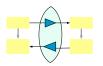
# Linguistic Foundations for Bidirectional Transformations

Benjamin Pierce University of Pennsylvania Invited tutorial, PODS 2012







#### ► The world is full of replicated data



- The world is full of replicated data
- ... that is subject to updates



- The world is full of replicated data
- ... that is subject to updates
- $\blacktriangleright$  ... that then need to be propagated to other replicas



- Things get more interesting when different replicas can have different schemas
  - ... or even different data models



 ... and even more interesting when some of the information in one structure is not reflected in the other



 ... and yet more interesting when some information in each structure is not reflected in the other

# Connections to PODS

- View update problem [Dayal, Bernstein '82, Bancilhon, Spryatos '81, Gottlob, Paolini, Zicari '88, etc., etc., etc.]
- Inverse mappings in Data Exchange
- Federated databases

► ...





an in-memory heap structure

its marshalled disk representation



an in-memory heap structure a text pane in a GUI its marshalled disk representation the scroll bar for this text pane



an in-memory heap structure a text pane in a GUI a relational schema its marshalled disk representation the scroll bar for this text pane an ER diagram of the same schema



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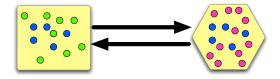
ware system

its marshalled disk representation the scroll bar for this text pane an ER diagram of the same schema an implementation model of the same system

So the question is...

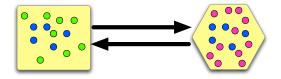
What is a good way to program bidirectional transformations?

Standard way of building a bidirectional transformation: Write two functions, each propagating updates in one direction.



- + Uses standard technology
- + Works fine for simple transformations

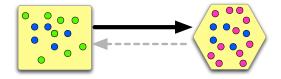
Standard way of building a bidirectional transformation: Write two functions, each propagating updates in one direction.



- + Uses standard technology
- + Works fine for simple transformations
- Scales badly
- Maintenance nightmare

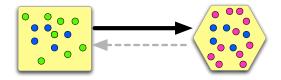
### A Better Idea

Write one function in some familiar programming language and infer the other



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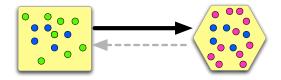


But:

- In general, many possible translations of a given update
- Choosing a "best" one is hard

### A Better Idea

Write one function in some familiar programming language and infer the other



But:

- In general, many possible translations of a given update
- Choosing a "best" one is hard
  - ▶ indeed, even NP-hard! [Buneman/Khanna/Tan 2002]
  - …and can involve fragile heuristics

Design a new language in which every program is naturally bidirectional!

Many instances of this idea...

- ad hoc libraries and tools (marshallers/unmarshallers, parsers/prettyprinters, ...)
- bidirectional variants of standard languages (XQuery, UnQL, relational algebra, ...)
- domain-specific bidirectional languages
  - "coupled grammars" (XSugar, biXid, TGGs, ...)
  - combinator-based (lenses) (Focal, Boomerang, Augeas,

# Key Questions

#### Semantics:

- What is the fundamental shape of a bidirectional transformation?
  - Are the inputs and outputs states or edits?
  - Are extra context inputs needed to restore missing information?
- What laws do we expect it to satisfy?
- What properties follow from the laws? What sorts of generic constructions are possible? (E.g., composition)

#### Syntax:

What is a good bidirectional notation for transformations over a specific data model?

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Syntax:

challenging!

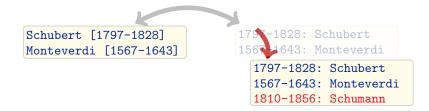
- What is a good bidirectional notation for transformations over a specific data model?
  - Tension between expressiveness and well-behavedness

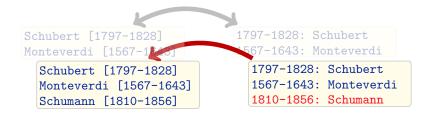
# Outline

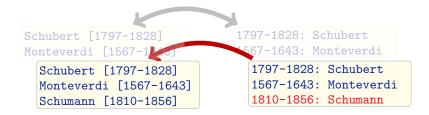
- Extended example
  - Bijective transformations over strings
- The non-bijective case
- Alignment and edits
- Other data models
- Challenges

Leaving math in the background... Feel free to ask questions... Extended Example: Bijective Transformations on Strings

Schubert [1797-1828] Monteverdi [1567-1643] 1797-1828: Schubert 1567-1643: Monteverdi







### Overview

Semantics:

- Fundamental shape? a pair of total functions
  - inputs and outputs states or edits? states
  - extra context inputs needed? no
- What laws do we expect it to satisfy? bijectivity
- What sorts of generic constructions are possible? e.g., composition

Syntax:

- Data model: strings
- Schemas: regular expressions
- Primitives based on finite-state transducers

### Data Model: Strings

Not a lot to say.

### Schemas: Regular Expressions

Standard notations:

R	::=	"string"	singleton
		$R_1 \cdot R_2$	concatenation
		<i>R</i> *	repetition
		$R_1 \mid R_2$	union

Each regular expression denotes a set of strings.

Schema with composers first:

```
(ALPHA . " [" . DATE . "]\n")*
```

Schema with dates first:

(DATE . ": " . ALPHA . "]\n")\*

where ...

ALPHA = ("a"|...|"z"|"A"|...|"Z")\* DATE = ("0"|...|"9"|"-")\*

# String Transducers

Starting point for our bijective language:

- simple form of (unidirectional!) string transducers
- reminiscent of finite-state transducers

# String Transducers

f ::=	$\begin{array}{l} \textbf{copy } R \\ del \ R \\ r \Rightarrow s \\ f_1 \cdot f_2 \\ f_1 \mid f_2 \end{array}$	recognize <i>R</i> and copy recognize <i>R</i> and emit recognize (singleton) <i>r</i> concatenation union	nothing
Schub	$f^*$ $f_1 \sim f_2$	repetition swapping concatenatio <i>copy</i> <b>ALPHA</b>	Schubert

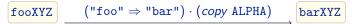
f ::= copy Rdel R $r \Rightarrow s$  $f_1 \cdot f_2$  $f_1 \mid f_2$  $f^*$  $f_1 \sim f_2$ 

recognize *R* and copy it recognize *R* and emit nothing recognize (singleton) *r* and emit *s* concatenation union repetition swapping concatenation

Schubert	del ALPHA	

f ::= copy R del R r ⇒ s	recognize <i>R</i> and copy it recognize <i>R</i> and emit nothing recognize (singleton) <i>r</i> and emit <i>s</i>
$\begin{array}{c}f_1 \cdot f_2\\f_1 \mid f_2\\f^*\end{array}$	concatenation union repetition
$f_1 \sim f_2$	swapping concatenation
foo	"foo" $\Rightarrow$ "bar"

f ::=	copy R del R r $\Rightarrow$ s	recognize <i>R</i> and copy it recognize <i>R</i> and emit nothing recognize (singleton) <i>r</i> and emit <i>s</i>
	$f_1 \cdot f_2$	concatenation
	$f_1 \mid f_2$	union
	$f^*$	repetition
	$f_1 \sim f_2$	swapping concatenation



f ::=	$copy R$ $del R$ $r \Rightarrow s$ $f_1 \cdot f_2$ $f_1 \mid f_2$ $f^*$ $f_1 \sim f_2$	recognize <i>R</i> and copy it recognize <i>R</i> and emit nothing recognize (singleton) <i>r</i> and emit <i>s</i> concatenation <b>union</b> repetition swapping concatenation
		$" \Rightarrow "B")   ("B" \Rightarrow "A") \qquad B$

<b>f</b> :	:= copy R del R	recognize <i>R</i> and copy it recognize <i>R</i> and emit nothing
	$r \Rightarrow s$	recognize (singleton) $r$ and emit $s$
	$f_1 \cdot f_2$	concatenation
	$f_1 \mid f_2$	union
	<b>f</b> *	repetition
	$f_1 \sim f_2$	swapping concatenation
	AAABA	$("A" \Rightarrow "B"   "B" \Rightarrow "A")^*$ BBBAB

f ::	$\begin{array}{rl} := & copy \ R \\ & del \ R \\ & r \Rightarrow s \\ & f_1 \cdot f_2 \\ & f_1 \mid f_2 \\ & f^* \end{array}$	recognize <i>R</i> and copy it recognize <i>R</i> and emit nothing recognize (singleton) <i>r</i> and emit <i>s</i> concatenation union repetition
	$f_1 \sim f_2$	swapping concatenation
$\texttt{fooXYZ}  (\texttt{"foo"} \Rightarrow \texttt{"bar"}) \sim (\textit{copy ALPHA})  (\texttt{XYZbar})$		



### Bidirectionalize!

Problem:

Deletion operator throws away information



• Cannot be part of a bijective transformation

Solution:

- Throw it away (it will come back later)
- N.b.: "Singleton deletion" is bijective

Problem:

 in general, union of two string transducers defines a relation, not a function

Problems:

- in general, union of two string transducers defines a relation, not a function
- indeed, even when the union of two string transducers is a function, it may not be injective

$$A \qquad ("A" \Rightarrow "B") | ("C" \Rightarrow "B") \qquad B$$

... in which case it can't be part of a bijective transformation

Problems:

- in general, union of two string transducers defines a relation, not a function
- indeed, even when the union of two string transducers is a function, it may not be injective

Solution:

 Use schemas to ensure that domains and ranges are disjoint

$$\begin{array}{rrrr} I_1 \ \in \ R_1 \rightleftharpoons S_1 & I_2 \ \in \ R_2 \rightleftharpoons S_2 \\ \hline R_1 \cap R_2 = \emptyset & S_1 \cap S_2 = \emptyset \\ \hline \hline I_1 \mid I_2 \ \in \ R_1 \mid R_2 \rightleftharpoons S_1 \mid S_2 \end{array}$$

Problem:

### ► In general, concatenation is not deterministic



 $(copy ALPHA) \cdot (del ALPHA)$ 

???

Problem:

### In general, concatenation is not deterministic

ABCD (copy ALPHA) · (del ALPHA)

???

Solution:

Schemas again...

$$\frac{l_1 \in R_1 \rightleftharpoons S_1 \qquad l_2 \in R_2 \rightleftharpoons S_2}{\frac{R_1 \cdot ! R_2}{l_1 \cdot l_2} \in R_1 \cdot R_2 \rightleftharpoons S_1 \cdot ! S_2}$$

i.e., every element of  $R_1 \cdot R_2$  can be formed in exactly one way by concatenating an element of  $R_1$  and an element of  $R_2$  (and similarly for  $S_1$  and  $S_2$ ) A bijective lens I between a set R and a set S, written

 $I \in R \rightleftharpoons S$ 

comprises two functions

 $\begin{array}{rrrr} I^{\rightarrow} & \in & R \rightarrow S \\ I^{\leftarrow} & \in & S \rightarrow R \end{array}$ 

where  $I^{\rightarrow}$  and  $I^{\leftarrow}$  are inverses:

$$egin{array}{rcl} l^\leftarrow & (l^
ightarrow r) &=& r \ l^
ightarrow & (l^\leftarrow s) &=& s \end{array}$$

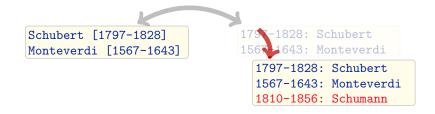
## Typing Rules

copy  $R \in R \rightleftharpoons R$ "s"  $\Leftrightarrow$  "t"  $\in$  "s"  $\Rightarrow$  "t"  $l_1 \in R_1 \rightleftharpoons S_1$   $l_2 \in R_2 \rightleftharpoons S_2$   $R_1 \cdot R_2 = S_1 \cdot S_2$  $l_1 \cdot l_2 \in R_1 \cdot R_2 \rightleftharpoons S_1 \cdot S_2$  $I \in R \rightleftharpoons S \qquad R^{*!} \qquad S^{*!}$  $I^* \in S^* \Longrightarrow R^*$  $l_1 \in R_1 \rightleftharpoons S_1$   $l_2 \in R_2 \rightleftharpoons S_2$   $R_1 \cap R_2 = \emptyset$   $S_1 \cap S_2 = \emptyset$  $l_1 \mid l_2 \in R_1 \mid R_2 \rightleftharpoons S_1 \mid S_2$  $l_1 \in R_1 \rightleftharpoons S_1$   $l_2 \in R_2 \rightleftharpoons S_2$   $R_1 \cdot R_2 = S_1 \cdot S_2$  $l_1 \Leftrightarrow l_2 \in R_1 \cdot R_2 \rightleftharpoons S_2 \cdot S_1$ 

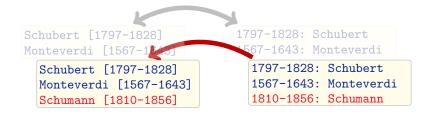
Theorem: If  $l \in R \rightleftharpoons S$  according to the typing rules, then l is a bijective lens between R and S.

Schubert [1797-1828] Monteverdi [1567-1643] 1797-1828: Schubert 1567-1643: Monteverdi

### ((copy ALPHA) ~ (" ["<=>"" . copy DATE . "]"<=>": ") . copy "\n") \*



((copy ALPHA) ~ (" ["<=>"" . copy DATE . "]"<=>": ") . copy "\n") \*



((copy ALPHA) ~ (" ["<=>"" . copy DATE . "]"<=>": ") . copy "\n") \* We've defined a small but complete bidirectional language...

- standard data model
- standard schema language (with a couple of unusual operations)
- bidirectional combinators
  - ► each atomic form denotes a bijective pair of functions: a "bijective lens" (copy, ⇔)
  - each combining form maps lenses to lenses (concat, union, kleene star, swapping concat)
- some "expected" combinators don't make sense (delete)
- schemas used to restrict to well-behaved cases
  - type soundness theorem

# The Non-Bijective Case

## Asymmetric vs. Symmetric

► Asymmetric



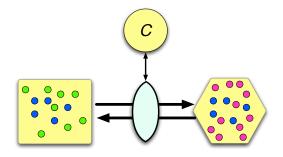
- One direction loses information
- Example: A database and a materialized view
- Classic view update problem
- ► Symmetric



- Both directions lose information
- Example: Two models of different aspects of a software system

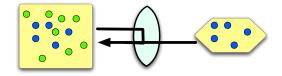
### Complements

If information is lost in one direction, it must be restored from someplace in the other direction!



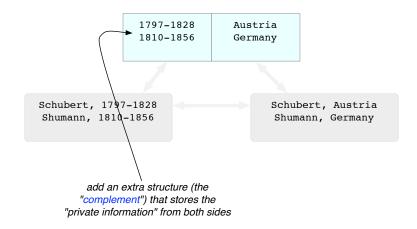
### Complements

In the asymmetric case, the larger structure can also serve as the complement





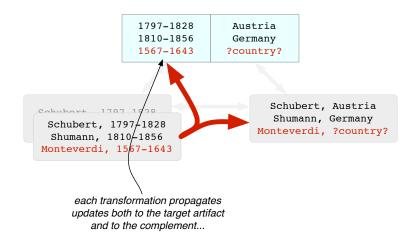
Let's consider the symmetric case...

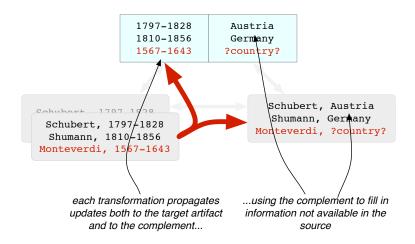


1797-1828	Austria
1810-1856	Germany



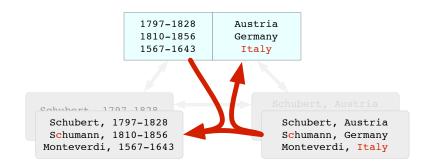
Schubert, Austria Shumann, Germany





1797-1828	Austria
1810-1856	Germany
1567-1643	?country?





### Formally...

A symmetric lens I between a set R and a set S comprises a complement set C with a distinguished element *missing*, together with two functions

 $\begin{array}{rcl} I^{\rightarrow} & \in & R \times C \rightarrow S \times C \\ I^{\leftarrow} & \in & S \times C \rightarrow R \times C \end{array}$ 

where

$$\frac{I^{\rightarrow}(r,c) = (s',c')}{I^{\leftarrow}(s',c') = (r,c')}$$
$$\frac{I^{\leftarrow}(s,c) = (r',c')}{I^{\rightarrow}(r',c') = (s,c')}$$

N.b.: Other laws can be considered — e.g., a "Put-Put" law

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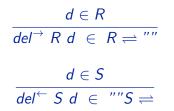
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$$\frac{l^{\leftarrow}(s,c) = (r',c')}{l^{\rightarrow}(r',c') = (s,c')}$$
ditto

N.b.: Other laws can be considered — e.g., a "Put-Put" law

- We've dropped the requirement that transformations be injective
- ... so we can have the *del* operator back again!
- Indeed, since we're in a symmetric setting, we can have <u>two</u> delete operators
  - $del^{\rightarrow}$  ("delete when going left to right")
  - *del*<sup>←</sup> ("delete when going right to left")



```
composers =
  ( copy ALPHA .
   ", " <=> ", " .
   // delete dates in -> direction
   del-> ALPHA "?dates?" .
   // delete country in <- direction
   del<- ALPHA "?country?" .
   "\n" <=> "\n" )*
```

The assumption that  $I^{\rightarrow}$  and  $I^{\leftarrow}$  are total functions is quite strong:

It means that our update translators must be able to handle any update, with respect to any complement

Can we relax this restriction?

The assumption that  $I^{\rightarrow}$  and  $I^{\leftarrow}$  are total functions is quite strong:

It means that our update translators must be able to handle any update, with respect to any complement

Can we relax this restriction?

Depends on the application!

# Totality

- If our lenses are being used in an on-line setting, where edits are propagated immediately, totality can be dropped
  - ... but dropping it leads to theories where it's hard to predict which edits will succeed
- In an off-line setting, arbitrary changes can accumulate before we get a chance to propagate them
  - ... so totality is critical

A particular case in point:

- It would be useful to have a *duplicate* combinator that (in one direction) makes two copies of its input on its output
- But how should the other direction behave?
  - If one of the copies is changed and the other is not, what should happen??

This operator is the source of a deep split among different bidirectional transformation frameworks

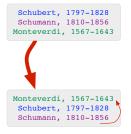
- Some choose *duplicate*
- Some choose totality
- (Can't have both)

# Alignment and Edits

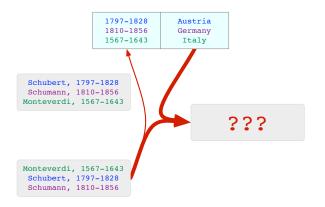
Depending on the data model, representing the alignment of structures before and after updates can raise additional challenges...

- unordered data (sets, tables, etc.): straightforward (align by keys)
- ► ordered data (lists, documents): more problematic

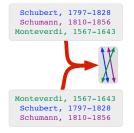
Austria
Germany
Italy



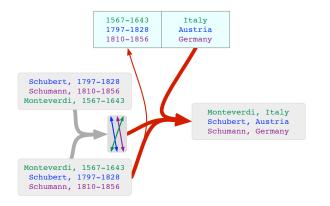
Schubert, Austria Schumann, Germany Monteverdi, Italy

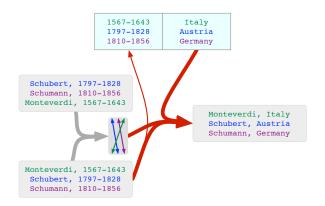


1797-1828	Austria
1810-1856	Germany
1567-1643	Italy



Schubert, Austria Schumann, Germany Monteverdi, Italy





How to represent this alignment information?

- 1. As a separate structure, passed to the lens along with the current state ("matching lenses")
- 2. As an edit on the current state

Schubert, 1797-1828	Schubert, Austria
Shumann, 1810-1856	Shumann, Germany

(a) initial replicas

ins(3); mod(3, ("Monteverdi", "1567-1643"))



(b) a new composer is added to one replica



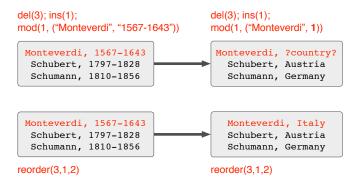
#### (c) the lens adds the new composer to the other replica



#### (d) the curator makes some corrections



#### (e) the lens transports a small edit



(f) two different edits with the same effect on the left

### Formally...

Roughly:

- Endpoints of a lens are modules (not just sets):
  - A set of states
  - A monoid of edits
  - An action of edits on states
- ► A lens between *R* and *S* is a pair of module homomorphisms

See POPL 2012 paper for details...

# Other Data Models

### Other Data Models

- In practice, lenses over strings have been the most used so far
  - e.g., Augeas configuration management tool from RedHat
- But there has been substantial work on other data models...

## Algebraic Data Structures

- Our bidirectional language over strings is essentially a way of describing (all in the same expression!)
  - two string parsers / unparsers that map strings to algebraic structures built up from singletons, products, disjoint unions, and sequences
  - ... plus a bidirectional transform on the underlying algebraic structures
- Alternative: Ignore the string concrete syntax and just define transformations between algebraic structures
  - Basis for study of lenses from a category-theoretic viewpoint (details in POPL 2011 paper)
  - Common example: in-memory data structures (as in lens libraries for Haskell and Scala)

### Relations

- A language of asymmetric bidirectional transformations can be built using familiar relational operators as models for the primitives
  - dropping some relational operators
  - refining others
- Precise schemas help restrict to cases where bidirectionality makes sense
  - "Tree-shaped functional dependencies"

See PODS 2006 paper for more details; also see related work on Prism, Prima, Guava, ...

#### Trees

- Well-studied area
  - Many proposals
  - Serious examples
- Current state of the art not fully satisfactory
  - Some proposals invent ad-hoc combinators, making it hard to gauge expressiveness
  - Others are based on standard tree-transformation languages, but either give up totality or weaken lens laws
- Challenge: Find a total, law-abiding bidirectional variant of some standard notation for tree transduction

# Graphs

- Biggest current challenge (IMHO): Syntax for bidirectional graph transformation
- Small amount of work in this direction so far
  - Bidirectional variant of UnQL (dropping totality, and not fully dealing with node identity)
  - Recent proposal based on triple-graph-grammars (TGGs)
- A good solution would be very useful, e.g., for bidirectional transformation of software models

# Wrapping Up...

# Take-Away Thoughts

- Bidirectionalizing programs in existing languages is hard
  - alternative is to build new languages that are naturally bidirectional
- Behavioral laws and totality are strong constraints
  - fundamental tension between expressiveness and well-behavedness
  - precise schemas help balance this tension
- Semantic frameworks are interesting
- ... but the hardest problems are syntactic
  - i.e., designing bidirectional combinators over specific data models and schema languages

#### Resources

- Terwilliger, Cleve, and Curino, How Clean Is Your Sandbox? Towards a Unified Theoretical Framework for Incremental Bidirectional Transformations (Invited talk/paper at ICMT 2012)
- International Workshop on Bidirectional Transformations (BX 2012 and 2013)
- Report from Dagstuhl workshop on Bidirectional Transformations (Dagstuhl Seminar 11031, 2011)
- Bidirectional Transformations: A Cross-Discipline Perspective (Report from GRACE Workshop, 2008)

## Thank You!

Aaron Bohannon, Davi Barbosa, Ravi Chugh, Julien Cretin, Malo Denielou, <u>Nate Foster</u>, Michael Greenberg, Michael Greenwald, <u>Martin Hofmann</u>, Owen Gunden, Sanjeev Khanna, Keshav Kunal, Stéphane Lescuyer, Jon Moore, <u>Benjamin C. Pierce</u>, Alexandre Pilkiewicz, <u>Alan Schmitt</u>, Jeff Vaughan, Daniel Wagner, Zhe Yang

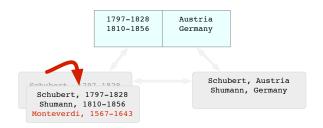


http://www.cis.upenn.edu/~bcpierce/

# Extra Slides

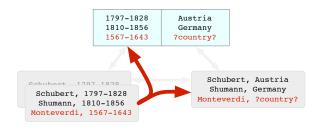


### Creation



- In the composers example, the top-level lens has the form composers = composer\*
- Since there is no entry in C for Monteverdi initially, the composers lens needs to call the composer sublens with just the S argument.
- ► One simple way to allow this is to assume that each lens specifies a distinguished default element missing ∈ C

### Creation



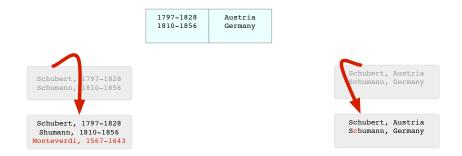
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# Synchronization

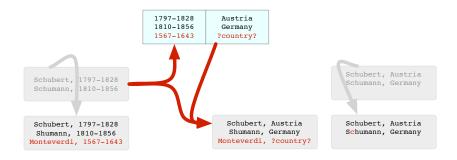
So far, we've assumed that only one structure at a time can be modified

To handle the case where *both* structures can be edited between propagating updates, we need to add synchronization to our story...

# Synchronization

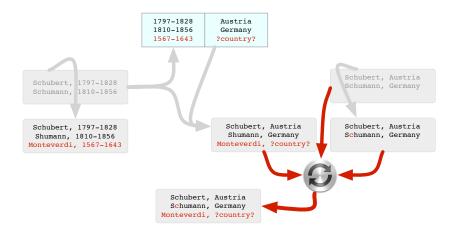


# Synchronization



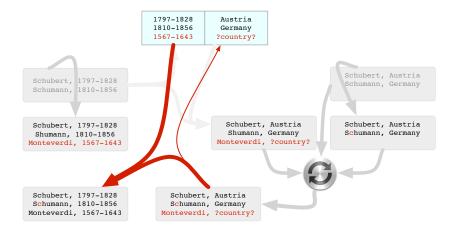
Step 1: Propagate edit from left to right with respect to existing complement (i.e., using the private information from the original right-hand structure)

## Synchronization



Step 2: Combine ("synchronize") result with edited right-hand structure to obtain new right-hand structure

## Synchronization



Step 3: Propagate new right-hand structure to left; everything is now up to date

# **Inessential Information**

### Dealing With "Inessential Information"

- The round-tripping laws we've imposed are attractive for both language designers and programmers
- However, writing lenses in practice, one quickly discovers that they are a bit too strong
  - Most real-world structures include "inessential information" that should be preserved when possible but that can be changed if necessary
    - whitespace, diagram layout, order of rows in tables, etc.
  - Need to loosen the lens laws just a little so that they hold "up to changes in inessential information"
- ► An "obvious" idea, but takes some work to carry through
- Essential in practice

Our ICFP 2008 paper develops a semantic theory and syntactic constructs for "quotient lenses" that embody this idea.

Controlling Alignment Heuristics We also need to enrich the syntax a little so the programmer can tell the aligner

- 1. where are the alignable chunks
- 2. what are their keys

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```
composers =
  ( copy ALPHA .
   ", " <=> ", " .
   del-> ALPHA "?dates?" .
   del<- ALPHA "?country?" .
   "\n" <=> "\n" )*
```

We also need to enrich the syntax a little so the programmer can tell the aligner

- 1. where are the alignable chunks
- 2. what are their keys

#### Separation of Concerns

- 1. Alignment is a global matter
- 2. Alignment algorithms are complicated and messy
  - Often heuristic
  - Different kinds of alignment are useful for different data
    - "bushy" (for "table-like" structures with keys)
    - "diffy" (for "document-like" structures without keys)
    - positional
    - etc.?

To keep the theory (and implementation) clean, separate finding the alignment from using the alignment to translate updates.

Splittability

#### Footnote: Unique Splittability

The unique splittability conditions ( $\cdot^!$  and !\*) are strong!

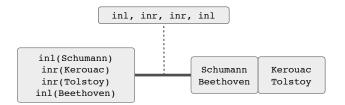
- Not easy to check efficiently, even for regular expressions
- Can be annoying for programmers

But they are fundamental:

- We want to know that  $l_1 \cdot l_2$  is a bijective lens
- We're using a type system (i.e., a compositional static analysis) to check this automatically
- So we need to be able to prove that l₁ · l₂ is a bijective lens, knowing only that l₁ and l₂ are
- This simply isn't true without the unique splittability restriction

# Edit Lenses With Complements

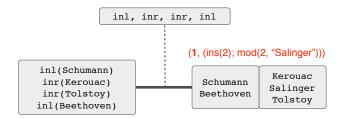
## Edit Lenses (With Complements)



(a) initial replicas:

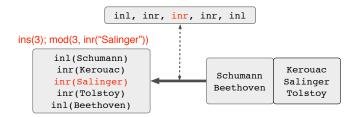
- a tagged list of composers and authors on the left
- a pair of lists on the right
- a complement storing just the tags

## Edit Lenses (With Complements)



(b) an element is added to one of the partitions

## Edit Lenses (With Complements)



(c) the complement tells how to translate the index