

## CIS 670: Program Analysis

**Title:** Abstract Interpretation.

**Guest Lecturer:** Sriram Sankaranarayanan.

NEC Labs America,

Princeton, NJ.

`srirams@nec-labs.com`

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## Topics

- Programs, Flowcharts, etc...
- Instances of Abstract Interpretation:
  - Analysis #1: Sign Analysis.
  - Analysis #2: Interval Analysis.
- “Concrete” Interpretation.
- Abstract Interpretation.

## What is Abstract Interpretation?

Formal study of fixed points for program analysis applications.

- Program Verification Applications:  
Astrée, PolySpace, CoVerity, Absinthe, F-Soft,  
CodeSurfer, Fluctuat, Airac, TVLA, ...
- Denotational Semantics.
- Type Checking/Inference.

## Goals

- There are numerous presentations of abstract interpretation.
- Our goal:
  1. Today: Understand the essence of the theory (without too much “Greek”).
  2. Today & Wednesday: The actual theory.
  3. Wednesday: A quick guided tour through important applications & research frontiers.
- Will try to be self-contained as much as possible.

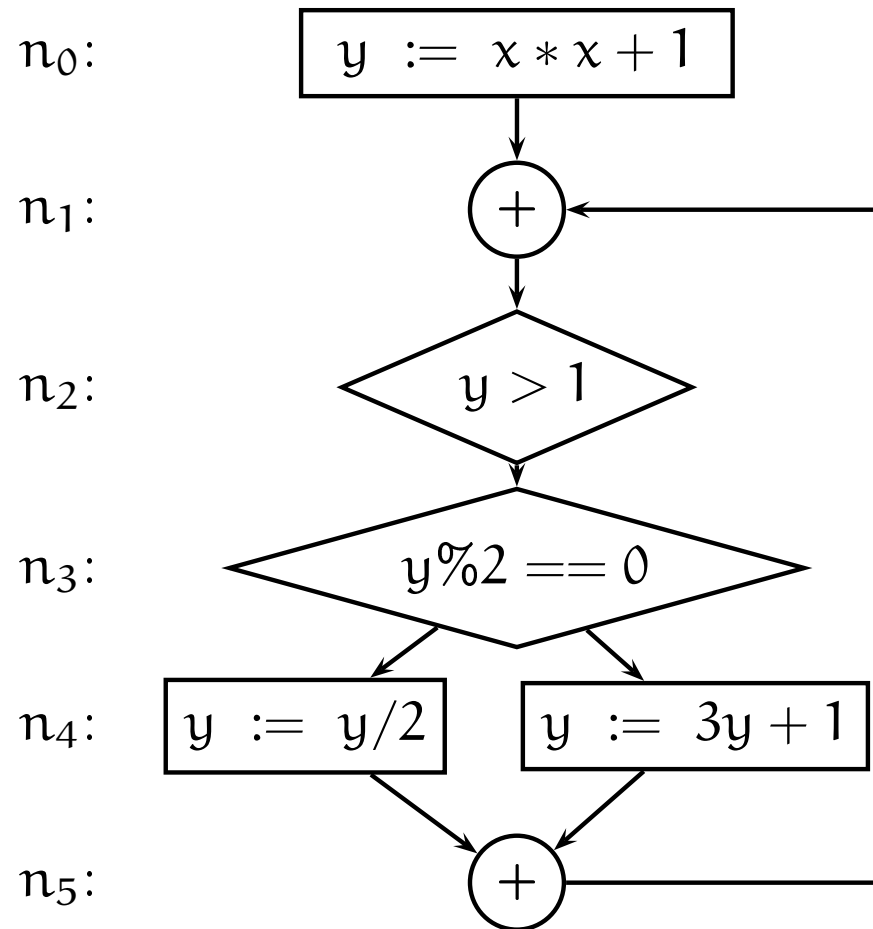
## Some History

- Theory of inductive invariants: [Floyd, 1967] and [Hoare, 1969].
- Denotational Semantics: [Scott, Reynolds, Abramsky, ...]
- Invariant Generation: [King, 1969; Manna & Katz, 1975]
- Monotone frameworks for dataflow analysis: [Burstall, 1973].
- Linear equality invariant generation: [Karr, 1976]
- Interval analysis: [Cousot & Cousot, 1976]
- Abstract interpretation theory: [Cousot&Cousot, 1977]
- Polyhedral Analysis: [Cousot & Halbwachs, 1978]
- Recent advances & applications.

## Programs

We will use a standard flowchart representation.

```
function foo ( int x )  
int y := x * x + 1 ;  
while y > 1 do  
  if y%2 == 0 then  
    y = y/2  
  else  
    y = 3 * y + 1  
  end if  
end while  
end function
```



## Program: Assumptions

For simplicity, we make the following assumptions about our programs:

- All variables are integers or reals.
- No function calls.
- No pointers, arrays, compound objects.

**Note:** Real program analysis tools handle features such as function calls, arrays, pointers and compound structures.

## Signs Analysis

For each location  $n$ ,

For each variable  $x$ ,

$$\text{sign}(n, x) = \begin{cases} \text{"}\perp\text{"} & \text{if control never reaches } n \\ \text{"}+\text{"}, & \text{if } x > 0, \text{ whenever control reaches } n, \\ \text{"}-\text{"} & \text{if } x < 0, \dots \\ \text{"}0\text{"} & \text{if } x = 0, \dots \\ \text{"}\top\text{"} & \text{otherwise} \end{cases}$$

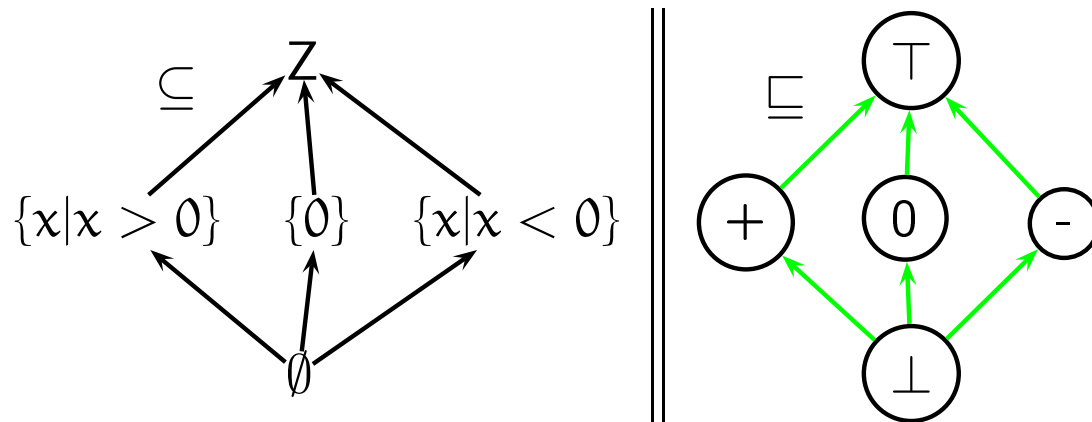


## Signs Lattice

The symbols  $\{\perp, +, -, 0, \top\}$  correspond to the sets of natural numbers:

$$\begin{array}{l|l} \llbracket \perp \rrbracket & : \emptyset \\ \llbracket + \rrbracket & : \{x | x > 0\} \\ \hline \llbracket \top \rrbracket & : \mathbb{Z} \\ \llbracket - \rrbracket & : \{x | x < 0\} \end{array}$$

Sets of natural numbers can be ordered by inclusion  $\subseteq$ .




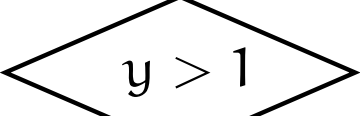
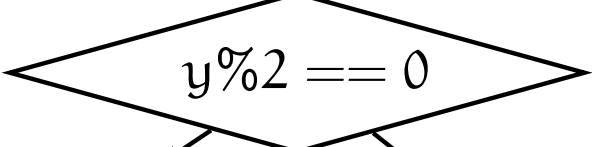

The  $\subseteq$  induces a  $\sqsubseteq$  relation in the sign domain.

## Signs Analysis: Problem Statement

For each variable  $x$ , and each location  $n$  compute the least element of the signs lattice  $c$  such that  $\llbracket c \rrbracket$  contains all the possible values of  $x$  seen when control reaches location  $n$ .

## Signs Analysis: Least Solution

Assume input state  $\text{sign}(x, n_0) : +$ ,  $\text{sign}(y, n_0) : \top$ .

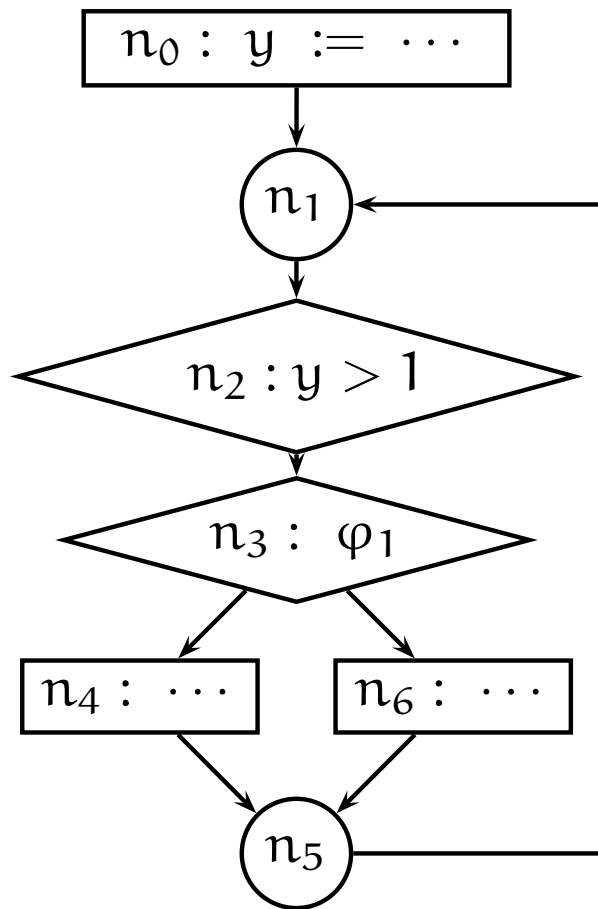
	Flowchart	$\text{sign}(y, n)$	$\text{sign}(x, n)$
$n_0:$	<div style="border: 1px solid black; padding: 5px; display: inline-block;"> <math>y := x * x + 1</math> </div>	$\top$	$+$
$n_1:$		$+$	$+$
$n_2:$		$+$	$+$
$n_3:$		$+$	$+$
$n_4:$	<div style="display: flex; justify-content: space-around;"> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <math>y := y/2</math> </div> <div style="border: 1px solid black; padding: 5px; display: inline-block;"> <math>y := 3y + 1</math> </div> </div>	$+$	$+$
$n_5:$		$+$	$+$

## Signs Analysis: Problem Statement (Attempt # 2)

For each variable  $x$ , and each location  $n$  compute the ~~least element~~ some element of the signs lattice  $c$  such that  $\llbracket c \rrbracket$  contains all the possible values of  $x$  seen when control reaches location  $n$ .

- Least element of the lattice cannot be computed.  
Proof: Reduce from Hilbert's 10<sup>th</sup> problem.
- Trivial analysis result:  $\text{sign}(n, x) : \top$  at all locations!!
- Least solution in the lattice.
  1. Derive lattice inequalities for the overapproximations.
  2. Solve them to derive a safe overapproximation.

## Signs Analysis: Data-flow equations



$$\boxed{\text{post}(n_0, \text{sign}(n_0, x))} \sqsubseteq \text{sign}(n_1, y)$$

$$\text{sign}(n_5, y) \sqsubseteq \text{sign}(n_1, y)$$

$$\text{sign}(n_1, y) \sqsubseteq \text{sign}(n_2, y)$$

$$\text{sign}(n_2, y) \sqcap \boxed{\alpha(\llbracket y > 1 \rrbracket)} \sqsubseteq \text{sign}(n_3, y)$$

$$\text{sign}(n_3, y) \sqcap \boxed{\alpha(\llbracket \varphi_1 \rrbracket)} \sqsubseteq \text{sign}(n_4, y)$$

$$\boxed{\text{post}(n_4, \text{sign}(n_4, y))} \sqsubseteq \text{sign}(n_5, y)$$

$$\boxed{\text{post}(n_6, \text{sign}(n_6, y))} \sqsubseteq \text{sign}(n_5, y)$$

## Abstraction

Given a set of integers  $I$ ,  $\alpha(I)$  is the smallest value in the sign lattice that covers it.

$$\alpha(I) \triangleq \min_{\sqsubseteq} \{c \in \text{sign} \mid \llbracket c \rrbracket \supseteq I\}.$$

**Example:**

$I$	$\alpha(I)$
$\{x \mid x > 10\}$	$+$
$\{x \mid x \leq 1\}$	$\top$
$\{x \mid x \leq 0\}$	$\top$
$\{x \mid x < 0\}$	$-$
$\emptyset$	$\perp$

## Signs Analysis: Post-Condition

Consider an assignment  $y := \text{expr}(x_1, \dots, x_n)$ .

**Post:** Given the sign values of  $x_1, \dots, x_n$  before an assignment, compute the sign value of  $y$  after the assignment.

$$\text{post}(n : y := \text{expr}, \langle \text{sign}(n, x_1), \dots, \text{sign}(n, x_n) \rangle).$$

**Example:** Consider assignment  $n_0 : y := x * x + 1$

$x$	$\perp$	$+$	$0$	$-$	$\top$
$\text{post}(y := \dots, \text{sign}(n, x))$	$\perp$	$+$	$+$	$+$	$+$

## Computing post condition

**Goal:** Compute  $\text{post}(y := \text{expr}, \langle \text{sign}(n, x_0), \dots, \text{sign}(n, x_m) \rangle)$ .

Just “follow” the expression syntax.

**Example:** Let  $\text{expr} : y - z - x + 1$  and

$\langle \text{sign}(n, x) : “0”, \text{sign}(n, y) : “-”, \text{sign}(n, z) : “+” \rangle$ .

1.  $p(y - z) = p(“-” - “+”) = “-”$
2.  $p((y - z) - x) = p(“-” - “0”) = “-”$
3.  $p(((y - z) - x) + 1) = p(“-” + “+”) = “T”$

$\therefore \text{post}(\text{expr}, \langle \text{sign}(n, x) : “0”, \text{sign}(n, y) : “-”, \text{sign}(n, z) : “+” \rangle) = \text{T}$ .



## Post condition: Example

Assignment  $n_0 : y := x * x + 1.$

$x$	$\perp$	$+$	$0$	$-$	$\top$
$\text{post}(y := \dots, \text{sign}(n, x))$	$\perp$	$+$	$+$	$+$	$+$

Assignment  $n_4 : y := 3 * y + 1.$

$y$	$\perp$	$+$	$0$	$-$	$\top$
$\text{post}(y := \dots, \text{sign}(n, y))$	$\perp$	$+$	$+$	$-$	$\top$

Assignment  $n_6 : y := y/2.$

$y$	$\perp$	$+$	$0$	$-$	$\top$
$\text{post}(y := \dots, \text{sign}(n, y))$	$\perp$	$\top$	$0$	$\top$	$\top$

## Lattices, Monotonic Functions & Fixed Points

**Poset:** A set  $L$  with a partial order  $\sqsubseteq$ .

**Meet & Join:**

$$a \sqcap b = \max_{\sqsubseteq} \{c \mid c \sqsubseteq a, c \sqsubseteq b\}$$

$$a \sqcup b = \min_{\sqsubseteq} \{c \mid a \sqsubseteq c, b \sqsubseteq c\}$$

**Lattice:** Meets and Joins exists for every pair  $a, b$ .

(Therefore, meet and join exists every finite subset)

**Complete Lattice:** Every subset has a meet and a join.

(related concept: semi-complete lattice).

**Monotone function:**  $f : L \mapsto L$ , s.t.  $a \sqsubseteq b \Rightarrow f(a) \sqsubseteq f(b)$ .

**Fixed Point:**  $a = f(a)$ .

**Theorem:** [Knaster, 1928; Tarski, 1953]

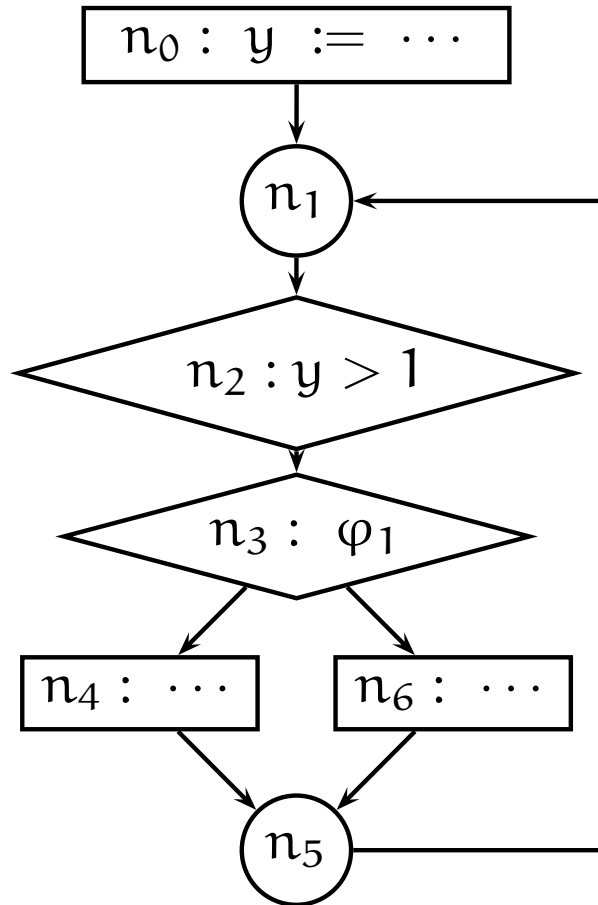
Every monotone function on a complete lattice has  
a least and greatest fixed point.

**Proof:**

$$\text{LFP}(f) : \max_{\sqsubseteq} (\perp, f(\perp), f^2(\perp), \dots,)$$

$$\text{GFP}(f) : \min_{\sqsubseteq} (\top, f(\top), f^2(\top), \dots,)$$

## Signs Analysis: Data-flow equations



$$\text{post}(n_0, \text{sign}(n_0, x)) \sqsubseteq \text{sign}(n_1, y)$$

$$\text{sign}(n_5, y) \sqsubseteq \text{sign}(n_1, y)$$

$$\text{sign}(n_1, y) \sqsubseteq \text{sign}(n_2, y)$$

$$\text{sign}(n_2, y) \sqcap "+" \sqsubseteq \text{sign}(n_3, y)$$

$$\text{sign}(n_3, y) \sqcap "T" \sqsubseteq \text{sign}(n_4, y)$$

$$\text{post}(n_4, \text{sign}(n_4, y)) \sqsubseteq \text{sign}(n_5, y)$$

$$\text{post}(n_6, \text{sign}(n_6, y)) \sqsubseteq \text{sign}(n_5, y)$$

## Signs Analysis: Data-flow equations

$$\begin{array}{rcl}
 & \text{"T"} & \sqsubseteq \text{sign}(n_0, y) \\
 \text{post}(n_0, \text{sign}(n_0, x)) \sqcup \text{sign}(n_5, y) & \sqsubseteq & \text{sign}(n_1, y) \\
 & \text{sign}(n_1, y) & \sqsubseteq \text{sign}(n_2, y) \\
 & \text{sign}(n_2, y) \sqcap \text{"+"} & \sqsubseteq \text{sign}(n_3, y) \\
 & \text{sign}(n_3, y) \sqcap \text{"T"} & \sqsubseteq \text{sign}(n_4, y) \\
 \hline
 \text{post}(n_4, \text{sign}(n_4, y)) \sqcup \text{post}(n_6, \text{sign}(n_6, y)) & \sqsubseteq & \text{sign}(n_5, y) \\
 & \text{"+"} & \sqsubseteq \text{sign}(n_0, x) \\
 & \text{sign}(n_0, x) \sqcup \text{sign}(n_5, x) & \sqsubseteq \text{sign}(n_1, x) \\
 & \text{sign}(n_1, x) & \sqsubseteq \text{sign}(n_2, x) \\
 & \vdots & \\
 & \text{sign}(n_4, x) \sqcup \text{sign}(n_6, x) & \sqsubseteq \text{sign}(n_5, x)
 \end{array}$$

Shorthand notation:  $x_i : \text{sign}(n_i, x)$  and  $y_i : \text{sign}(n_i, y)$ .

We may write the (in)equalities as :

$$\begin{array}{rcl} f_1(x_1, \dots, x_n, y_1, \dots, y_n) & \sqsubseteq & y_1 \\ & \vdots & \\ f_n(x_1, \dots, x_n, y_1, \dots, y_n) & \sqsubseteq & y_n \\ g_1(x_1, \dots, x_n, y_1, \dots, y_n) & \sqsubseteq & x_1 \\ & \vdots & \\ g_n(x_1, \dots, x_n, y_1, \dots, y_n) & \sqsubseteq & x_n \end{array}$$

## Inequations over a lattice

Let  $L$  be a lattice and  $f_1, \dots, f_n$  be monotonic functions:

$$f_j : L \times \dots \times L \mapsto L.$$

**Corollary Knaster-Tarski Theorem:** The inequality system

$$f_1(x_1, \dots, x_n) \sqsubseteq x_1, f_2(x_1, \dots, x_n) \sqsubseteq x_2, \dots, f_n(x_1, \dots, x_n) \sqsubseteq x_n.$$

has a smallest and a greatest solution.

Furthermore, these solutions will satisfy:

$$f_1(x_1, \dots, x_n) = x_1, \dots, f_n(x_1, \dots, x_n) = x_n.$$

**Proof:** ...

## Solving inequations over a lattice

Compute least solution for the lattice inequality system

$$f_1(x_1, \dots, x_n) \sqsubseteq x_1, f_2(x_1, \dots, x_n) \sqsubseteq x_2, \dots, f_n(x_1, \dots, x_n) \sqsubseteq x_n.$$

**Initial solution:**  $x_1^0 = \perp, \dots, x_n^0 = \perp$ .

**Iterative step:**

$$\begin{aligned} x_1^{i+1} &= f_1(x_1^i, x_2^i, \dots, x_n^i), \\ &\vdots \\ x_n^{i+1} &= f_n(x_1^i, x_2^i, \dots, x_n^i). \end{aligned}$$

**Stopping criteria:**  $(\forall j \in [1, n]) x_j^{i+1} \sqsubseteq x_j^i$ .



Check that LHS functions are monotonic:

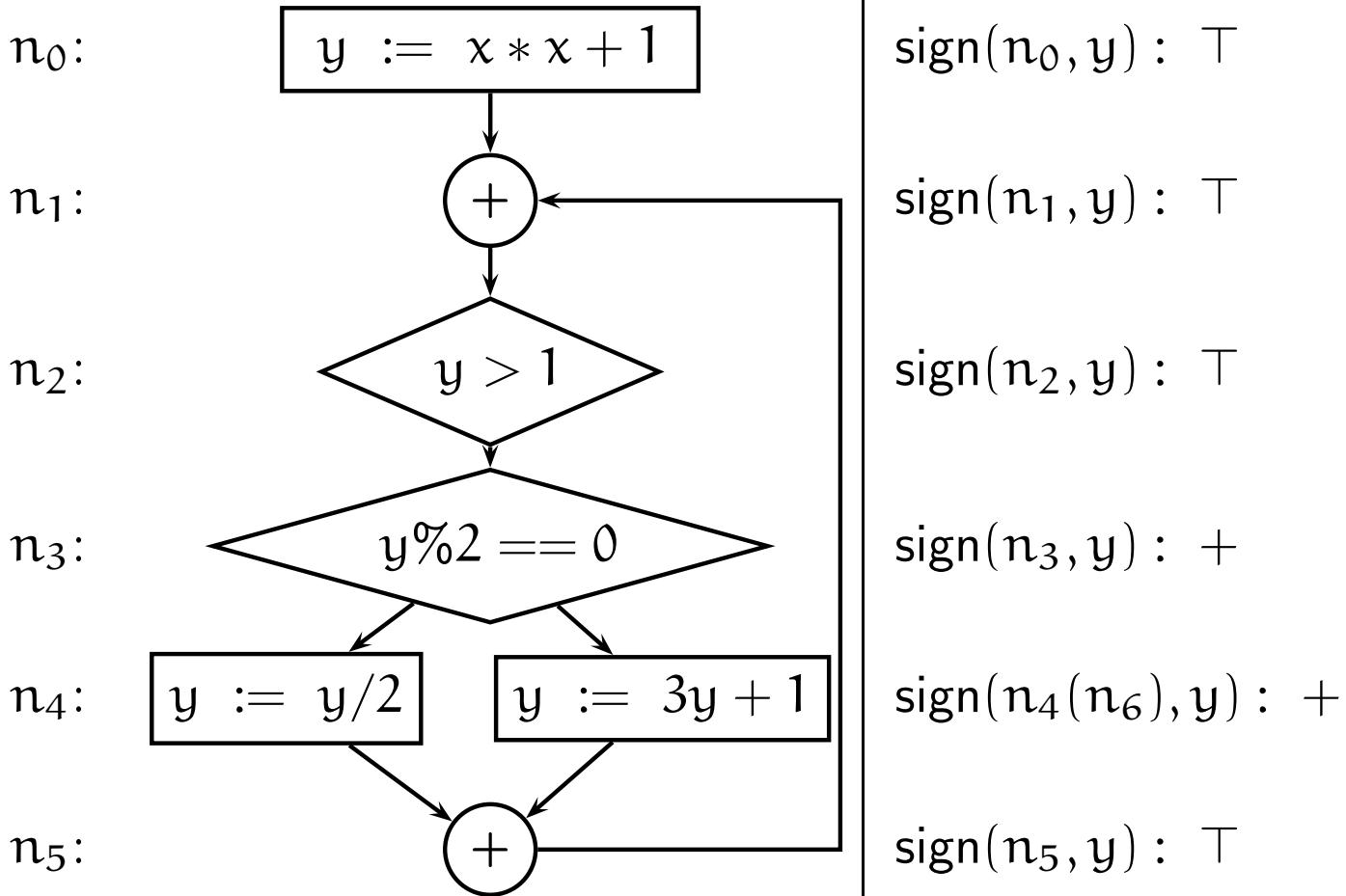
$$\begin{array}{rcl}
 & \text{"T"} & \sqsubseteq \text{sign}(n_0, y) \\
 \text{post}(n_0, \text{sign}(n_0, x)) \sqcup \text{sign}(n_5, y) & \sqsubseteq & \text{sign}(n_1, y) \\
 & \text{sign}(n_1, y) & \sqsubseteq \text{sign}(n_2, y) \\
 & \text{sign}(n_2, y) \sqcap \text{"+"} & \sqsubseteq \text{sign}(n_3, y) \\
 & \text{sign}(n_3, y) \sqcap \text{"T"} & \sqsubseteq \text{sign}(n_4, y) \\
 \hline
 \text{post}(n_4, \text{sign}(n_4, y)) \sqcup \text{post}(n_6, \text{sign}(n_6, y)) & \sqsubseteq & \text{sign}(n_5, y) \\
 & \text{"+"} & \sqsubseteq \text{sign}(n_0, x) \\
 \text{sign}(n_0, x) \sqcup \text{sign}(n_5, x) & \sqsubseteq & \text{sign}(n_1, x) \\
 & \text{sign}(n_1, x) & \sqsubseteq \text{sign}(n_2, x) \\
 & \vdots & \\
 \text{sign}(n_4, x) \sqcup \text{sign}(n_6, x) & \sqsubseteq & \text{sign}(n_5, x)
 \end{array}$$

## Signs Analysis: Least Fixed Point Solution

$x_0$	$y_0$	$x_1$	$y_1$	$x_2$	$y_2$	$x_3$	$y_3$	$x_4$	$y_4$	$x_5$	$y_5$	$x_6$	$y_6$
⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥
+	⊤	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥
+	⊤	+	+	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥
+	⊤	+	+	+	+	⊥	⊥	⊥	⊥	⊥	⊥	⊥	⊥
+	⊤	+	+	+	+	+	+	⊥	⊥	⊥	⊥	⊥	⊥
+	⊤	+	+	+	+	+	+	+	+	⊥	⊥	+	+
+	⊤	+	+	+	+	+	+	+	+	+	⊤	+	+
+	⊤	+	⊤	+	+	+	+	+	+	+	⊤	+	+
+	⊤	+	⊤	+	⊤	+	+	+	+	+	⊤	+	+
+	⊤	+	⊤	+	⊤	+	+	+	+	+	⊤	+	+

Signs Analysis: Final solution.

Note:  $\text{sign}(n, x) = "+"$  everywhere.



## Solving Flow Inequations

### Ascending Chain Condition:

There are no infinite chains:  $a_1 \sqsubseteq a_2 \sqsubseteq \dots \sqsubseteq \dots$ .

- If lattice  $L$  has ascending chain condition, then solution converges in  $O(\text{height}(L) * |\text{CFG}|)$ .
- The lattice sign has height of 3.
- If height is not finite, then algorithm may not terminate.

# Interval Analysis

## Intervals: Basic Facts

**Interval:**  $z \in [a, b] \triangleq \{z \mid a \leq z \leq b\}$

We will consider intervals of integer values.

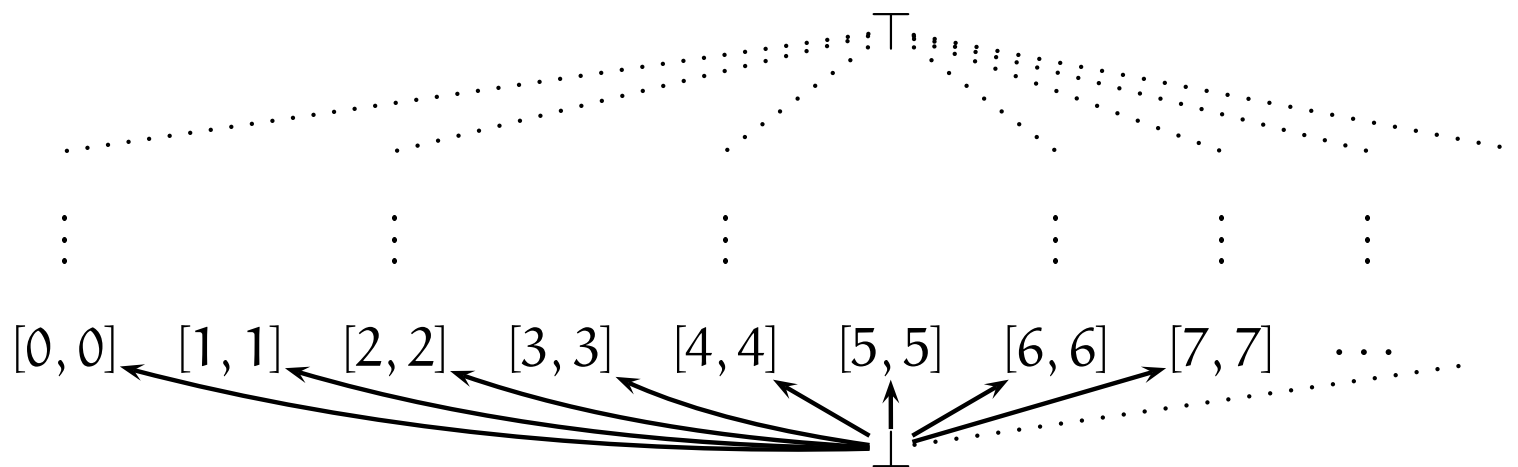
**Half-open Intervals:**  $z \in [a, \infty)$  and  $z \in (-\infty, a]$ .

**Interval Lattice:**  $[a, b] \sqsubseteq [c, d]$  iff  $a \geq c \wedge b \leq d$ .

**Concretization:**  $\llbracket [a, b] \rrbracket = \{z \mid a \leq z \leq b\}$

**Abstraction:** Given  $I \subseteq Z$ ,  $\alpha(I) = [\min_{\leq}(I), \max_{\leq}(I)]$ .

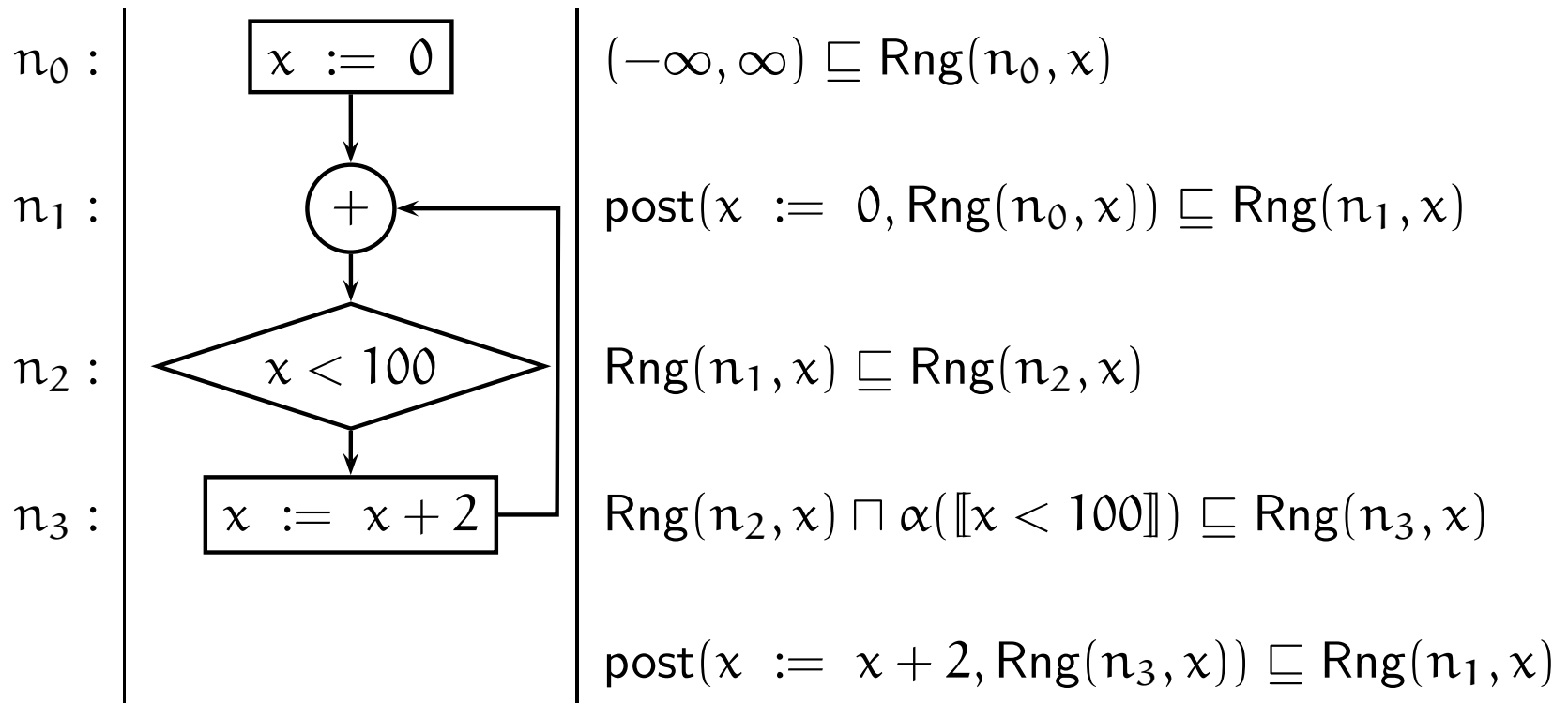
# Interval Lattice



**Note:** Lattice is complete.

However, it has infinite height (and width).

## Interval Analysis: Example # 2





## Interval Analysis: Solving Dataflow Equations

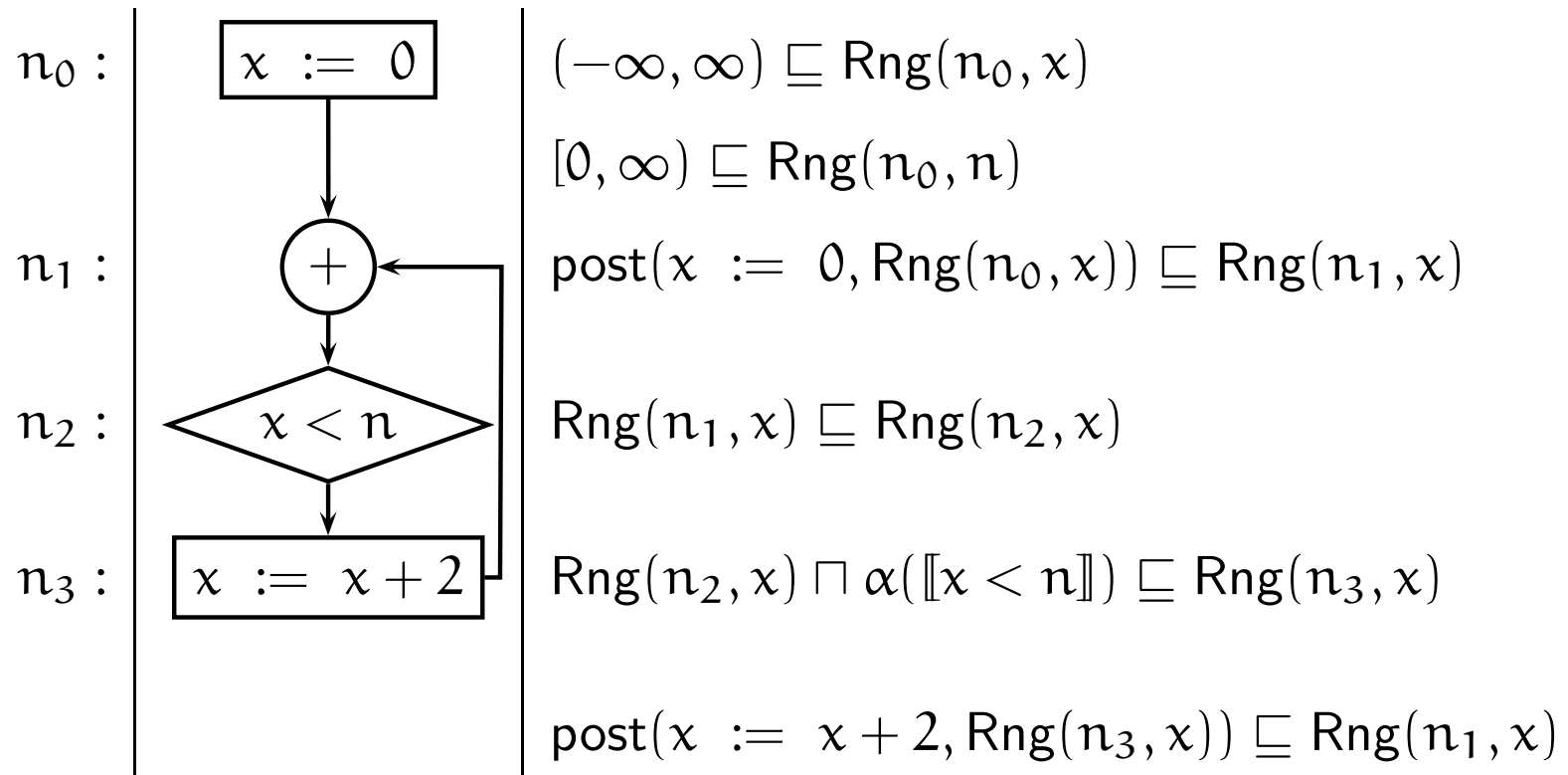
**Notation:**  $R_i : \text{Rng}(n_i, x)$ .

$$\begin{array}{r}
 (-\infty, \infty) \sqsubseteq R_0 \\
 \text{post}(n_0, R_0) \sqcup \text{post}(n_3, R_3) \sqsubseteq R_1 \\
 R_1 \sqsubseteq R_2 \\
 R_2 \sqcap [0, 99] \sqsubseteq R_3
 \end{array}
 \left\| \begin{array}{c|c|c|c|c}
 \perp & \top & \top & \top & \top \\
 \perp & [0, 0] & [0, 0] & [0, 0] & [0, 1] \\
 \perp & \perp & [0, 0] & [0, 0] & [0, 0] \\
 \perp & \perp & \perp & [0, 0] & [0, 0]
 \end{array} \right.$$

This process converges in  $100x$  steps to the following solution:

$$R_0 : \top, R_1 : [0, 100], R_2 : [0, 100], R_3 : [0, 99].$$

## Interval Analysis: Example #3



## Solving Dataflow Equations

**Notation:**  $R_i : \text{Rng}(n_i, x)$ .

$$\begin{array}{r}
 (-\infty, \infty) \sqsubseteq R_0 \\
 \text{post}(n_0, R_0) \sqcup \text{post}(n_3, R_3) \sqsubseteq R_1 \\
 R_1 \sqsubseteq R_2 \\
 R_2 \sqcap [0, \infty) \sqsubseteq R_3
 \end{array}
 \left\| \begin{array}{c|c|c|c|c}
 \perp & \top & \top & \top & \top \\
 \perp & [0, 0] & [0, 0] & [0, 0] & [0, 1] \\
 \perp & \perp & [0, 0] & [0, 0] & [0, 0] \\
 \perp & \perp & \perp & [0, 0] & [0, 0]
 \end{array} \right.$$

This process does not converge in finitely many steps.

The least fixed point solution is:

$$R_0 : \top, R_1 : [0, \infty), R_2 : [0, \infty), R_3 : [0, \infty).$$

**Question:** How do we compute fixed points in the interval lattice?

## Widening

- The Interval lattice has infinite height.
- **Widening operator:** Let  $[a, b] \sqsubseteq [c, d]$ .

$$[a, b] \nabla [c, d] = [\ell, u]$$

wherein

$$\ell = \begin{cases} -\infty & \text{if } c < a, \\ c & \text{otherwise} \end{cases}$$

and similarly,

$$u = \begin{cases} \infty & \text{if } d > b, \\ b & \text{otherwise} \end{cases}$$

- Special case:  $\perp \nabla i = i$ .

## Widening: Examples

### Examples:

$$[-1, -1] \nabla [-5, -5] = (-\infty, +\infty)$$

$$[1, 1] \nabla [1, 2] = [1, +\infty)$$

$$[1, 1] \nabla [-1, 1] = (-\infty, 1]$$

$$[-1, 5] \nabla [-1, 5] = (-1, 5)$$

$$\perp \nabla [10, 100] = [10, 100]$$

## Widening: Properties

**Properties:** The following properties are true of widening.

$$(A) (\forall x \sqsubseteq y) x \sqcup y \sqsubseteq x \nabla y$$

Let  $a_1 \sqsubseteq a_2 \sqsubseteq a_3 \sqsubseteq \dots$  be an increasing sequence.

**Widened sequence:**  $b_1 = a_1, b_{i+1} = b_i \nabla (b_i \sqcup a_i)$ .

**Theorem:** Widened sequence converges in finitely many steps, i.e.,

$$b_1 \sqsubseteq b_2 \sqsubseteq b_3 \dots \sqsubseteq b_N = b_{N+1} = b_{N+2} \dots$$

and

$$\max_{\sqsubseteq} \{a_1, \dots, \} \sqsubseteq b_N.$$

## Widening: Application

Consider Dataflow Inequalities:

$$\begin{aligned} f_1(x_1, \dots, x_n) &\sqsubseteq x_1 \\ &\vdots \\ f_n(x_1, \dots, x_n) &\sqsubseteq x_n \end{aligned}$$

**Initial step:**  $\langle x_1^0, \dots, x_n^0 \rangle = \langle \perp, \dots, \perp \rangle$ .

**Widening Iteration:** We split iteration into two steps:

**Step 1:**  $\langle y_1^i, \dots, y_n^i \rangle = \langle f_1(x_1^i, \dots, x_n^i), \dots, f_n(x_1^i, \dots, x_n^i) \rangle$ .

**Step 2:**  $\langle x_1^{i+1}, \dots, x_n^{i+1} \rangle = \langle x_1^i, \dots, x_n^i \rangle \nabla \langle y_1^i, \dots, y_n^i \rangle$ .

**Convergence:**  $(\forall j \in [1, n]) y_j^N \sqsubseteq x_j^N$ .

## Widening: Example

Carry out the widening iteration for Example# 3.



## Widening Iteration

- Widening iteration produces a solution to the dataflow inequalities.

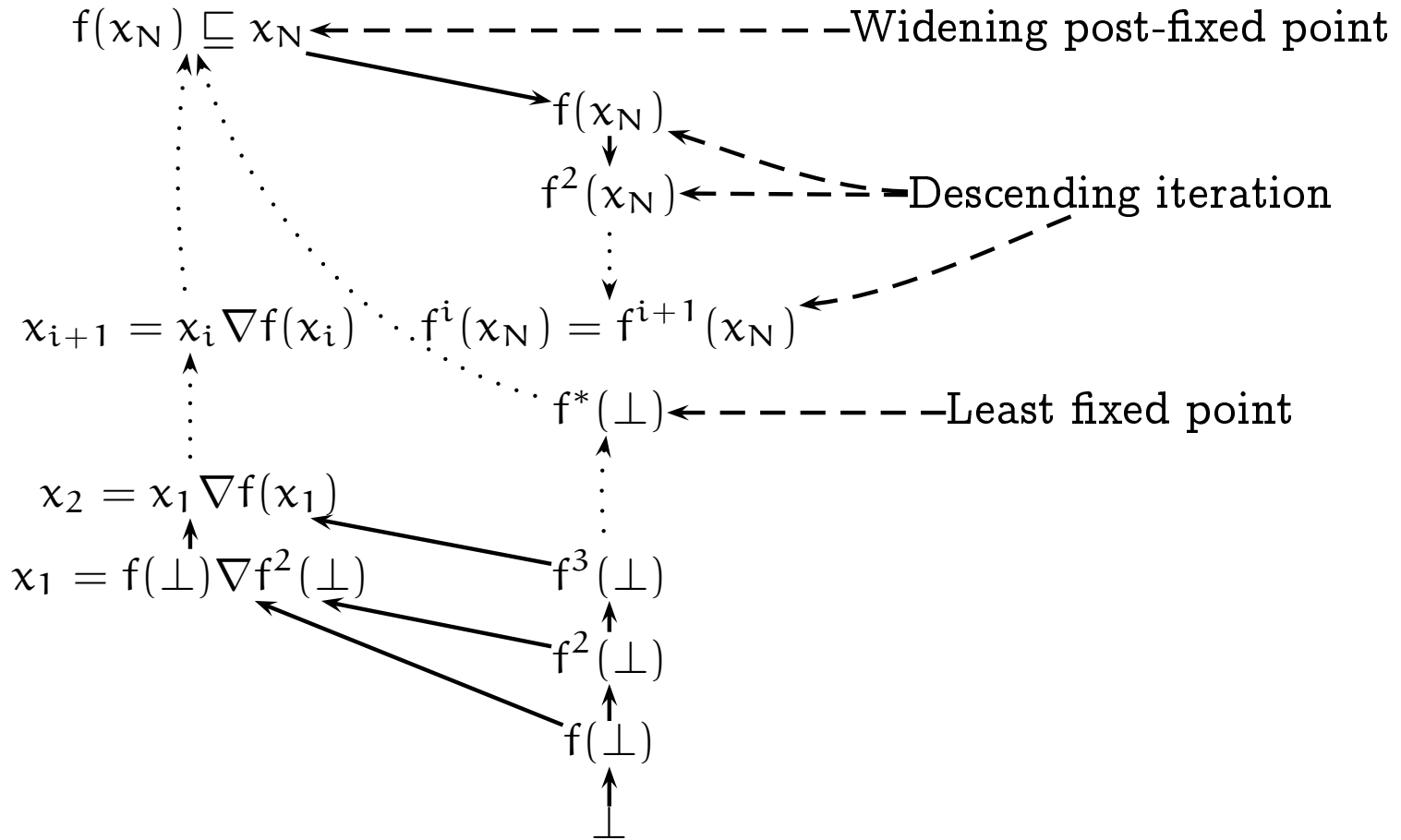
$$(\forall j \in [1, n]) f_j(x_1^N, \dots, x_n^N) \sqsubseteq x_j. \quad (1)$$

- However, there are two problems:
  - (a) Solution is no longer the least fixed point. Such solutions are called post-fixed points.
  - (b) Solution improvement may be possible. By monotonicity,

$$f(x) \sqsubseteq x \Rightarrow f(f(x)) \sqsubseteq f(x).$$

Therefore, if  $x$  is a post-fixed point solution then  $f(x)$  may be a smaller post-fixed point.

# Ascending/Descending Iterations



## Descending Iteration: Example #2

Carry out the widening iteration and descending iteration for Example# 2.

## Descending Iteration: Convergence

**Descending Chain Condition:** Dual to Ascending Chain condition.

Descending iteration need not necessarily converge in finitely many steps.

(1) Stop the iteration after some fixed number of steps.

This is not a good idea (provide an example).

(2) Use a “narrowing” operator to force convergence.