The Science of **Deep Specification**

Benjamin C. Pierce University of Pennsylvania



SPLASH November, 2016





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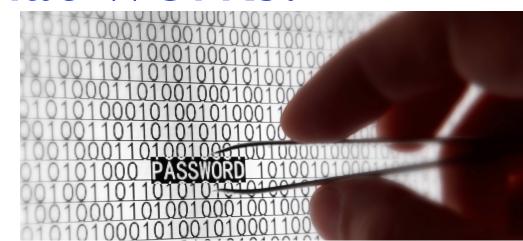






We can't build software that works!"







How did that happen?

- Better programming languages
 - Basic safety guarantees built in
 - Powerful mechanisms for *abstraction* and *modularity*
- Better software development methodology
- Stable platforms and frameworks
- Better use of specifications

- Better programming languages
 - Basic safety guarantees built in
 - Powerful mechanisms for *abstraction* and *modularity*
- Better software development methodology
- Stable platforms and frameworks
- Better use of specifications

I.e., descriptions of what software does (as opposed to how to do it)

What are **'deep' specifications**?

Deep specifications are...

Rich

Live

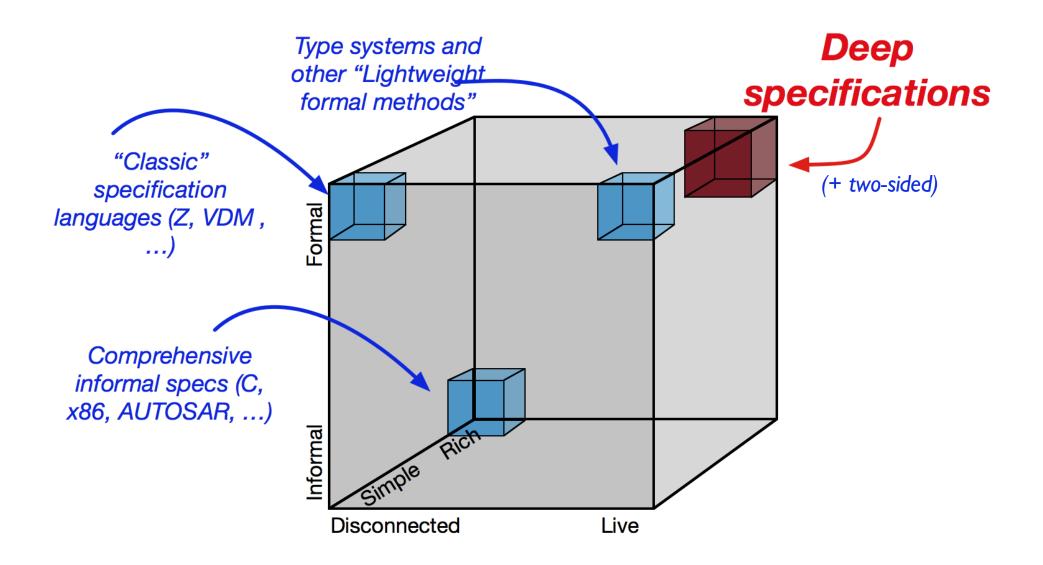
Formal mathematically precise

precisely expressing intended behavior of complex software (a spectrum!)

automatically checked against actual code (not just a model)

Two-sided

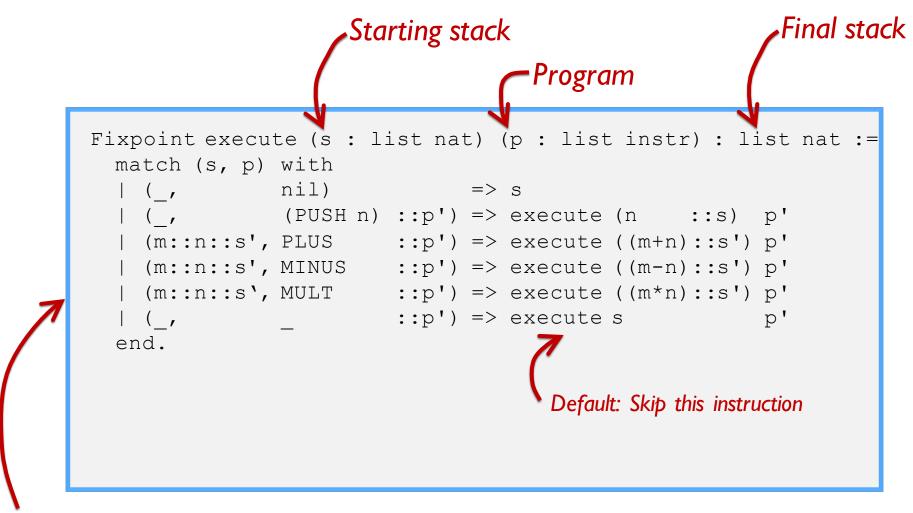
exercised by both implementations and clients



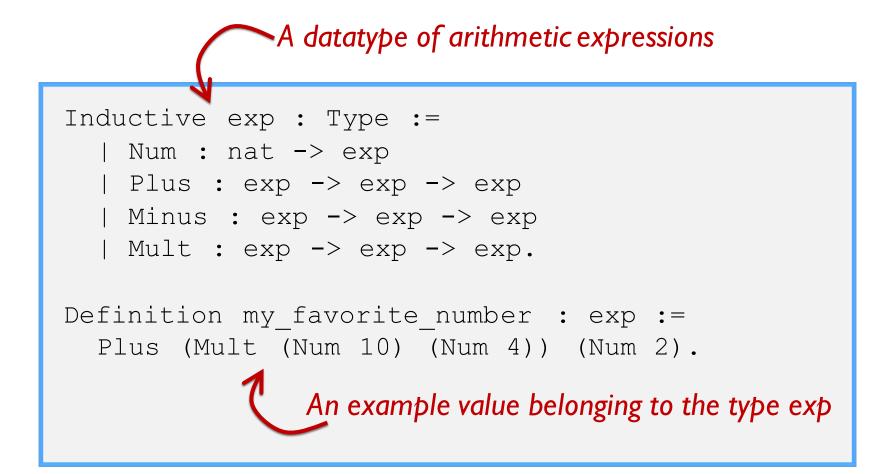
A Short Story about a tiny compiler and its specification(s)...

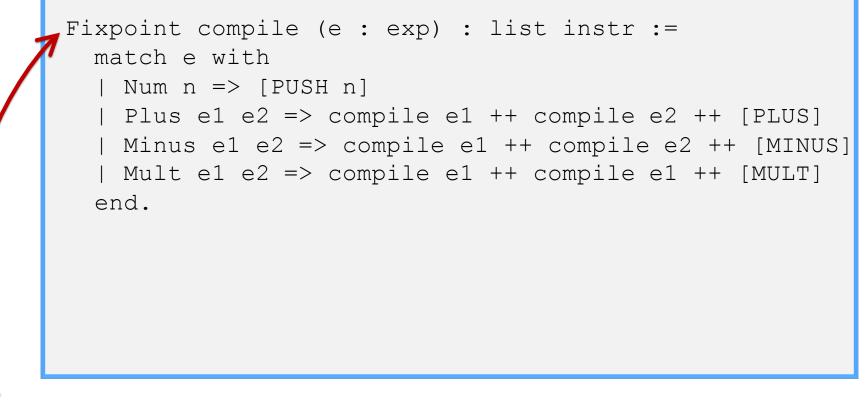
> A datatype of stack machine instructions

(All examples in Gallina, the functional language of the Coq proof assistant)



Operational semantics of the stack machine





A compiler from arithmetic expressions to stack instructions

Specifying our compiler...

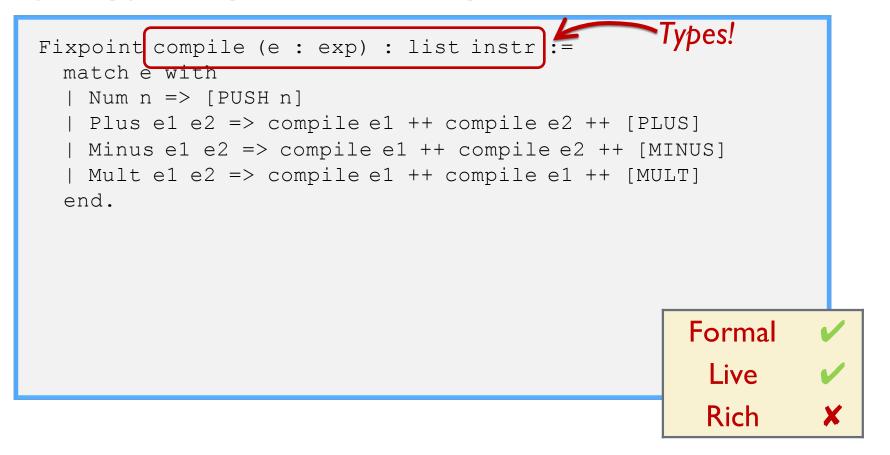
An Informal Specification

Compiling an arithmetic expression should yield stack-machine instructions that compute the corresponding numeric result:

- (Plus el e2) means add the results of el and e2
- (Minus el e2) means subtract the results of el and e2
- (Mult el e2) means multiply the results of el and e2

Formal X Live X Rich ✓

A (Very) Simple Formal Specification



Another Simple Formal Specification

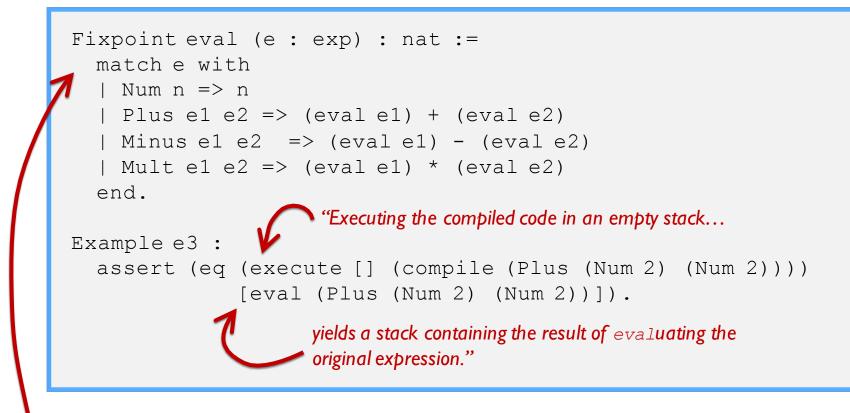
```
Fixpoint compile (e : exp) : list instr :=
  match e with
  | Num n => [PUSH n]
  | Plus e1 e2 => compile e1 ++ compile e2 ++ [PLUS]
  | Minus e1 e2 => compile e1 ++ compile e2 ++ [MINUS]
  | Mult e1 e2 => compile e1 ++ compile e1 ++ [MULT]
  end.
Example e1 : assert (eq (compile (Num 42))
                        [PUSH 42]).
Example e2 : assert (eq (compile (Plus (Num 2) (N Formal
                        [PUSH 2; PUSH 2; PLUS]).
                                                    Live
                                                    Rich
        Unit tests
```

Can we do better?

```
Fixpoint compile (e : exp) : list instr :=
  match e with
                                            We don't really care
  | Num n => [PUSH n]
                                            what instructions we
  | Plus e1 e2 => compile e1 ++ compi
                                            generate: we just want
  | Minus e1 e2 => compile e1 ++ con
  | Mult e1 e2 => compile e1 ++ compi
                                            executing them to give
  end.
                                              the right answer!
Example e1 : assert (eq (compile (Num 4
                          [PUSH 42]). 🤘
Example e2 : assert (eq (compile (Plus (Num 2) (Num 2)))
                          [PUSH 2; PUSH 2; PLUS]).
```

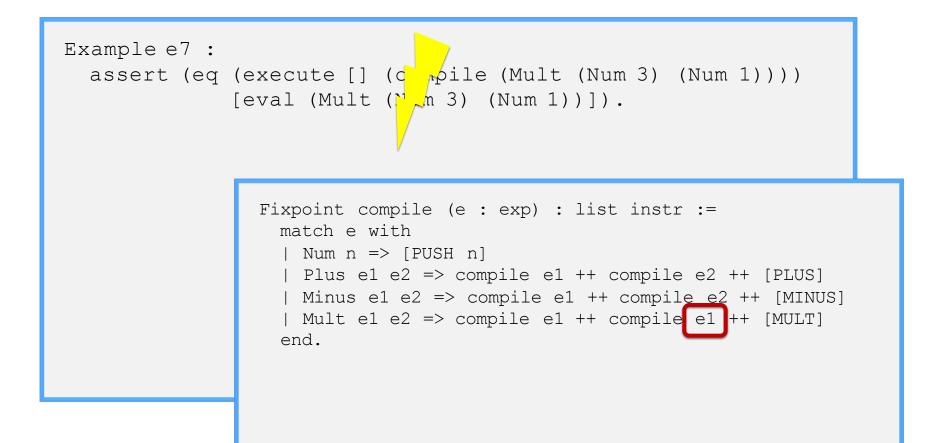
For Coq savants:

Definition assert b := (b = true).



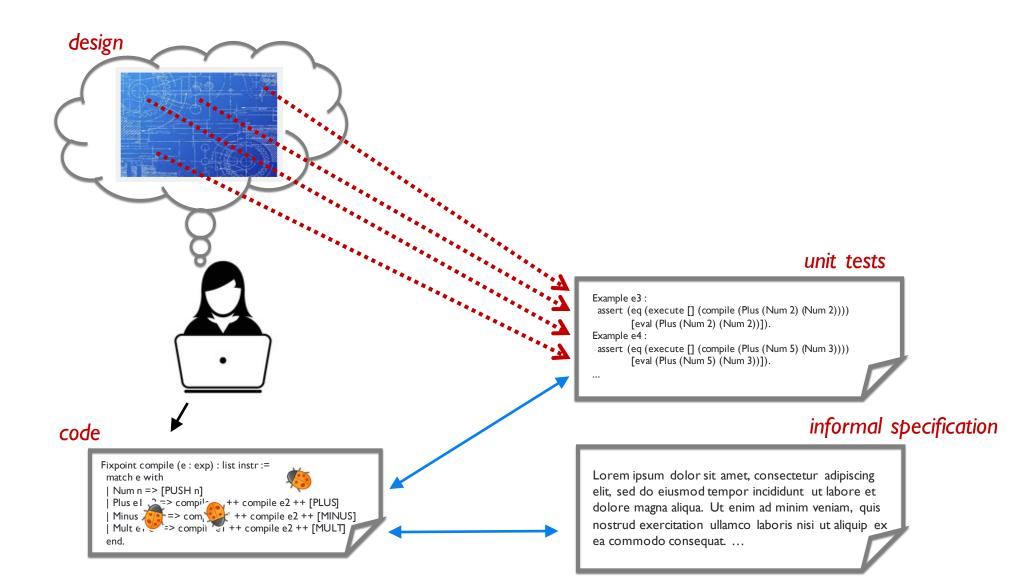
Operational semantics of the source language

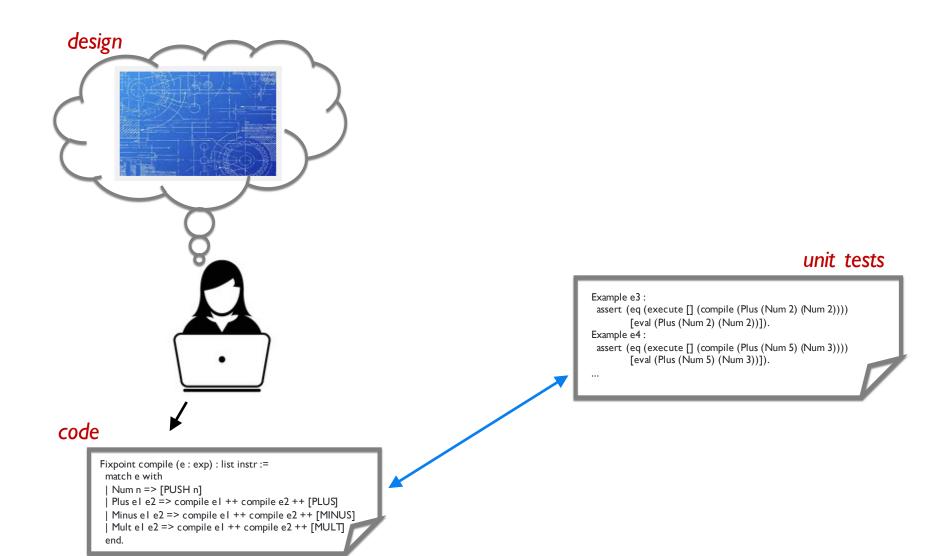
```
Example e3 :
  assert (eq (execute [] (compile (Plus (Num 2) (Num 2))))
  [eval (Plus (Num 2) (Num 2))]).
Example e4 :
  assert (eq (execute [] (compile (Plus (Num 5) (Num 3))))
  [eval (Plus (Num 5) (Num 3))]).
Example e5 :
  assert (eq (execute [] (compile (Mult (Num 0) (Num 3))))
  [eval (Mult (Num 0) (Num 3))]).
Example e6 :
  assert (eq (execute [] (compile (Mult (Num 2) (Num 2))))
  [eval (Mult (Num 2) (Num 2)]).
```



```
Example e7 :
assert (eq (execute [] (compile (Mult (Num 3) (Num 1))))
[eval (Mult (Num 3) (Num 1))]).
```

```
Fixpoint compile (e : exp) : list instr :=
match e with
  | Num n => [PUSH n]
  | Plus e1 e2 => compile e1 ++ compile e2 ++ [PLUS]
  | Minus e1 e2 => compile e1 ++ compile e2 ++ [MINUS]
  | Mult e1 e2 => compile e1 ++ compile e2 ++ [MULT]
  end.
```





unit tests

Example e3 :

•••

C 0

> assert (eq (execute [] (compile (Plus (Num 2) (Num 2)))) [eval (Plus (Num 2) (Num 2))]). Example e4 :

assert (eq (execute [] (compile (Plus (Num 5) (Num 3)))) [eval (Plus (Num 5) (Num 3))]).

code

Fixpoint compile (e : exp) : list instr := match e with | Num n => [PUSH n] | Plus el e2 => compile el ++ compile e2 ++ [PLUS] | Minus el e2 => compile el ++ compile e2 ++ [MINUS] | Mult el e2 => compile el ++ compile e2 ++ [MULT] end.

```
Example e3 :
assert (eq (execute [] (compile (Plus (Num 2) (Num 2))))
Example e4 :
assert (eq (execute [] (compile (Plus (Num 5) (Num 3))))
[eval (Plus (Num 5) (Num 3))]).
Example e5 :
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Example e6 :
assert (eq (execute [] (compile (Mult (Num 2) (Num 3))))
```

```
Example e3 :
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assert (eq (execute [] (compile (Mult (Num 0) (Num 3))))
Example e6 :
assert (eq (execute [] (compile (Mult (Num 0) (Num 3))))
```

```
Definition compiles_correctly (e : exp) :=
    eq (execute [] (compile e)) [eval e].
Example e3 :
    assert (eq (execute [] (compile (Plus (Num 2) (Num 2))))
    [eval (Plus (Num 2) (Num 2)]).
Example e4 :
    assert (eq (execute [] (compile (Plus (Num 5) (Num 3))))
    [eval (Plus (Num 5) (Num 3)]).
Example e5 :
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```

```
Definition compiles_correctly (e : exp) :=
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Example e3 :
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Example e5 :
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Example e6 :
assert (compiles_correctly (Mult (Num 2) (Num 2))).
```

Enumerative

Specification-Based Testing

Random

Concolic

etc.

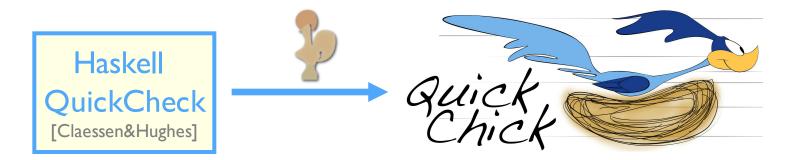
etc.

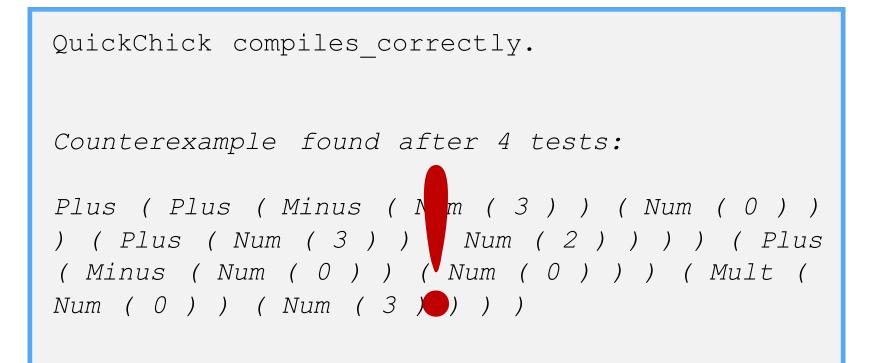
Specification-Based <u>Random</u> Testing

Idea:

- Generate lots of random values of type exp
- See if compiles_correctly returns true for each of them







```
QuickChick compiles correctly.
```

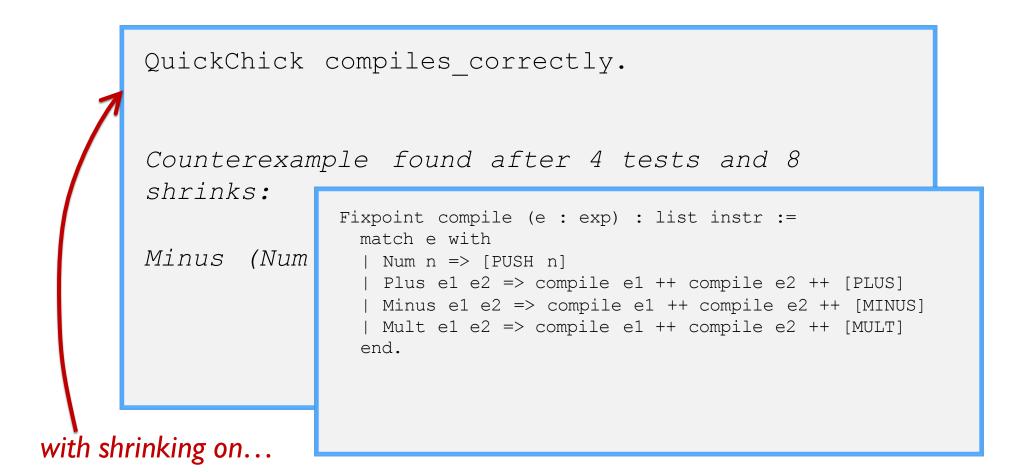
```
Counterexample found after 4 tests:
```

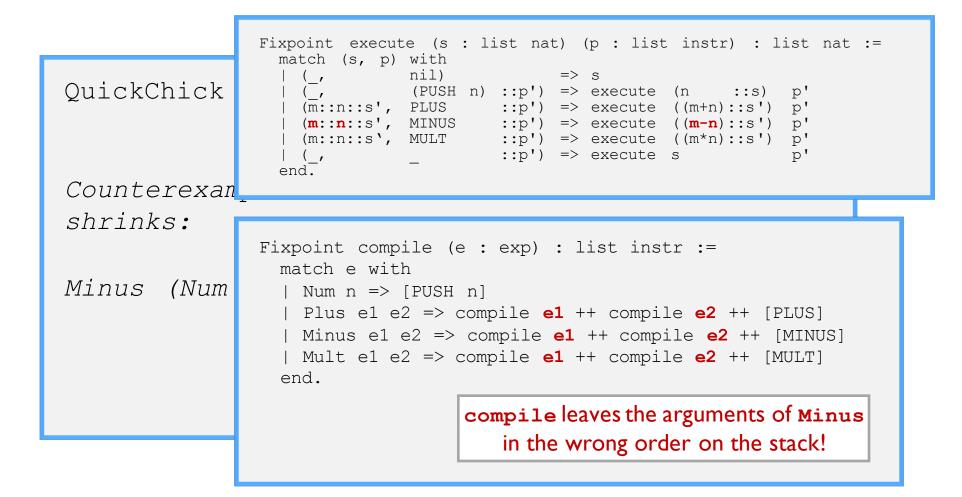
```
Plus ( Plus ( Minus ( Num ( 3 ) ) ( Num ( 0 ) )
) ( Plus ( Num ( 3 ) ) ( Num ( 2 ) ) ) ) ( Plus
( Minus ( Num ( 0 ) ) ( Num ( 0 ) ) ) ( Mult (
Num ( 0 ) ) ( Num ( 3 ) ) ))
```

Idea:

- Generate lots of random values of type exp
- For each, see if compiles_correctly
 returns true
- If a failing example is found, perform a greedy search for a minimal failing example ("shrinking")



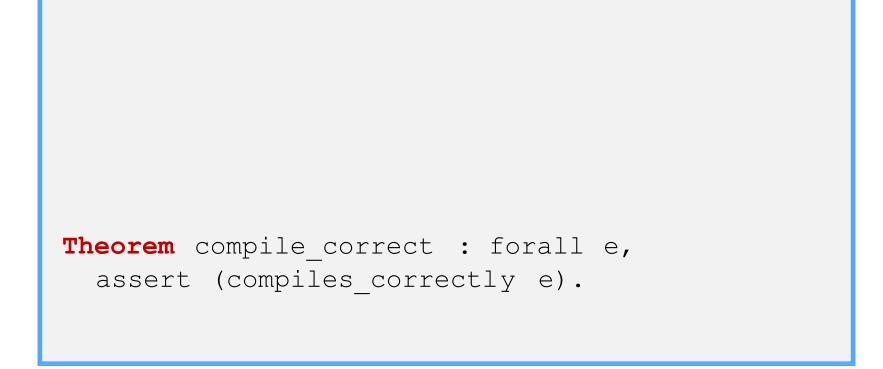




Beyond Testing...

What else can we do with a specification?

- Synthesize programs that satisfy it
- Build run-time monitors that check for violations
- Prove that an implementation satisfies it



```
Lemma execute_app : forall p1 p2 stack,
    execute stack (p1 ++ p2)
    = execute (execute stack p1) p2.
Lemma execute_eval_comm : forall e stack,
    execute stack (compile e) = eval e :: stack.
Theorem compile_correct : forall e,
    assert (compiles_correctly e).
```

```
Lemma execute_app : forall p1 p2 stack,
    execute stack (p1 ++ p2)
= execute (execute stack p1) p2.
```

```
Lemma execute_eval_comm : forall e stack,
execute stack (compile e) = eval e :: stack.
```

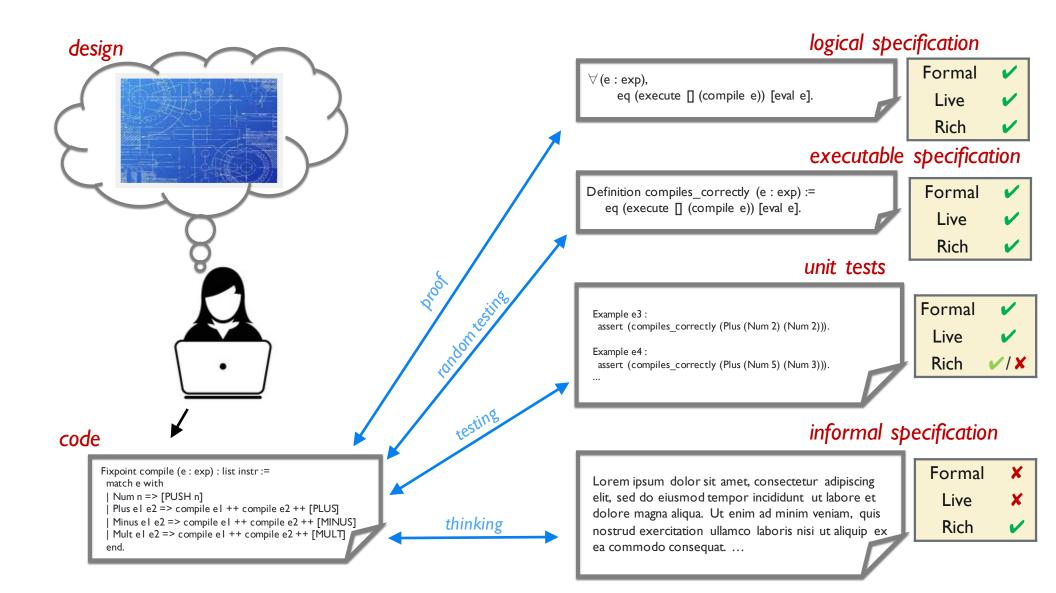
```
Theorem compile_correct : forall e,
assert (compiles correctly e).
```

```
Lemma execute app : forall p1 p2 stack,
    execute stack (p1 + p2)
  = execute (execute stack p1) p2.
Proof.
  induction p1.
    - reflexivity.
    - destruct a.
      + intros. simpl. rewrite IHp1.
        reflexivity.
      + intros. simpl.
        destruct stack as [|x [|y stack']].
        * rewrite IHp1. reflexivity.
        * rewrite IHp1. reflexivity.
        * rewrite IHp1. reflexivity.
      + intros. simpl.
        destruct stack as [|x [|y stack']].
        * rewrite IHp1. reflexivity.
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        * rewrite IHp1. reflexivity.
      + intros. simpl.
        destruct stack as [|x [|y stack']].
        * rewrite IHp1. reflexivity.
        * rewrite IHp1. reflexivity.
        * rewrite IHp1. reflexivity.
Qed.
```

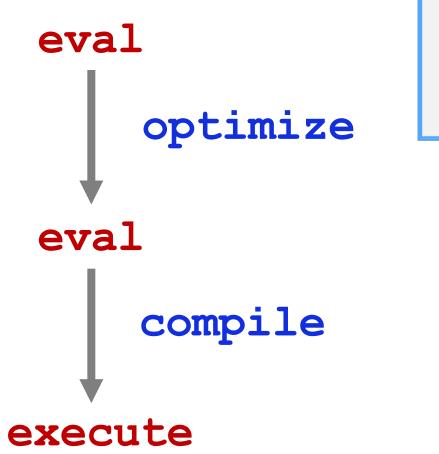
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        * rewrite IHp1. reflexivity.
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        destruct stack as [|x [|y stack']].
        * rewrite IHp1. reflexivity.
        * rewrite IHp1. reflexivity.
        * rewrite IHp1. reflexivity.
                             No automation
Oed.
```

```
Lemma execute_app : forall p1 p2 stack,
    execute stack (p1 ++ p2)
= execute (execute stack p1) p2.
Proof.
    induction p1.
    - reflexivity.
    - destruct a; simpl; intros;
    destruct stack as [|x [|y stack']];
    try rewrite IHp1; reflexivity.
Qed.
Gimple automation
```

```
Lemma execute app : forall p1 p2 stack,
                                                  Lemma execute app : forall p1 p2 stack,
    execute stack (p1 + p2)
                                                      execute stack (p1 ++ p2)
  = execute (execute stack p1) p2.
                                                    = execute (execute stack p1) p2.
Proof.
                                                  Proof.
  induction p1.
                                                    induction p1.
    - reflexivity.
                                                      - reflexivity.
    - destruct a.
                                                      - destruct a; simpl; intros;
      + intros. simpl. rewrite IHp1.
                                                        destruct stack as [|x [|y stack']];
        reflexivity.
                                                        try rewrite IHp1; reflexivity.
      + intros. simpl.
                                                  Oed.
                                                                       Simple automation
        destruct stack as [|x [|y stack']].
        * rewrite IHp1. reflexivity.
        * rewrite IHp1. reflexivity.
                                                  Lemma execute app : forall p1 p2 stack,
        * rewrite IHp1. reflexivity.
                                                      execute stack (p1 + p2)
      + intros. simpl.
                                                    = execute (execute stack p1) p2.
        destruct stack as [|x [|y stack']].
                                                  Proof.
        * rewrite IHp1. reflexivity.
                                                    induction pl;
        * rewrite IHp1. reflexivity.
                                                      try (destruct a);
        * rewrite IHp1. reflexivity.
                                                      try (destruct stack
      + intros. simpl.
                                                                as [|x [|y stack']]);
        destruct stack as [|x [|y stack']].
                                                      crush.
        * rewrite IHp1. reflexivity.
                                                  Qed.
                                                                      Chlipala automation
        * rewrite IHp1. reflexivity.
        * rewrite IHp1. reflexivity.
                             No automation
Oed.
```



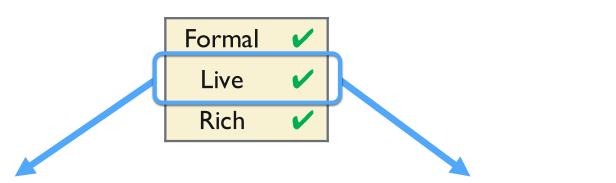
What about "two-sided"?



```
Theorem optimize_correct :
forall e,
eval (optimize e)
= eval e.
```

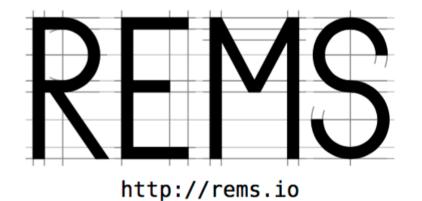
nice story does it scale??

Some recent "deep specifications"



rigorously <u>tested</u> specifications of existing real-world artifacts formally verified specifications of new artifacts

"live" = "exhaustively tested"...



"Rigorous Engineering of Mainstream Systems"

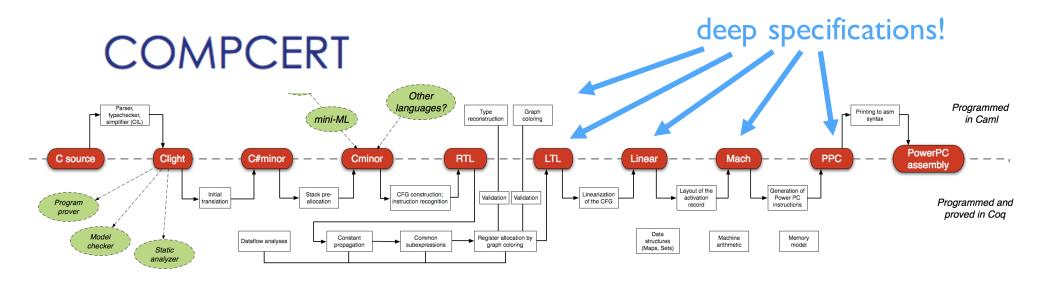
- Full-scale formal specifications of a range of critical interfaces
 - X86 instruction set
 - TCP protocol suite
 - Posix file system interface

- Weak memory consistency models for x86, ARM, PowerPC
- ISO C / C++ concurrency
- Elf loader format
- C language (Cerberus also see Krebbers, K semantics, …)

QuviQ Autosar

- Engineers at Quviq built an executable specification based on the 3000-page AutoSAR standard for automotive software components
- QuickCheck-based testing found >200 faults in AutoSAR Basic Software, including >100 inconsistencies in the standard

"live" = "verified"...



- Accepts most of ISO C 99
- Produces machine code for PowerPC, ARM, and IA32 (x86 32-bit) architectures
- 90% of the performance of GCC (v4, opt. level 1)
- Fully verified (at the source-code level)



COMPCERT

16%	8%	17%	53%	7%
Code	Sem.	Claims	Proof scripts	Misc

• 50,000 lines of Coq

- 8k code (~= 40k of C or Java)
- 42k specification and proof



Verification really works!

Regehr's Csmith project used random testing to assess all popular C compilers, and reported:

"The striking thing about our CompCert results is that the middleend bugs we found in all other compilers are absent. As of early 2011, the under-development version of CompCert is the only compiler we have tested for which Csmith cannot find wrong-code errors. This is not for lack of trying: we have devoted about six CPU-years to the task. The apparent unbreakability of CompCert supports a strong argument that developing compiler optimizations within a proof framework, where safety checks are explicit and machinechecked, has tangible benefits for compiler users."

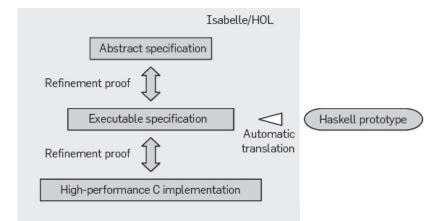


John Regehr Univ. of Utah



- Verified compiler from a substantial subset of Standard ML to x86-64 machine code (ARM, MIPS, and RISC-V are anticipated)
- Bootstrapped!
 - The compiler itself is implemented in CakeML, so its executable is guaranteed to implement the compilation algorithm described by its source code
- Correctness proofs use validated ISA models for machine code
- Goal is to implement a proof assistant in CakeML and use it to verify CakeML's own correctness proof
 - TinyTCB!!





- Real-world operating-system kernel with an end-to-end proof of implementation correctness and security enforcement
- Verified down to machine code



Ironclad

 Ironclad Apps: verifying the security of a complete software stack

> User can securely transmit her data to a remote machine with the guarantee that every instruction executed on that machine adheres to a formal abstract specification of the app's behavior.

 IronFleet: verifying safety and liveness of distributed systems







Verified Secure Implementations of the HTTPS Ecosystem

- Ongoing project aiming to build and deploy a verified HTTPS stack
- drop-in replacement for the HTTPS library in mainstream web browsers, servers, etc.







Certified OS Kernels

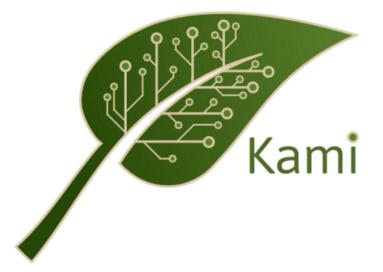
Clean-slate design with end-to-end guarantees on extensibility, security, and resilience. Without Zero-Day Kernel Vulnerabilities.

Layered Approach

Divides a complex system into multiple certifeid abstraction layers, which are deep specifications of their underlying implementations.

Languages and Tools

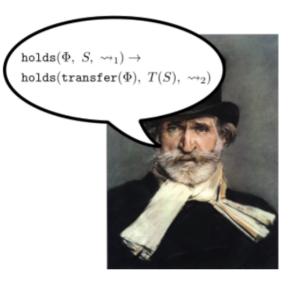
New formal methods, languages, compilers and other tools for developing, checking, and automating specs and proofs.



- Coq framework for implementing, specifying, verifying, and compiling Bluespec-style hardware components.
- E.g., a RISC-V implementation (w 4-stage pipeline), fully verified down to RTL

Verdi

- Framework for implementing and formally verifying distributed systems
 - E.g. verified implementation of the Raft distributed consensus protocol
- Verified system transformers encapsulate common fault tolerance techniques
 - Developers verify an application in an idealized fault model, then apply a VST to obtain an application with analogous properties in a more adversarial environment



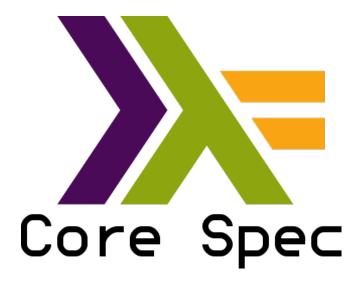


- The Vellvm project has built a formal specification of the intermediate representation used by the popular LLVM compiler.
- This spec has been used to build verified compiler transformations that can be plugged into LLVM.
 Their performance is competitive with unverified transformations.
- The specification has been validated against the LLVM test suite.





- Certified compiler from Coq to C
 - and then, via CompCert, to assembly
- (in progress)

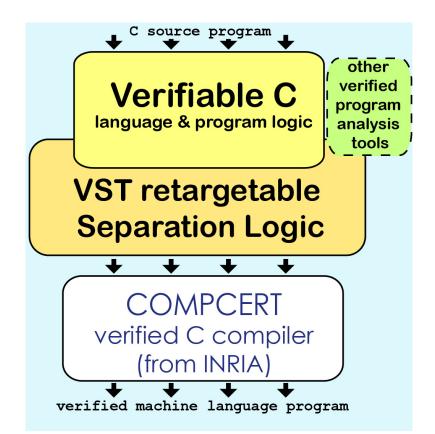


Haskell CoreSpec is an ongoing effort to formally specify the core intermediate language of the GHC compiler and verify key compiler passes





- C verification framework based on higher-order separation logic in Coq
- Verified implementations of OpenSSL-HMAC and SHA-256
- working on additional cryto primitives (HMAC-based Deterministic Random Byte Generation, AES), parts of TweetNaCL



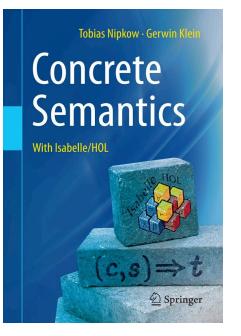
Verified Textbooks!

Software Foundations

Benjamin C. Pierce Arthur Azevedo de Amorim Chris Casinghino Marco Gaboardi Michael Greenberg Cătălin Hriţcu Vilhelm Sjöberg Brent Yorgey

with Loris D'Antoni, Andrew W. Appel, Arthur Chargueraud, Anthony Cowley, Jeffrey Foster, Dmitri Garbuzov, Michael Hicks, Ranjit Jhala, Greg Morrisett, Jennifer Paykin, Mukund Raghothaman, Chung-chieh Shan, Leonid Spesivtsev, Andrew Tolmach, Stephanie Weirich, and Steve Zdancewic

Table of Contents Roadmap Download
Version 4.0 (May, 2016)



Certified Programming with Dependent Types

Pragmatic Introduction to the Coq Proof Assistant

Adam Chlipala



And more!

- Bedrock system
- Ur/Web compiler
- CompCert TSO compiler
- CompCert static analysis tools
- Jitk and Data6 verified filesystems
- Verified Fscq from MIT
- •

Why now?

Urgent need for increased confidence + Diminishing value of "paper proofs" + Progress on enabling technologies

Enabling Technologies

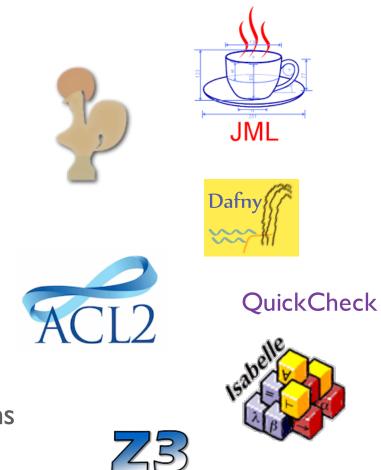
Better theory

- Operational semantics, etc.
- Domain-specific logics
 - E.g. Separation logic

Enabling Technologies

Better tools

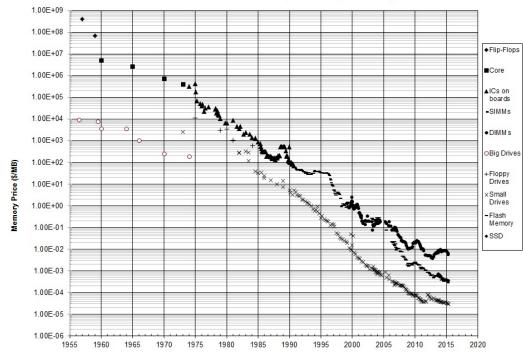
- Proof assistants
 - Coq, Isabelle, ACL2, Twelf, HOL-light, ...
- Testing tools and methodologies
 - QuickCheck, QuickChick, ...
- DSLs for writing specifications
 - OTT, Lem, Redex, ...
- Languages with integrated specifications
 - Dafny, Boogie, JML, F*, Liquid Types, Verilog PSL, Dependent Haskell, ...





Enabling Technologies

Faster hardware also helps!



Historical Cost of Computer Memory and Storage

What next?





Andrew Appel Princeton

Adam Chlipala



The Science

of Deep Specification

Yours truly University of Pennsylvania



Zhong Shao _{Yale}





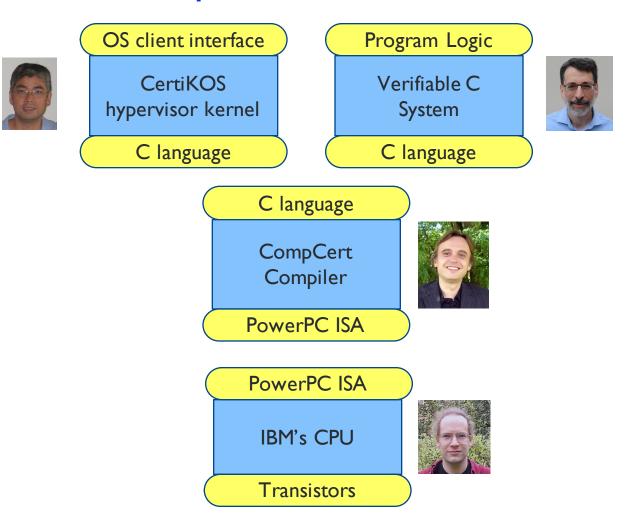
Steve Zdancewic University of Pennsylvania

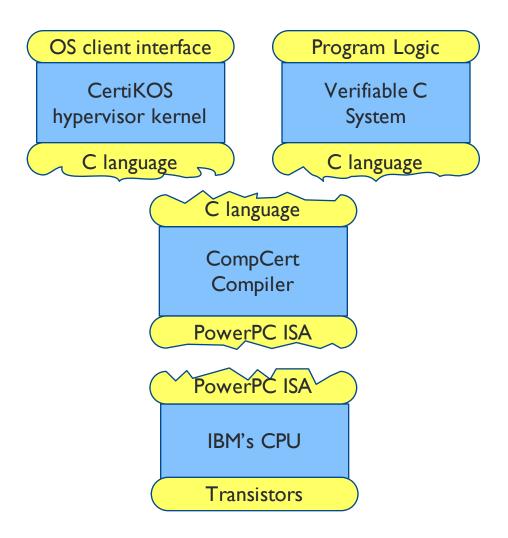


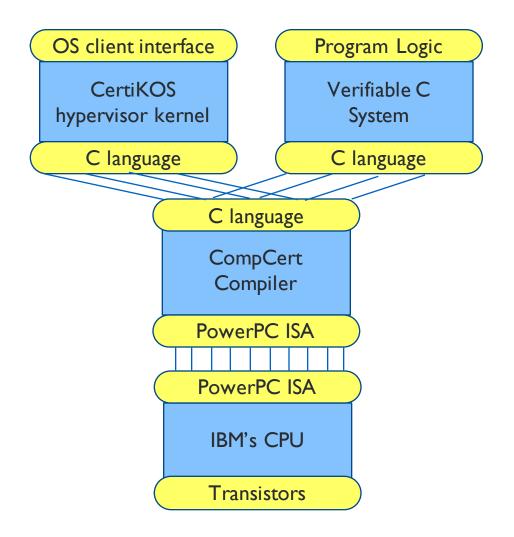
Stephanie Weirich University of Pennsylvania

Goal: Move from one-off success stories to sustainable engineering practice at industrially relevant scale

Lessons from CompCert

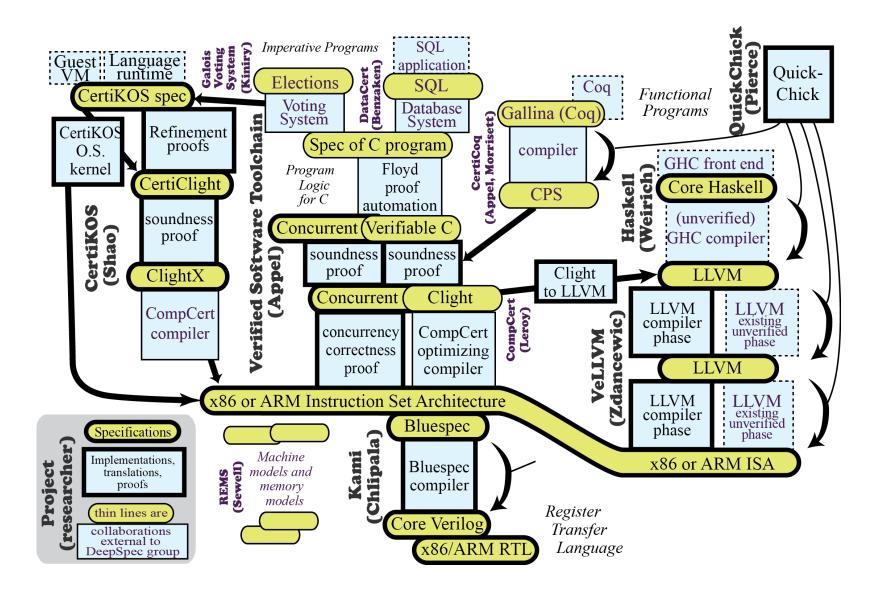






Research threads

Fiat/Kami	RISC-V implementation, verified down to RTL
CertiCoq	Verified Gallina-to-CompCert-C compiler
CertiKOS	Verified OS / hypervisor
VST	Verified Software Toolchain for C
Vellvm	Verified LLVM
Core Haskell	Formal model of GHC core
QuickChick	Specification-based random testing in Coq



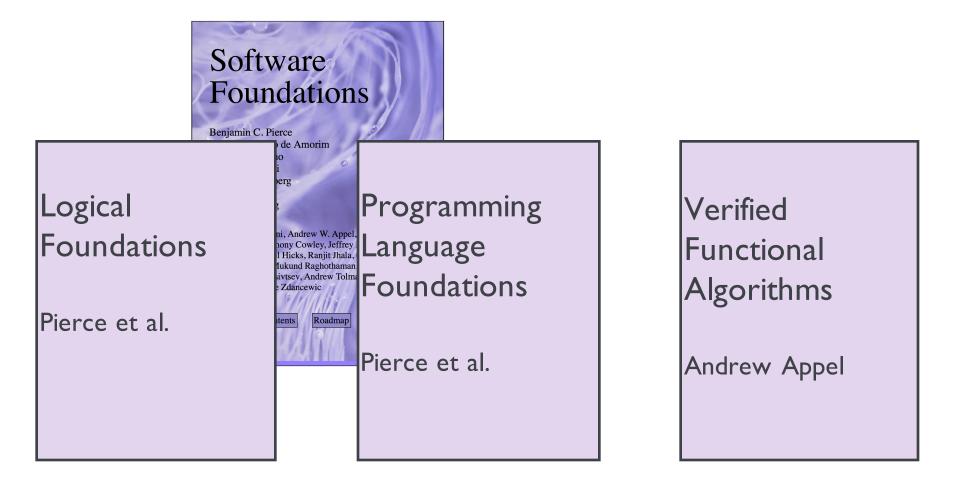
Course design

• Undergrad

- Drop-in replacements for standard compiler and OS courses
- Built around pedagogical versions of Vellvm and CertiKOS
- Students will learn to read and interact with specifications (but not proofs)
- Code connected to specifications via random testing

Grad

 New course on formally specifying and verifying systems software and hardware

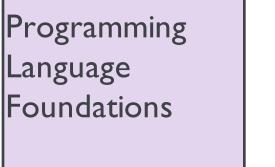


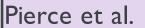
Late 2016

Early 2017

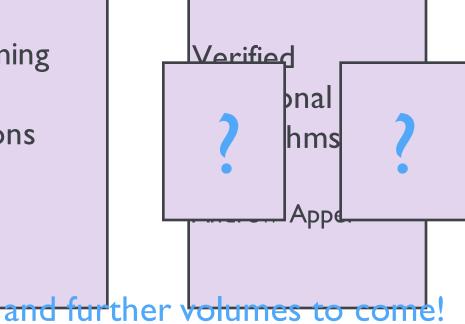


Pierce et al.





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Summer schools

(July 13-28, 2017, in Philadelphia)

Technical workshops

(one last spring; several more to follow)

visitors program

PhD and postdoc positions

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