have a natural inclusion $A \hookrightarrow A_{\mathfrak{p}}$. It follows that

$$A \subseteq \bigcap_{\mathfrak{p} \in \text{Spec } A} A_{\mathfrak{p}} \subseteq \bigcap_{\mathfrak{m} \in \text{Max } A} A_{\mathfrak{m}},$$

since every maximal ideal is a prime ideal. Thus, it suffices to prove that

$$\bigcap_{\mathfrak{m} \in \text{Max } A} A_{\mathfrak{m}} \subseteq A.$$

Let $B = \bigcap_{\mathfrak{m} \in \text{Max } A} A_{\mathfrak{m}}$; we need to prove that $B \subseteq A$. We will use the proposition used in class that says that for every A -module, M , if $M_{\mathfrak{m}} = (0)$ for every maximal ideal, $\mathfrak{m} \in \text{Max } A$, then $M = (0)$. Here, $M = B/A$.

For every $\mathfrak{m} \in \text{Max } A$, it is clear that the multiplicative set $S = A - \mathfrak{m}$ is also a multiplicative set in B , and since $A \subseteq B \subseteq A_{\mathfrak{m}}$, we get

$$A_{\mathfrak{m}} = S^{-1}A \subseteq S^{-1}B \subseteq S^{-1}A_{\mathfrak{m}} = A_{\mathfrak{m}}.$$

Therefore, $A_{\mathfrak{m}} = S^{-1}A = S^{-1}B$. Moreover, since we have an exact sequence

$$0 \longrightarrow A \longrightarrow B \longrightarrow B/A \longrightarrow 0$$

and since $S^{-1}A$ is flat over A , by tensoring with $S^{-1}A$ over A (using the fact that $S^{-1}M \cong M \otimes_A S^{-1}A$ for any A -module, M), we get

$$0 \longrightarrow S^{-1}A \longrightarrow S^{-1}B \longrightarrow S^{-1}(B/A) \longrightarrow 0 \quad \text{is exact;}$$

we deduce that

$$S^{-1}(B/A) \cong S^{-1}B/S^{-1}A.$$

However, we just proved that $S^{-1}A = S^{-1}B$, so, $S^{-1}(B/A) = (0)$, i.e., $(B/A)_{\mathfrak{m}} = (0)$ for all $\mathfrak{m} \in \text{Max } A$, which implies that $B/A = (0)$, i.e., $B = A$, as required.

B VI(b). Now, A is any commutative ring and $f(T)$ is a polynomial of degree d in $A[T]$.

First, assume that the coefficient, a_0 , of T^d in $f(T)$ is a unit. If so, the ideal $(f(T))$ is also generated by the monic polynomial $g(T) = a_0^{-1}f(T)$ of degree d . Now, since $g(T)$ is monic, we can divide any polynomial, $p(T) \in A[T]$ by $g(T)$. So, we can write

$$p(T) = g(T)q(T) + r(T), \quad \text{with } \deg(r(T)) \leq d - 1.$$

It follows that $A[T]/(f(T))$ is isomorphic to the A -module of polynomials of degree at most $r-1$, modulo $g(T)$ (this means that $p_1(T)p_2(T) =$ the remainder of the division of $p_1(T)p_2(T)$ modulo $g(T)$). However, this module is generated by $1, T, \dots, T^{d-1}$. Furthermore, these monomials are linearly independent, because if

$$a_0T^{d-1} + \dots + a_{d-2}T + a_{d-1} = 0 \pmod{g(T)},$$

as $\deg(g(T)) = d$, we must have

$$a_0T^{d-1} + \dots + a_{d-2}T + a_{d-1} \equiv 0 \quad \text{in } A[T].$$

(i.e., it is the zero polynomial.) Therefore, $A[T]/(f(T))$ is isomorphic to a free A -module of rank d ; hence, $A[T]/(f(T))$ is isomorphic to a projective A -module of rank d .

Now, assume that $A[T]/(f(T))$ is isomorphic to a projective A -module of rank d . This means that for every prime ideal $\mathfrak{p} \in \text{Spec } A$, the A -module $(A[T]/(f(T)))_{\mathfrak{p}}$ is free of rank d . Assume that the coefficient, a_0 , of T^d in $f(T)$ is not a unit; we are going to derive a contradiction. If a_0 is not a unit, then the ideal $(a_0) = Aa_0$ is properly contained in A , so, there is some maximal (thus, prime) ideal, \mathfrak{p} , with $(a_0) \subseteq \mathfrak{p}$. For this \mathfrak{p} , by hypothesis, we have an exact sequence

$$0 \longrightarrow (f(T))_{\mathfrak{p}} \longrightarrow A[T]_{\mathfrak{p}} \longrightarrow A_{\mathfrak{p}}^d \longrightarrow 0.$$

However, going back to the definition of localization, it is clear that $A[T]_{\mathfrak{p}} \cong A_{\mathfrak{p}}[T]$ and $(f(T))_{\mathfrak{p}} \cong f(T)A_{\mathfrak{p}}[T]$. Now, $A_{\mathfrak{p}}$ is a local ring, and since $A_{\mathfrak{p}}^d$ is free, $A_{\mathfrak{p}}^d$ is flat. Therefore, if we tensor with $\kappa(A_{\mathfrak{p}})$, by a proposition proved in a previous homework and in class, we get an exact sequence:

$$0 \longrightarrow \overline{f}(T)\kappa(A_{\mathfrak{p}})[T] \longrightarrow \kappa(A_{\mathfrak{p}})[T] \longrightarrow \kappa(A_{\mathfrak{p}})^d \longrightarrow 0.$$

In this sequence, all modules involved are vector spaces over $\kappa(A_{\mathfrak{p}})$ and $\overline{f}(T)$ denotes the polynomial obtained from $f(T)$ by reducing the coefficients of $f(T)$ modulo \mathfrak{p}^e , the maximal ideal of $A_{\mathfrak{p}}$. But, $a_0 \in \mathfrak{p}$, so $\overline{a_0} = 0$ and $\overline{f}(T)$ is a polynomial of degree at most $d-1$. By the first part of the proof, this would imply that $\kappa(A_{\mathfrak{p}})[T]/(\overline{f}(T)\kappa(A_{\mathfrak{p}})[T])$ has dimension at most $d-1$, a contradiction. Therefore, a_0 must be a unit.