

# Introduction to the Theory of Computation

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## Homework 4

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“A problems” are for practice only, and should not be turned in.

**Problem A1.** Given any two context-free languages  $L_1$  and  $L_2$  over the same alphabet  $\Sigma$ , prove that  $L_1 \cup L_2$  and  $L_1L_2$  are also context-free.

**Problem A2.** Let  $\Sigma$  and  $\Delta$  be some alphabets, and let  $h: \Sigma^* \rightarrow \Delta^*$  be a homomorphism. Given any language  $L \subseteq \Sigma^*$ , recall that

$$h(L) = \{h(w) \in \Delta^* \mid w \in L\}.$$

Prove that if  $L$  is context-free, then  $h(L)$  is also context-free.

**Problem A3.** Given any language  $L \subseteq \Sigma^*$ , let

$$L^R = \{w^R \mid w \in L\},$$

the *reversal language of  $L$*  (where  $w^R$  denotes the reversal of the string  $w$ ). Prove that if  $L$  is context-free, then  $L^R$  is also context-free.

“B problems” must be turned in.

**Problem B1 (70 pts).** (i) Prove that the conclusion of the pumping lemma holds for the following language  $L$  over  $\{a, b\}^*$ , and yet,  $L$  is **not** regular!

$$L = \{w \mid \exists n \geq 1, \exists x_i \in a^+, \exists y_i \in b^+, 1 \leq i \leq n, n \text{ is not prime, } w = x_1y_1 \cdots x_ny_n\}.$$

(ii) Consider the following version of the pumping lemma. For any regular language  $L$ , there is some  $m \geq 1$  so that for every  $y \in \Sigma^*$ , if  $|y| = m$ , then there exist  $u, x, v \in \Sigma^*$  so that

- (1)  $y = uxv$ ;
- (2)  $x \neq \epsilon$ ;
- (3) For all  $z \in \Sigma^*$ ,

$$yz \in L \quad \text{iff} \quad ux^i v z \in L$$

for all  $i \geq 0$ .

Prove that this pumping lemma holds.

(iii) Prove that the converse of the pumping lemma in (ii) also holds, i.e., if a language  $L$  satisfies the pumping lemma in (ii), then it is regular.

(iv) Consider yet another version of the pumping lemma. For any regular language  $L$ , there is some  $m \geq 1$  so that for every  $y \in \Sigma^*$ , if  $|y| \geq m$ , then there exist  $u, x, v \in \Sigma^*$  so that

$$(1) \ y = uvx;$$

$$(2) \ x \neq \epsilon;$$

$$(3) \text{ For all } \alpha, \beta \in \Sigma^*,$$

$$\alpha u \beta \in L \quad \text{iff} \quad \alpha u x^i \beta \in L$$

for all  $i \geq 0$ .

Prove that this pumping lemma holds.

(v) Prove that the converse of the pumping lemma in (iv) also holds, i.e., if a language  $L$  satisfies the pumping lemma in (iv), then it is regular.

**Problem B2 (40 pts).** The purpose of this problem is to get a fast algorithm for testing state equivalence in a DFA. Let  $D = (Q, \Sigma, \delta, q_0, F)$  be a deterministic finite automaton. Recall that *state equivalence* is the equivalence relation  $\equiv$  on  $Q$ , defined such that,

$$p \equiv q \quad \text{iff} \quad \forall z \in \Sigma^* (\delta^*(p, z) \in F \quad \text{iff} \quad \delta^*(q, z) \in F).$$

and that *i-equivalence* is the equivalence relation  $\equiv_i$  on  $Q$ , defined such that,

$$p \equiv_i q \quad \text{iff} \quad \forall z \in \Sigma^*, |z| \leq i (\delta^*(p, z) \in F \quad \text{iff} \quad \delta^*(q, z) \in F).$$

A relation  $S \subseteq Q \times Q$  is a *forward closure* iff it is an equivalence relation and whenever  $(p, q) \in S$ , then  $(\delta(p, a), \delta(q, a)) \in S$ , for all  $a \in \Sigma$ .

We say that a forward closure  $S$  is *good* iff whenever  $(p, q) \in S$ , then *good*( $p, q$ ), where *good*( $p, q$ ) holds iff either both  $p, q \in F$ , or both  $p, q \notin F$ .

Given any relation  $R \subseteq Q \times Q$ , recall that the smallest equivalence relation  $R_{\approx}$  containing  $R$  is the relation  $(R \cup R^{-1})^*$  (where  $R^{-1} = \{(q, p) \mid (p, q) \in R\}$ , and  $(R \cup R^{-1})^*$  is the reflexive and transitive closure of  $(R \cup R^{-1})$ ). We define the sequence of relations  $R_i \subseteq Q \times Q$  as follows:

$$\begin{aligned} R_0 &= R_{\approx} \\ R_{i+1} &= (R_i \cup \{(\delta(p, a), \delta(q, a)) \mid (p, q) \in R_i, a \in \Sigma\})_{\approx}. \end{aligned}$$

(i) Prove that  $R_{i_0+1} = R_{i_0}$  for some least  $i_0$ . Prove that  $R_{i_0}$  is the smallest forward closure containing  $R$ .

We denote the smallest forward closure  $R_{i_0}$  containing  $R$  as  $R^\dagger$ , and call it the *forward closure of  $R$* .

(ii) Prove that  $p \equiv q$  iff the forward closure  $R^\dagger$  of the relation  $R = \{(p, q)\}$  is good.

**Problem B3 (60 pts).** Give context-free grammars for the following languages:

(a)  $L_5 = \{w c w^R \mid w \in \{a, b\}^*\}$  ( $w^R$  denotes the reversal of  $w$ )

(b)  $L_6 = \{a^m b^n \mid 1 \leq m \leq n \leq 2m\}$

For any fixed integer  $K \geq 2$ ,

$L_7 = \{a^m b^n \mid 1 \leq m \leq n \leq Km\}$

(c)  $L_8 = \{a^n b^n \mid n \geq 1\} \cup \{a^n b^{2n} \mid n \geq 1\}$

(d)  $L_9 = \{a^m b^n a^m b^p \mid m, n, p \geq 1\} \cup \{a^m b^{4n} a^p b^{4n} \mid m, n, p \geq 1\}$

(e)  $L_{10} = \{x c y \mid |x| = 2|y|, x, y \in \{a, b\}^*\}$

In each case, give a justification of the fact that your grammar generates the desired language.

**Problem B4 (40 pts).** Given a context-free language  $L$  and a regular language  $R$ , prove that  $L \cap R$  is context-free.

**Do not** use PDA's to solve this problem!

*Hint.* Without loss of generality, assume that  $L = L(G)$ , where  $G = (V, \Sigma, P, S)$  is in Chomsky normal form, and let  $R = L(D)$ , for some DFA  $D = (Q, \Sigma, \delta, q_0, F)$ . Use a kind of cross-product construction as sketched below. Construct a CFG  $G_2$  whose set of nonterminals is  $Q \times N \times Q \cup \{S_0\}$ , where  $S_0$  is a new nonterminal, and whose productions are of the form:

$$S_0 \rightarrow (q_0, S, f),$$

for every  $f \in F$ ;

$$(p, A, \delta(p, a)) \rightarrow a \quad \text{iff} \quad (A \rightarrow a) \in P,$$

for all  $a \in \Sigma$ , all  $A \in N$ , and all  $p \in Q$ ;

$$(p, A, s) \rightarrow (p, B, q)(q, C, s) \quad \text{iff} \quad (A \rightarrow BC) \in P,$$

for all  $p, q, s \in Q$  and all  $A, B, C \in N$ ;

$$S_0 \rightarrow \epsilon \quad \text{iff} \quad (S \rightarrow \epsilon) \in P \text{ and } q_0 \in F.$$

Prove that for all  $p, q \in Q$ , all  $A \in N$ , all  $w \in \Sigma^+$ , and all  $n \geq 1$ ,

$$(p, A, q) \xrightarrow[n]{lm}_{G_2} w \quad \text{iff} \quad A \xrightarrow[n]{lm}_G w \quad \text{and} \quad \delta^*(p, w) = q.$$

Conclude that  $L(G_2) = L \cap R$ .

**Problem B5 (40 pts).** Give context-free grammars for the languages

$$\begin{aligned} L_1 &= \{xcy \mid x \neq y, x, y \in \{a, b\}^*\} \\ L_2 &= \{xcy \mid x \neq y^R, x, y \in \{a, b\}^*\}. \end{aligned}$$

**Problem B6 (50 pts).** Let  $L \subseteq \{a\}^*$  be a context-free language. Prove that  $L$  is actually a regular language. Proceed as follows. If  $L$  is finite, this is obvious, thus, assume that  $L$  is infinite. Let  $L = L(G)$ , for some CFG  $G$ .

(i) Let  $K > 1$  be the constant of the pumping lemma for  $G$ , and let  $r = K!$ . Prove the following fact: for every  $w \in L$ , if  $|w| \geq K$ , then

$$\{wa^{rn} \mid n \geq 0\} \subseteq L.$$

(ii) For every  $i$  such that  $0 \leq i < r$ , let

$$L_i = \{a^n \mid a^n \in L, n \geq K, n \equiv i \pmod{r}\}.$$

Clearly,

$$L = \{a^n \mid a^n \in L, n < K\} \cup \bigcup_{i=0}^{r-1} L_i.$$

If  $L_i \neq \emptyset$ , let  $z_i$  be the shortest string in  $L_i$ . Prove that

$$L_i = \{z_i a^{rm} \mid m \geq 0\}.$$

Conclude that  $L$  is regular.

(iii) Prove that it is decidable whether  $L_i = \emptyset$  (i.e., describe (concisely) an algorithm).

(iv) Given a context-free language  $L$  over  $\{a, b\}$ , prove that it is decidable whether  $\{a\}^* \subseteq L$  (i.e., describe (concisely) an algorithm).

**TOTAL: 300 points.**