## CSE399: Advanced Programming

## Handout 22

## The Lambda Calculus

## The Lambda-Calculus

- A model of computation with functions invited by Alonzo Church and his co-workers in the 1930s. (l.e., pre-ENIAC!)
- Formally equivalent in power to Turing machines, Post Correspondence Problem, etc.
- The e. coli of programming language and compiler research
- Foundation of many real-world programming languages, including Haskell, OCaml, SML, Scheme, Lisp, ...


## The Pure Lambda-Calculus

The expressions of the pure lambda-calculus are...

$$
\begin{array}{lll}
\mathrm{x} & & \text { variable } \\
\backslash \mathrm{x}-> & \text { abstraction } \\
\mathrm{t} 1 & \text { t2 } & \text { application }
\end{array}
$$

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| :--- | :--- | :--- |
| $\backslash x \rightarrow$ | $t$ | abstraction |
| $t 1$ | t2 | application |

... and that's all! No let-bindings, recursion, numbers, booleans, conditionals, pattern matching, etc., etc.

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In this language, everything is a function.

- Variables always denote functions
- Functions always take other functions as parameters
- The result of a function is always a function


## Encoding Let-Bindings

What's interesting about the lambda-calculus is that, even after we have thrown away all these useful features, we still have an extremely rich and powerful language.
One way to see this is to show how the features that we've removed can be simulated using just functions.

Simple example: Instead of

$$
\text { let } \mathrm{x}=\mathrm{s} \text { in } \mathrm{t}
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we can write

$$
(\backslash x ~->~ t) s,
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which has the same effect.
l.e., we can regard let as "just syntactic sugar" for a certain idiom involving function abstraction and application.

## Formalities

We'll see many more of these encodings in the rest of the lecture.

But first, let's pause to clarify precisely how computation in the lambda-calculus works.

## Formalities: Scoping

The abstraction term $\backslash \mathrm{x} \rightarrow \mathrm{t}$ binds the variable x .
The scope of this binding is the body $t$.
Occurrences of $x$ inside $t$ are said to be bound by the abstraction.
Occurrences of $x$ that are not within the scope of an abstraction binding $x$ are said to be free.

$$
\begin{aligned}
& \backslash x->\backslash y->x y z \\
& \backslash x \rightarrow(\backslash y . z \text { y) y }
\end{aligned}
$$

Formalities: Substitution

We write $[\mathrm{x} \mapsto \mathrm{s}] \mathrm{t}$ for the substitution of the term s for free occurrences of the variable x in the term t .

$$
\begin{gathered}
{[\mathrm{x} \mapsto(\backslash \mathrm{y}->\mathrm{y})](\backslash \mathrm{z}->\mathrm{z} y \mathrm{x})} \\
{[\mathrm{x} \mapsto(\backslash \mathrm{y}->\mathrm{y})](\backslash \mathrm{z}->\mathrm{z}(\backslash \mathrm{x}->\mathrm{x} z) \mathrm{x})}
\end{gathered}
$$

## Formalities: Reduction

A primitive step of computation (known as beta-reduction or just reduction) involves substituting an argument for the bound variable in an adjacent abstraction:

$$
(\backslash x \rightarrow t) s \quad \longrightarrow \quad[x \mapsto s] t
$$

## Formalities: Reduction

A primitive step of computation (known as beta-reduction or just reduction) involves substituting an argument for the bound variable in an adjacent abstraction:

$$
(\backslash x->t) s \quad \longrightarrow \quad[x \mapsto s] t
$$

Write $\longrightarrow^{*}$ for the reflexive, transitive closure of the reduction relation-that is, $s \longrightarrow^{*} t$ if there is a sequence of zero or more single-step reductions leading from $s$ to $t$.

## Encoding Booleans

```
true = \t -> \f -> t
false = \t -> \f -> f
```

It is easy to calculate that, for any arguments a and b,

$$
\begin{aligned}
& \text { true } \mathrm{m} \mathrm{n} \longrightarrow{ }^{*} \mathrm{~m} \\
& \text { false } \mathrm{m} \mathrm{n} \longrightarrow{ }^{*} \mathrm{n}
\end{aligned}
$$

So, instead of if $b$ then $m$ else $n$ we can simply write $b \mathrm{~m} \mathrm{n}$.

## Computing with Booleans

$$
\text { not }=\backslash b->b \text { false true }
$$

That is, not is a function that, given a boolean value v , returns false if $v$ is true and true if $v$ is false.

## Encoding Pairs

$$
\begin{aligned}
& \text { pair }=\backslash f->\backslash s->\backslash b->b \text { f } s \\
& \text { fst }=\backslash p->p \text { true } \\
& \text { snd }=\backslash p->p \text { false }
\end{aligned}
$$

That is, pair v w is a function that, when applied to a boolean value $b$, applies $b$ to $v$ and $w$.
By the definition of booleans, this application yields $v$ if $b$ is true and w if $b$ is false, so the first and second projection functions fst and snd can be implemented simply by supplying the appropriate boolean as an argument to the pair itself.

## Encoding Numbers

Idea: represent the number n by a function that "repeats some action $n$ times."

$$
\begin{aligned}
& c 0=\backslash s->\backslash z->z \\
& c 1=\backslash s->\backslash z->s z \\
& c 2=\backslash s->\backslash z->s(s \quad z) \\
& c 3=\backslash s \rightarrow \backslash z->s\left(s \quad\left(\begin{array}{l}
s \\
c
\end{array}=\right)\right)
\end{aligned}
$$

That is, each number $n$ is represented by a term cn that takes two arguments, s and $z$ (for "successor" and "zero"), and applies $\mathrm{s}, \mathrm{n}$ times, to z .

## Computing with Numbers

## -- Successor

succ = \n ->

$$
\backslash s->\backslash z->s(n s z)
$$

-- Addition

$$
\begin{aligned}
\text { plus }=\backslash m ~ & \text {-> } \backslash \mathrm{n}-> \\
& \backslash \mathrm{s} \rightarrow>\text { z }->\mathrm{m} \text { s (n s z) }
\end{aligned}
$$

-- Multiplication
times $=\backslash \mathrm{m}->$ \n ->
m (plus n) c0
-- Zero test
iszero = \m ->
m (\x -> false) true

## Computing with Numbers

```
-- Successor
succ = \n ->
    \s -> \z -> s (n s z)
-- Addition
plus = \m -> \n ->
    \s -> \z -> m s (n s z)
-- Multiplication
times = \m -> \n ->
    m (plus n) c0
-- Zero test
iszero = \m ->
                        m (\x -> false) true
```

What about predecessor???

## Predecessor

$$
\begin{aligned}
& \mathrm{zz}=\text { pair c0 c0 } \\
& \mathrm{ss}=\backslash \mathrm{p}->\text { pair (snd p) (succ (snd p)) } \\
& \text { pred }=\backslash \mathrm{m}->\text { fst (m ss zz) }
\end{aligned}
$$

## Normal Forms

A normal form is a term that cannot take any reduction steps.

## E.g.,

$$
\backslash x \text {-> \y } \rightarrow x
$$

A normal form is a term that cannot take any reduction steps.
E.g.,

$$
\text { \x } \rightarrow>y \text { ly }
$$

A normalizable term is one that will eventually reach a normal form after some finite number of reduction steps.
E.g.

```
not true
pred c1000
```

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E.g.,
\x -> \y -> x

A normalizable term is one that will eventually reach a normal form after some finite number of reduction steps.
E.g.

```
not true
pred c1000
```

Question: Is every lambda-term normalizable?

## Divergence

Answer: No!

$$
\text { omega }=(\backslash x->x \text { x })(\backslash x ~->~ x ~ x) ~
$$

Note that omega evaluates in one step to itself! So evaluation of omega never reaches a normal form: it diverges.

## Divergence

Answer: No!

$$
\text { omega }=(\backslash x->x \text { x) (\x }->x \text { x })
$$

Note that omega evaluates in one step to itself! So evaluation of omega never reaches a normal form: it diverges.
(N.b.: this example and the ones following cannot be typed in Haskell.)

Answer: No!

$$
\text { omega }=(\backslash x \rightarrow x \text { x })(\backslash x \rightarrow x \text { x })
$$

Note that omega evaluates in one step to itself! So evaluation of omega never reaches a normal form: it diverges.
(N.b.: this example and the ones following cannot be typed in Haskell.)

Being able to write a divergent computation does not seem especially useful in itself. However, there are variants of omega that are very useful...

The "fixed-point combinator":
fix $=\backslash f$-> ( $\backslash x->f(x \quad x))(\backslash x->f(x \quad x))$

## Recursion

The "fixed-point combinator":

$$
\text { fix }=\backslash f->(\backslash x \rightarrow f(x \quad x))(\backslash x \rightarrow>f(x \quad x))
$$

Here the "pattern of divergence" becomes more interesting. Let $f$ be some lambda-term. Then:

$$
\begin{aligned}
& \text { fix f }
\end{aligned}
$$

More concisely:

$$
\text { fix } f \longrightarrow f(f i x f)
$$

```
factf \(=\) \fct ->
    \n ->
    (iszero n)
        c1
        (times \(\mathrm{n}(\) fct (pred n\())\) )
    fact \(=\) fix factf
```


## Recursion

```
    fact c3
--> factf (fix factf) c3
--> (\n -> (iszero n) c1 (times n (fix factf (pred n)))) c3
--> (iszero c3) c1 (times n (fix factf (pred c3)))
--> times c3 (fix factf (pred c3))
--> times c3 (factf (fix factf) (pred c3))
--> times c3
    ((\n -> (iszero n)
    c1
    (times n (fix factf (pred n)))) (pred c3))
--> times c3
        ((iszero (pred c3))
        c1
        (times (pred c3) (fix factf (pred (pred c3)))))
--> times c3
        (times (pred c3)
    (fix factf (pred (pred c3))))
--> etc.
```

