## Spring, 2025 CIS 5110

## Introduction to the Theory of Computation Jean Gallier

## Homework 3

February 27, 2025; Due March 27, 2025

**Problem B1 (80 pts).** (i) Prove that the conclusion of the pumping lemma holds for the following language L over  $\{a, b\}^*$ , and yet, L is **not** regular!

 $L = \{ w \mid \exists n \ge 1, \exists x_i \in a^+, \exists y_i \in b^+, 1 \le i \le n, n \text{ is not prime}, w = x_1 y_1 \cdots x_n y_n \}.$ 

(ii) Consider the following version of the pumping lemma. For any regular language L, there is some  $m \ge 1$  so that for every  $y \in \Sigma^*$ , if |y| = m, then there exist  $u, x, v \in \Sigma^*$  so that

- (1) y = uxv;
- (2)  $x \neq \epsilon$ ;
- (3) For all  $z \in \Sigma^*$ ,

 $yz \in L$  iff  $ux^i vz \in L$ 

for all  $i \geq 0$ .

Prove that this pumping lemma holds.

(iii) Prove that the converse of the pumping lemma in (ii) also holds, i.e., if a language L satisfies the pumping lemma in (ii), then it is regular.

(iv) Consider yet another version of the pumping lemma. For any regular language L, there is some  $m \ge 1$  so that for every  $y \in \Sigma^*$ , if  $|y| \ge m$ , then there exist  $u, x, v \in \Sigma^*$  so that

- (1) y = uxv;
- (2)  $x \neq \epsilon;$
- (3) For all  $\alpha, \beta \in \Sigma^*$ ,

 $\alpha u \beta \in L$  iff  $\alpha u x^i \beta \in L$ 

for all  $i \ge 0$ .

Prove that this pumping lemma holds.

(v) Prove that the converse of the pumping lemma in (iv) also holds, i.e., if a language L satisfies the pumping lemma in (iv), then it is regular.

**Problem B2 (60 pts).** Let  $D = (Q, \Sigma, \delta, q_0, F)$  be a deterministic finite automaton. Define the relations  $\approx$  and  $\sim$  on  $\Sigma^*$  as follows:

$$x \approx y$$
 if and only if, for all  $p \in Q$ ,  
 $\delta^*(p, x) \in F$  iff  $\delta^*(p, y) \in F$ ,

and

 $x \sim y$  if and only if, for all  $p \in Q$ ,  $\delta^*(p, x) = \delta^*(p, y)$ .

(1) Show that  $\approx$  is a left-invariant equivalence relation and that  $\sim$  is an equivalence relation that is both left and right invariant. (A relation R on  $\Sigma^*$  is *left invariant* iff uRv implies that wuRwv for all  $w \in \Sigma^*$ , and R is *left and right invariant* iff uRv implies that xuyRxvy for all  $x, y \in \Sigma^*$ .)

(2) Let n be the number of states in Q (the set of states of D). Show that  $\approx$  has at most  $2^n$  equivalence classes and that  $\sim$  has at most  $n^n$  equivalence classes.

*Hint*. In the case of  $\approx$ , consider the function  $f: \Sigma^* \to 2^Q$  given by

$$f(u) = \{ p \in Q \mid \delta^*(p, u) \in F \}, \quad u \in \Sigma^*,$$

and show that  $x \approx y$  iff f(x) = f(y). In the case of  $\sim$ , let  $Q^Q$  be the set of all functions from Q to Q and consider the function  $g: \Sigma^* \to Q^Q$  defined such that g(u) is the function given by

$$g(u)(p) = \delta^*(p, u), \quad u \in \Sigma^*, \ p \in Q,$$

and show that  $x \sim y$  iff g(x) = g(y).

(3) Given any language  $L \subseteq \Sigma^*$ , define the relations  $\lambda_L$  and  $\mu_L$  on  $\Sigma^*$  as follows:

$$u \lambda_L v$$
 iff, for all  $z \in \Sigma^*$ ,  $zu \in L$  iff  $zv \in L$ ,

and

$$u \mu_L v$$
 iff, for all  $x, y \in \Sigma^*$ ,  $xuy \in L$  iff  $xvy \in L$ .

Prove that  $\lambda_L$  is left-invariant, and that  $\mu_L$  is left and right-invariant. Prove that if L is regular, then both  $\lambda_L$  and  $\mu_L$  have a finite number of equivalence classes.

*Hint*: Show that the number of classes of  $\lambda_L$  is at most the number of classes of  $\approx$ , and that the number of classes of  $\mu_L$  is at most the number of classes of  $\sim$ .

**Problem B3 (100 pts).** Which of the following languages are regular? Justify each answer.

- (1)  $L_1 = \{wcw \mid w \in \{a, b\}^*\}$ . (here  $\Sigma = \{a, b, c\}$ ). (2)  $L_2 = \{xy \mid x, y \in \{a, b\}^* \text{ and } |x| = |y|\}$ . (here  $\Sigma = \{a, b\}$ ) (3)  $L_3 = \{a^n \mid n \text{ is a prime number}\}$ . (here  $\Sigma = \{a\}$ ). (4)  $L_4 = \{a^m b^n \mid gcd(m, n) = 23\}$ . (here  $\Sigma = \{a, b\}$ ).
- (5) Consider the language

$$L_5 = \{a^{4n+3} \mid 4n+3 \text{ is prime}\}.$$

Assuming that  $L_5$  is infinite, prove that  $L_5$  is not regular.

(6) Let  $F_n = 2^{2^n} + 1$ , for any integer  $n \ge 0$ , and let

$$L_6 = \{ a^{F_n} \mid n \ge 0 \}$$

Here  $\Sigma = \{a\}.$ 

Extra Credit (from 10 up to  $10^{100}$  pts). Find explicitly what  $F_0, F_1, F_2, F_3$  are, and check that they are prime. What about  $F_4$  and  $F_5$ ?

Is the language

$$L_7 = \{a^{F_n} \mid n \ge 0, \ F_n \text{ is prime}\}$$

regular?

Extra Credit (20 pts). Prove that there are infinitely many primes of the form 4n + 3.

The list of such primes begins with

 $3, 7, 11, 19, 23, 31, 43, \cdots$ 

Say we already have n + 1 of these primes, denoted by

$$3, p_1, p_2, \cdots, p_n,$$

where  $p_i > 3$ . Consider the number

$$m = 4p_1p_2\cdots p_n + 3.$$

If  $m = q_1 \cdots q_k$  is a prime factorization of m, prove that  $q_j > 3$  for  $j = 1, \ldots k$  and that no  $q_j$  is equal to any of the  $p_i$ 's. Prove that one of the  $q_j$ 's must be of the form 4n + 3, which shows that there is a prime of the form 4n + 3 greater than any of the previous primes of the same form. **Problem B4 (70 pts).** Let L be any regular language over some alphabet  $\Sigma$ . Define the languages

$$L^{\infty} = \bigcup_{k \ge 1} \{ w^k \mid w \in L \},$$
  

$$L^{1/\infty} = \{ w \mid w^k \in L, \text{ for all } k \ge 1 \}, \text{ and }$$
  

$$\sqrt{L} = \{ w \mid w^k \in L, \text{ for some } k \ge 1 \}.$$

Also, for any natural number  $k \ge 1$ , let

$$L^{(k)} = \{ w^k \mid w \in L \},\$$

and

$$L^{(1/k)} = \{ w \mid w^k \in L \}.$$

(a) Prove that  $L^{(1/3)}$  is regular. What about  $L^{(3)}$ ?

(b) Let  $k \ge 1$  be any natural number. Prove that there are only finitely many languages of the form  $L^{(1/k)} = \{w \mid w^k \in L\}$  and that they are all regular. (In fact, if L is accepted by a DFA with n states, there are at most  $2^{n^n}$  languages of the form  $L^{(1/k)}$ ).

(c) Is  $L^{1/\infty}$  regular or not? Is  $\sqrt{L}$  regular or not? What about  $L^{\infty}$ ?

TOTAL: 310 + 40 points.